Report on Market Operation Service Providers' Compliance

April 2011

This report includes:

- the publication of sections of the reports of the System Operator, received in the previous month that relate to breaches of the Electricity Industry Participation Code (Code) by the System Operator, as required by clause 13.102(2) of the Code;
- the publication of the sections of the reports of the Pricing Manager, received in the previous month that relate to breaches of the Code by the Pricing Manager, as required by clause 13.214 of the Code;
- the publication of the sections of the reports of the Clearing Manager, received in the previous month that relate to breaches of the Code by the Clearing Manager, as required by clause 14.75 of the Code;
- the republication of the sections of any reports of the Reconciliation Manager, received in the previous month that relate to breaches of the Code by the Reconciliation Manager;[1] and
- any self-reported breaches of the Code by the Market Administrator for the previous calendar month.[2]

---

[1] Under clause 15.33 of the Code, the Authority must publish the sections of the reports that relate to an alleged breach of the Code by the Reconciliation Manager by 0930 hours on the business day following the day on which the Authority receives the report. These reports are available at http://www.ea.govt.nz/industry/markets/statistics-reports/reconciliation-manager-breach-reports/

[2] The Code does not require the Market Administrator to publish any self-reported breaches of the Code by the Market Administrator. However, the Market Administrator has chosen to do so.

Self-reported breaches by the System Operator ***(under clause 13.102 (1) of the Code)***			
Date of breach	**Time of breach**	**Clause breached**	**Nature of breach**
21/02/2010	09.00	Schedule 13.3 Part 13 12 (2)	The System Operator failed to correctly identify the South Island CE risk in calculating the amount of reserves required to meet the dispatch objective
25/03/2011	13.00	Policy Statement 32 .2	The System Operator failed to correctly identify the North Island CE risk in calculating the reserves required to meet the dispatch objective
12/03/2011	13.00	Policy Statement 32 .2	The System Operator failed to correctly identify the North Island CE risk in calculating the reserves required to meet the dispatch objective
23/2/2011	17.00	Part 13 13 .104	The System Operator failed to publish the pre-dispatch schedule once it was completed.

Self reported breaches by the Pricing Manager ***(under clause 13.213 of the Code)***
There were no self reported breaches by the Pricing Manager.

Self reported breaches by the Clearing Manager ***(under clause 14.74 of the Code)***
There were no self reported breaches by the Clearing Manager.

Self-reported breaches by the Reconciliation Manager ***(under clause 15.30(1) of the Code)*** **Note that this is a summary of the reconciliation manager monthly breach report which is published separately**
There were no self-reported breaches by the Reconciliation Manager.

Self reported breaches by the Registry Manager *(Not required by the Code)*
There were no self reported breaches by the Registry Manager.

Self reported breaches by the Market Administrator
There were no self reported breaches by the Market Administrator.

This report was published by the Market Administrator on 17 May 2011. For further inquiries please contact the Market Administrator at the Electricity Authority or send an email to retailoperations@ea.govt.nz.