

Compliance plan for The New Zealand Refining Company Limited (RFNZ)

HHR aggregates information provision to the reconciliation manager			
Non-compliance	Description		
Audit Ref: 11.4 With: Clause 15.8 From: 01-Jan-19 To: 10-Feb-21	HHR aggregates file does not contain electricity supplied information. Potential impact: Low Actual impact: Low Audit history: Once Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as strong, and the impact as low, because the requirement to report electricity supplied information is an error in the code, and EMS is providing submission information as expected. In most cases, billed volumes would match reported volumes.		
Actions taken to resolve the issue		Completion date	Remedial action status
RFNZ understand this is a known error in the Code that is pending Authority action. Our agent is providing data as expected.		unknown	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
None required this is a Code issue pending Authority action.		unknown	