

# Compliance plan for Contact Energy Limited CTCS Material Change Audit 2020

HHR aggregates information provision to the reconciliation manager		
Non-compliance	Description	
Audit Ref: 11.4 With: Clause 15.8 of part 15 From: 28-Feb-20 To: 28-Feb-20	Aggregates file contains submission information. Potential impact: None Actual impact: None Audit history: Multiple times Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as strong because the aggregates file is correct compared to the functional specification. There is no impact on settlement because the aggregates file is only used for reporting, therefore the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
This is an issue in the way the code is written which causes each Trader to be in breach. We are taking no action on this at this time.		
Preventative actions taken to ensure no further issues will occur		Completion date
		Identified