

Compliance plan for Contact Energy Limited CTCS Material Change Audit May 2020

HHR aggregates information provision to the reconciliation manager		
Non-compliance	Description	
<p>Audit Ref: 11.4</p> <p>With: Clause 15.8 of part 15</p> <p>From: 26-May-20</p> <p>To: 26-May-20</p>	<p>Aggregates file contains submission information.</p> <p>Potential impact: None</p> <p>Actual impact: None</p> <p>Audit history: Multiple times</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as strong because the aggregates file is correct compared to the functional specification.</p> <p>There is no impact on settlement because the aggregates file is only used for reporting, therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
This is an EA clause wording issue, we are not making any changes in relation to this.		26/05/2020
Preventative actions taken to ensure no further issues will occur		Completion date