

Compliance Plan for Ariadne Marinas Oceania Pty Limited (SPOR) - 2020

Responsibility for metering information for NSP that is not a POC to the grid		
Non-compliance	Description	
<p>Audit Ref: 6.8</p> <p>With: Clause 10.25(1) and 10.25(3)</p> <p>From: 26-Feb-18</p> <p>To: 05-Apr-18</p>	<p>The meter installation certification expiry date advised late after recertification for NSP SPO0011.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are rated as moderate, as there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
The expiry date was noted as 2 May 2018 and reminders set for follow up; unfortunately, the recertification was completed by the MEP over 3 months early. Paperwork was received on 5 April 2018 and the NSP table updated the same day.		5/4/2018
Preventative actions taken to ensure no further issues will occur		Completion date
Based on this and other similar experiences we have set reminders earlier (6 months) in order to catch early recertification work.		5/4/2018
		Cleared