

Compliance plan for Ngawha Generation (NGAG) – 2020

Non-compliance	Description		
Audit Ref: 11.4 With: 15.8 From: 01-Jul-20 To: 31-Aug-20	HHRAGGR files do not contain electricity supplied information Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	EMS submits submissions volumes as per the Reconciliation Manager specification.		
Actions taken to resolve the issue		Completion date	Remedial action status
None. This is a known issue with the Code that is still to be addressed. Consultation on a change took place in Sept 2019		Unknown	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
None. This is a known issue with the Code that is still to be addressed. Consultation on a change took place in Sept 2019		Unknown	