

Compliance plan for WEL Networks Reconciliation Participant Audit– 2020

Submission of Audit Report		
Non-compliance	Description	
Audit Ref: 1.11 With: Clause 16A.13(1) From: 10-Oct-20 To: 30-Oct-20	Audit report not submitted by the due date to the Electricity Authority. Potential impact: Low Actual impact: None Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate as the due date for the audit were missed resulting in the late submission of this report. The audit risk rating is assessed to be none as the audit was completed as soon as this was discovered and compliance with all other clauses was confirmed.	
Actions taken to resolve the issue		Completion date
Issue stemmed from a misunderstanding when OurPower was given their next audit date as part of their material change audit. WEL (WAIK) incorrectly believed we were still on the same audit schedule as OurPower. WEL commissioned an RP audit as soon as we were made aware of the issue.		27 Oct 2020
Preventative actions taken to ensure no further issues will occur		Completion date
Audit and compliance calendars and notifications have been updated to reflect the individual audit schedules and requirements between WEL and OurPower.		27 Oct 2020
		Cleared