

## Compliance plan for OurPower - 2017

HHR aggregates information provision to the reconciliation manager		
Non-compliance	Description	
Audit Ref: 11.4 With: Clause 15.8 of part 15 From: 09-Oct-17 To: 09-Oct-17	Aggregates file contains submission information. Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	OurPower is reporting submission volumes at ICP level as expected by the reconciliation manager.	
Actions taken to resolve the issue	Completion date	Remedial action status
We have spoken with the EA as recommended re clause 15.8 and they are fully aware of the conflict between the code and the RM specification. The EA indicated that the RM specification is the correct means to comply with the clause and they will be addressing the difference in the code to bring these into line.	N/A	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	