

Compliance Plan for NAG Ventures Limited (CPTS) -2020

Changes to registry information			
Non-compliance	Description		
<p>Audit Ref: 4.1</p> <p>With: Clause 8 Schedule 11.1</p> <p>From: 31-Aug-15</p> <p>To: 17-Jul-19</p>	<p>One distributed generation update was updated more than three business days after the event date.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as strong, as they are sufficient to ensure updates are on time most of the time.</p> <p>The risk is low as a there was only one late event.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>The eventual update here was made when the retailer altered us to the installation of a small amount of solar generation on one of the connections within the network. The retailer's correspondence on this matter was not sent until 17 July 2019. Further investigation showed a metering update to import/export by the MEP in April 2017. As soon as we received notification we made enquiries to confirm the specification and updated the relevant details.</p>		17/7/19	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Although we have processes in place to monitor changes, these were put in place after the 2017 MEP update and it wasn't picked up at the time. This building is physically managed by the key tenant's own facilities team so there has historically been a gap between the owner and what occurs at site. Since the original installation there is a change of owner and property manager and based on recent discussions we feel confident that we will be advised ahead of time regarding any future change that requires network approval.</p>		25/9/20	

Responsibility for metering information for NSP that is not a POC to the grid			
Non-compliance	Description		
<p>Audit Ref: 6.8</p> <p>With: Clause 10.25(1) and 10.25(3)</p> <p>From: 17-Oct-17</p> <p>To: 22-Jan-18</p>	<p>The meter installation certification expiry date advised late after recertification for NSP TOR0011.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>The controls are rated as moderate, as there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
We have reminders in place to check the certification status of gate metering periodically prior to expiry. In this instance the expiry was 5/2/2018 however the meters were recertified by the MEP on 19/09/2017 – this was substantially earlier than expected. When our normal enquiries revealed the recertification had already been done paperwork was obtained and the date updated.		22/1/18	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
We have been advised by Meridian Energy (responsible retailer for the LE ICP) that the lack of MEP details in the registry makes it impossible for them to automatically advise us of meter certification detail updates. We have therefore extend out our remainder process to 6 months and set recurring reminders every 20 business days to catch early recertification work.		25/9/20	