

Compliance plan for Robt. Jones Electricity Network Ltd (RJEN)

Title: Changes to registry information		
Non-compliance	Description	
Audit Ref: 4.1 With: Clause 8 Schedule 11.1 From: 12-Jun-18 To: 09-May-18	Two late updates to decommissioned status. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as strong, as they are sufficient to ensure updates are on time most of the time. The late decommissioned status updates were found to be delayed by late trader updates to ready for decommissioning status, and processed within one business day of the trader's update.	
Actions taken to resolve the issue		Completion date
As noted above by our auditor the registry updates required by a distributor were completed within 1 business day of the trader making the change in registry so we therefore could not have completed this change any earlier than we have.		N/A
Preventative actions taken to ensure no further issues will occur		Completion date
None as systems are in place but a reliant on other industry participants to meet their obligations to allow us to be compliant.		N/A
		Remedial action status
		Identified

Title: Changes to registry information			
Non-compliance	Description		
<p>Audit Ref: 4.4</p> <p>With: Clause 2 Schedule 11.1</p> <p>From: 20-May-19</p> <p>To: 10-Jul-19</p>	<p>14 ICPs with duplicate addresses.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as moderate, as they are sufficient to ensure that most ICPs will have unique and locatable addresses.</p> <p>The impact is low because in most cases address information is correct. The duplicate addresses were inherited from a parent network, and will be checked and updated.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
We inherited these ICP's from WE* when the network was created and they have come onto the network in a non-compliant state – we have contacted the property manager to confirm correct address for each consumer and will update the above ICP's so address's are unique as will reference floor and/or tenancy number also.		02/08/2019	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
We have robust process on the creation of our own ICP's to ensure that ICP's we create are unique – we will need to add an additional step to transferring ICP's to ensure that when inheriting non-compliant ICP's from a local network that we update these as soon as possible after they are transferred into us.		August-2019	