

## Compliance plan for Trustpower Limited (DUNW)

Title: Changes to registry information		
Non-compliance	Description	
Audit Ref: 4.1 With: 8 Schedule 11.1  From: 01-Apr-18 To: 09-Apr-18	Three pricing updates were one business day late. Actual impact: None Audit history: Twice Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	Controls are rated as strong and the audit risk rating is low as the pricing updates were only one day late.	
Actions taken to resolve the issue		Completion date
We will ensure changes are not made on dates that coincide with public holidays. This has been added to the calendar, of the administrator, to be checked annually before upload occurs.		13/02/2019
Preventative actions taken to ensure no further issues will occur		Completion date
We will ensure changes are not made on dates that coincide with public holidays. This has been added to the calendar, of the administrator, to be checked annually before upload occurs.		13/02/2019
		Identified