

Compliance plan for Tuihana Networks Limited

Timeliness of Provision of Initial Energisation Date		
Non-compliance	Description	
Audit Ref: 3.5 With: 7(2A) of Schedule 11.1 From: 11-Jul-16 To: 27-Aug-16	1 ICP's Initial energisation date updated late. Potential impact: None Actual impact: None Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate - additional checks have been added since this occurred. This has no direct impact on submission hence the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
The late update of ICP 0000001087UH7FA was an unfortunate and one off event, and was updated same day as advice was received although late.		
Preventative actions taken to ensure no further issues will occur		Completion date
TUIH will monitor its IED activities and reinforce third party obligations associated with timely notification to ensure compliance.		
		Identified

Changes to registry information		
Non-compliance	Description	
Audit Ref: 4.1 With: 8 of Schedule 11.1 From: 05-Apr-17 To: 16-May-17	2 late updates to registry. Potential impact: None Actual impact: None Audit history: Multiple Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate - additional checks have been added since these occurred. The late updates have no direct impact on reconciliation hence the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
Preventative actions taken to ensure no further issues will occur		Completion date
TUIH will undertake a review of its process to ensure timely changes to registry information updates occur.		
		Investigating

Responsibility for metering information for NSP that is not a POC to the grid		
Non-compliance	Description	
Audit Ref: 6.8 With: 10.25(1) and 10.25(3) From: 17-May-17 To: 28-Sep-17	Gate meter recorded as expired on the network supply point table. Meter recertification updated late to the Reconciliation Manager. Potential impact: None Actual impact: None Audit history: None Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as weak as the contractor does not manage this. The audit risk rating is low as this has no direct impact on reconciliation hence	
Actions taken to resolve the issue		Completion date
TUIH has notified the RM of the recertified site.		2/10/17
Preventative actions taken to ensure no further issues will occur		Completion date
TUIH will initiate a formal arrangement with the MEP to ensure that the RM does receive timely notification for the NSP recertification from the MEP, or TUIH.		31/3/18
		Investigating