

# Compliance Plan KIPT RP 2021

Relevant information			
Non-compliance	Description		
<p>Audit Ref: 2.1</p> <p>With: Clause 15.2</p> <p>From: 01-Apr-18</p> <p>To: 05-Mar-21</p>	<p>Submissions not complete and accurate as volumes not reconciled on meter 217001220 for NSP SYL0113 from April 2018 to December 2019.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: None</p> <p>Controls: Strong</p> <p>Breach risk rating: 3</p>		
Audit risk rating	Rationale for audit risk rating		
High	<p>The controls are recorded as strong as the process controls have been strengthened since this incident to prevent this occurring again in the future.</p> <p>The audit risk rating is high due to the kWh involved.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>KIPT carried out an audit of the NSP Vols submissions and reconciled against the metering data available to it via Stream (Vector Metering) and become aware that there was a mismatch in volume. KIPT notified Meridian Energy on the 24/03/2021 who in-turn notified EMS on the 05/03/2021 and an investigation report was completed. As noted, a correction to the non-submitted volumes was completed during March-2021 to July-2021 via the R14 revisions for January-2020 to May-2020. A copy of the EMS incident report is available upon request.</p>		March-2021 to July-2021	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>EMS have changed the site setup process so new sites are loaded into IMAMS (system used to track tasks during the setup process) as soon as information becomes available and rather than the start of the main setup. This should prevent a being overlooked.</p> <p>EMS have further suggested that in EIPC Part 10 10.25 (2) and (3) there are obligations on distributors to provide information on metering installation expiry dates to the RM. It would be helpful if information was at an installation level as opposed to an NSP level and was made available to participants to help improve visibility of the number of installations at an NSP. KIPT and Tenco support this recommendation by EMS.</p> <p>Tenco have also introduced a monthly check of all NSP Vols submissions for all of its clients and runs a comparison of these next to the metered/billed gateway volumes and an ICP level and proactively works with EMS on any discrepancies. This further check will pick up the above type of issue in the first month it occurs going forward should it occur again.</p>		Ongoing	

Provision of NSP submission information		
Non-compliance	Description	
<p>Audit Ref: 12.5</p> <p>With: Clause 15.10</p> <p>From: 01-Apr-18</p> <p>To: 05-Mar-21</p>	<p>Volumes not reconciled on meter 217001220 for NSP SYL0113 from April 2018 to December 2019.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: None</p> <p>Controls: Strong</p> <p>Breach risk rating: 3</p>	
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Cleared		

