

Compliance Plan for WEL ATH – May 2018

Non-compliance	Description		
<p>Audit Ref: 3.9</p> <p>With: Clause 14 of Schedule 10.4</p> <p>From: 22-May-15</p> <p>To: 10-May-18</p>	<p>WEL is not always providing reports to MEPs within the required five business days</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: none</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>The controls are recorded as moderate because there is room for improvement.</p> <p>There is very little impact on other participants as when certification is completed for other MEPs the WEL meter is used as a check meter only and the other MEPs meter remains unchanged; therefore the audit risk rating is low.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>This issue arose because of a misunderstanding regarding the frequency at which changes needed to be notified to MEPs.</p> <p>We are now sending these weekly.</p>		11/5/2018	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Our processes and practice has been changed to send these weekly as above.</p>		11/5/2018	