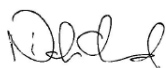


Electricity Industry Participation Code Amendment (Code Review Programme) 2019

Under sections 38 and 39(3)(a) of the Electricity Industry Act 2010, and having complied with section 39 of that Act, I make the following amendment to the Electricity Industry Participation Code 2010.

At Wellington on the 09 day of November 2021



Dr Nicola Crauford
Chair
Electricity Authority

Certified in order for signature:



Jeremy Ford
Senior Legal Counsel
Electricity Authority

29 October 2021



Nick Crang
Partner
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28 October 2021

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Amendment

1 Title

This is the Electricity Industry Participation Code Amendment (Code Review Programme) 2019.

2 Commencement

- (1) Except as provided in subclauses (2) and (3), this amendment comes into force the day after the date which is 28 days after the date of the *Gazette* notice.
- (2) Clauses 4(2), 4(10), 4(11), 4(16), 36, 65, 68 to 72, and 76 come into force on 31 December 2021.
- (3) Clauses 4(17), 37 to 48, and 74 come into force on 1 March 2022.

3 Code amended

This amendment amends the Electricity Industry Participation Code 2010.

4 Clause 1.1 amended (Interpretation)

- (1) In clause 1.1(1), definition of **auditor**,—
 - (a) in paragraph (a), delete “, a person”; and

- (b) in paragraph (a)(i), before “approved”, insert “a person”.
- (2) In clause 1.1(1), replace the definition of **block security constraint** with:
“block security constraint means any of the following:
 “(a) a security constraint as determined in accordance with the **policy statement** and applied by the **system operator** to a **generating unit** or **generating station** to provide **voltage support** or **frequency keeping**;
 “(b) a limitation in **grid** capacity that:
 “(i) is a limitation in the capacity of the **grid** to convey **electricity** between either:
 “(A) **generating stations** constituting a **block dispatch group**; or
 “(B) **generating stations** constituting a **block dispatch group** and the **grid**;
 and
 “(ii) arises because of either—
 “(A) a limitation in the offered capacity of the **grid**; or
 “(B) a security constraint as determined by the **system operator** in accordance with the **policy statement**”
- (3) In clause 1.1(1), definition of **compensation factor**, replace “losses” with “**losses**”.
- (4) In clause 1.1(1), definition of **contract price**, replace “losses” with “**losses**”.
- (5) In clause 1.1(1), definition of **extended reserve manager**,—
 (a) delete “that is”; and
 (b) after “appointed as”, delete “the”; and
 (c) delete “, or if no regulations have been made establishing the **extended reserve manager** as a **market operation service provider**, the **Authority**”.
- (6) In clause 1.1(1), replace the definition of **fully certified metering installation** with:
“fully certified metering installation means a **metering installation** that has been **certified** other than an **interim certified metering installation**”
- (7) In clause 1.1(1), definition of **FTR manager**, delete “who is”.
- (8) In clause 1.1(1), definition of **generating unit net**, replace “losses” with “**losses**”.
- (9) In clause 1.1(1), definition of **good electricity industry practice**,—
 (a) replace “owner” with “**owner**” in each place; and
 (b) replace “network” with “**network**” in each place.
- (10) In clause 1.1(1), replace the definition of **historical estimate** with:
“historical estimate means, in relation to non **half hour** metered **ICPs**, **volume information** (in kWh)—
 “(a) apportioned to part or full **consumption periods** after having applied—
 “(i) the **seasonal adjustment shape**; or
 “(ii) any other **profile** that has, from time to time, been approved by the **Authority** for this purpose; or
 “(iii) any other **profile** permitted under clause 5 of Schedule 15.3; and
 “(b) being 1 of the following:
 “(i) the difference between 2 actual **validated meter readings**;
 “(ii) the difference between 2 **permanent estimates**;
 “(iii) any relevant **unmetered load**;
 “(iv) the difference between a **validated meter reading** and a **permanent estimate**”
- (11) In clause 1.1(1), replace the definition of **point of connection** with:
“point of connection means—
 “(a) a point at which **electricity** may flow, via one or more phases or conductors—
 “(i) into or out of a **network**; or
 “(ii) both into and out of a **network** at the same time, where each directional flow is on different phases or conductors; and
 “(b) for the purposes of **Technical Code A** of Schedule 8.3, means a **grid injection point** or a **grid exit point**”
- (12) In clause 1.1(1), definition of **pricing manager**, delete “who is”.
- (13) In clause 1.1(1), revoke the definition of **public conservation period**.

- (14) In clause 1.1(1), definition of **reconciliation manager**, delete “who is”.
- (15) In clause 1.1(1), definition of **station net**, replace “losses” with “**losses**”.
- (16) In clause 1.1(1), replace the definition of **station security constraint** with:
“**station security constraint** means any of the following:
“(a) a security constraint as determined in accordance with the **policy statement** and applied by the **system operator** to a **generating unit** to provide **voltage support** or **frequency keeping**;
“(b) a limitation in **grid** capacity that:
“(i) is a limitation in the capacity of the **grid** to convey **electricity** between either—
“(A) **generating units** constituting a **station dispatch group**; or
“(B) **generating units** constituting a **station dispatch group** and the **grid**;
and
“(ii) arises because of either—
“(A) a limitation in the offered capacity of the **grid**; or
“(B) a security constraint as determined by the **system operator** in accordance with the **policy statement**”
- (17) In clause 1.1(1), definition of **switch protected period**,—
(a) replace subclause (a)(i) with:
“(i) the day on which the **registry manager**, under clause 22(a) of Schedule 11.3, makes written notice available to the **losing retailer** or the **losing retailer** otherwise becomes aware that a customer is switching to a **gaining retailer**; or”
(b) replace subclause (b)(iii) with:
“(iii) if the **gaining retailer** is a **trader** and makes a withdrawal request, the date on which the **registry manager**, under clause 22(b) of Schedule 11.3, makes written notice of the withdrawal request available to the **losing retailer** (if a **trader**); or”
- (18) In clause 1.1(1), definition of **system operator register**, delete “The **system operator** must maintain an up to date copy of the **system operator register** and **publish** it and keep it **published**”.
- (19) In clause 1.1(1), revoke the definition of **transfer**.

5 Clause 6.3 amended (Distributors must make information publicly available)

- (1) Replace clause 6.3(2)(da) with:
“(da) a list of all locations on its **distribution network** that the **distributor** knows to be subject to **export congestion**; and”
- (2) After clause 6.3(2)(da), insert:
“(db) a list of all locations on its **distribution network** that the **distributor** expects to become subject to **export congestion** within the next 12 months; and”
- (3) Renumber existing clause 6.3(2)(db) as clause 6.3(2)(dc).

6 Schedule 6.1, clause 9D amended

In Schedule 6.1, clause 9D(1), after “6.3(2)(da)” insert “ or (db)”.

7 Schedule 6.1, clause 20 amended

In Schedule 6.1, in the heading of clause 20, replace “**proceed**” with “**negotiate**”.

8 Schedule 6.1, clause 21 amended

In Schedule 6.1, in the heading of clause 21, replace “**proceed**” with “**negotiate**”.

9 Schedule 6.2, clause 1 amended

In Schedule 6.2, clause 1, delete “of Part 6 of this Code”.

10 Clause 8.25 amended (Other asset owner performance obligations and technical standards)

In clause 8.25(2), replace “connected asset owner” with “**connected asset owner**”.

11 New clause 8.54AA inserted (System operator to maintain and publish register)

After clause 8.54A, insert:

“8.54AA System operator to maintain and publish register

“(1) The **system operator** must maintain and **publish** an up to date copy of the **system operator register**.

“(2) The up to date copy of the **system operator register published** under subclause (1) must be available to the public at all times up until a new up to date copy is **published**.”

12 Schedule 8.1, clause 3 amended

In Schedule 8.1, clause 3, replace “in accordance with” with “under”.

13 Schedule 8.2, clause 1 amended

In Schedule 8.2, clause 1(2), replace “receipt of” with “receiving”.

14 Schedule 8.3, Technical Code A, clause 2 amended

In Schedule 8.3, Technical Code A, clause 2(1)(a), replace “connected asset owners” with “**connected asset owners**”.

15 Schedule 8.3, Technical Code A, clause 3 amended

In Schedule 8.3, Technical Code A, clause 3(2),—

- (a) replace “**Information**” with “Information”; and
- (b) in subparagraph (c), replace “**law**” with “law”.

16 Schedule 8.3, Technical Code A, clause 5 amended

In Schedule 8.3, Technical Code A, clause 5(2),—

- (a) replace “with a” with “must ensure that each of its”; and
- (b) replace “**generating unit**” with “**generating units**”; and
- (c) replace “must” with “is equipped with”; and
- (d) in subparagraph (a), delete “have”; and
- (e) in subparagraph (b), delete “ensure that each of its **generating** units is equipped with”.

17 Schedule 8.3, Technical Code B, clause 5 amended

In Schedule 8.3, Technical Code B, clause 5(1A),—

- (a) after “practicable after,” insert “under clause 6, the **system operator** has issued, amended, or revoked”; and
- (b) delete “has been issued, amended, or revoked under clause 6”.

18 Schedule 8.3, Technical Code B, clause 9 amended

(1) Replace Schedule 8.3, Technical Code B, clause 9(c) with:

- “(c) when either the minimum voltage limit or the maximum voltage limit set out in the table contained in clause 8.22(1) is exceeded at any **point of connection**;
 - “(i) **generators** and **ancillary service agents** must use reasonable endeavours to take immediate independent action to return the voltage to, as close as practicable, within such limits:
 - “(ii) each **generator** must use reasonable endeavours to **synchronise** and, as necessary, load and adjust all available **generating units** that can assist in restoring the voltage:
 - “(iii) **ancillary service agents** must use reasonable endeavours to **electrically connect** to the **grid** and, as necessary, load all available **reactive capability** resources, that can assist in restoring the voltage:

- “(iv) as soon as practicable after taking the actions described in subparagraphs (i) to (iii), each **generator** and **ancillary service agent** must report to the **system operator** on the action taken to correct voltage.”
- (2) Replace Schedule 8.3, Technical Code B, clause 9(f) with:
 - “(f) in the event of a failure at the **system operator’s** operational centre that disables the main **dispatch** or communication systems, the **system operator** may temporarily transfer its operational activities to an alternative operational centre. If the **system operator** makes such a transfer, the **system operator** must:
 - “(i) arrange for communication facilities to transfer to the new location; and
 - “(ii) give written notice to **participants** of those arrangements.”

19 Schedule 8.3, Technical Code C, Appendix A, Table A2 amended

In Schedule 8.3, Technical Code C, Appendix A, Table A2 delete the first occurrence of the item—

Special protection scheme status	Enabled/disabled/summer/winter	N/A
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20 Clause 9.19 amended (Contents of this subpart)

In clause 9.19(c), replace “a **public conservation period**” with “an **official conservation campaign**”.

21 Clause 9.21 amended (Qualifying customers)

- (1) In clause 9.21(1), replace “a **public conservation period**” with “an **official conservation campaign**”.
- (2) In clause 9.21(1)(b)(ii), replace “**public conservation period**” with “**official conservation campaign**”.
- (3) In clause 9.21(3), replace “**public conservation period**” with “**official conservation campaign**”.
- (4) In clause 9.21(4), replace “a **public conservation period**” with “an **official conservation campaign**”.

22 Clause 9.22 amended (Requirement to implement customer compensation schemes)

- (1) In clause 9.22(1), replace “a **public conservation period**” with “an **official conservation campaign**”.
- (2) In clause 9.22(2), delete “a **public conservation period** is running because”.

23 Clause 9.24 amended (Requirements of default customer compensation schemes)

- (1) In clause 9.24(1)(a), replace “**retailer’s customer**” with “**retailer’s customer**”.
- (2) In clause 9.24(1)(b),—
 - (a) replace “a **public conservation period**” with “an **official conservation campaign**”; and
 - (b) replace “the **public conservation period**” with “the **official conservation campaign**”; and
 - (c) replace “**retailer’s customer**” with “**retailer’s customer**”.
- (3) In clause 9.24(1)(c),—
 - (a) replace “a **public conservation period**” with “an **official conservation campaign**”; and
 - (b) replace “**retailer’s customer**” with “**retailer’s customer**”.
- (4) In clause 9.24(1)(c)(ii), replace “a **public conservation period**” with “an **official conservation campaign**”.

24 Clause 9.25 amended (Authority must determine minimum weekly amount)

In clause 9.25(2)(b)(i), replace “**public conservation period**” with “**official conservation campaign**”.

- 25 Clause 9.29 amended (Each retailer must provide certification)**
In clause 9.29(3)(a), replace “a **public conservation period**” with “an **official conservation campaign**”.
- 26 Clause 10.7 amended (Access to premises in which metering installation located)**
In clause 10.7(3), replace “**regulations**” with “regulations”.
- 27 Clause 10.31B amended (When distributor may electrically connect ICP that is not NSP)**
In clause 10.31B(2), replace “(1)(b)” with “1(c)”.
- 28 Clause 10.50 amended (Dispute resolution)**
(1) In clause 10.50(3),—
(a) replace “A” with “If a”; and
(b) delete “may, if it”; and
(c) replace “be referred” with “the **Authority** or a **participant** may refer the complaint”; and
(d) replace “**regulations**, by the **Authority** or a **participant**” with “regulations”.
(2) In clause 10.50(6), replace “**regulations**” with “regulations”.
- 29 Schedule 10.6, clause 1 amended**
(1) In Schedule 10.6, clause 1(5), replace “**regulations**” with regulations”.
(2) In Schedule 10.6, clause 1(7)(c)(ii), replace “**regulations**” with “regulations”.
- 30 Schedule 10.6, clause 3 amended**
(1) In Schedule 10.6, clause 3(2), replace “**regulations**” with regulations”.
(2) In Schedule 10.6, clause 3(4)(b), replace “**regulations**” with “regulations”.
- 31 Schedule 10.6, clause 5 amended**
(1) In Schedule 10.6, clause 5(1), replace “**regulations**” with regulations”.
(2) In Schedule 10.6, clause 5(3)(c), replace “**regulations**” with “regulations”.
- 32 Schedule 10.7, clause 19 amended**
In Schedule 10.7, clause 19, revoke subclauses (5), (6) and (7).
- 33 Schedule 10.7, clause 44 amended**
In Schedule 10.7, clause 44(4), delete “, other than an **interim certified metering installation**,”.
- 34 Clause 11.8 amended (Provision of and changes to ICP information and NSP information by participants).**
In clause 11.8(1)(b), delete “(a “transfer”)”.
- 35 Clause 11.15C amended (Process for trader events of default)**
In clause 11.15C(1), after “14.41”, insert “(1)”.
- 36 Schedule 11.1, clause 8 amended**
In Schedule 11.1, clause 8(2) after subparagraph (a), insert:
“(aa) in the case of a change to the information provided under clause 7(1)(g), where the change is backdated, no later than 3 **business days** after the **distributor** and the **trader** responsible for the **ICP** agree on the change; and”.
- 37 Schedule 11.1, clause 25 amended**
(1) In Schedule 11.1, clause 25(2),—
(a) replace “so that the ICP” with “to transfer the **ICP** so that it”; and

- (b) delete “(a “transfer”)”.
- (2) In Schedule 11.1, after clause 25(6), insert:
 - “(7) An **embedded network** owner must not give written notice of **decommissioning** an **NSP** under subclause (3)(c) or subclause (3)(d) unless—
 - “(a) the **embedded network** owner has changed the status in the **registry** of all **ICPs** recorded as being usually connected to the **NSP** to ‘Decommissioned’; or
 - “(b) a **distributor** has changed the record in the **registry** of each **ICP** previously recorded as being usually connected to the **NSP**, and with a status in the **registry** of ‘Active’ or ‘Inactive’, to record the **ICP** as being usually connected to an **NSP** in the **distributor’s network**; or
 - “(c) a combination of the changes described in paragraphs (a) and (b) has occurred, so that no **ICP** with a status in the **registry** of ‘Active’ or ‘Inactive’ is recorded as being connected to the **NSP** that is to be **decommissioned**.”

38 Schedule 11.2, new clauses 5A and 5B inserted

- (1) In Schedule 11.2(1),—
 - (a) replace “so that the **ICP**” with “to transfer the **ICP** so that it”; and
 - (b) delete “(a “transfer”)”.
- (2) In Schedule 11.2, after clause 5, insert:
 - “5A For the purposes of clause 5, the **distributor** (under subclause 5(a)) or the **trader** (under subclause 5(b)) is deemed to have consented to the proposed transfer if the applicant **distributor** has requested in writing the **distributor’s** or **trader’s** written consent and—
 - “(a) the **distributor** or **trader** (as the case may be)—
 - “(i) has not provided written consent; and
 - “(ii) has not indicated in writing that it refuses to give written consent; and
 - “(b) more than 40 **business days** (or such other period as the applicant **distributor** agrees with the **distributor** or **trader**) have passed since the applicant **distributor** requested the **distributor’s** or **trader’s** written consent; and
 - “(c) during the 40 **business days** (or such other period as the applicant **distributor** agrees with the **distributor** or **trader**) the applicant **distributor** has—
 - “(i) checked the **registry** to ensure it has sought consent from the correct **distributor** or **trader**; and
 - “(ii) made reasonable endeavours to contact the **distributor** or **trader** and obtain a response.
 - “5B For the purposes of clause 5, the **distributor** (under subclause 5(a)) or the **trader** (under subclause 5(b)) must not unreasonably withhold consent to the proposed transfer.”

39 Schedule 11.3, clause 3 amended

In Schedule 11.3, clause 3,—

- (a) replace “receiving” with “the date on which the **registry manager**, under clause 22(a), makes written”; and
- (b) replace “from the **registry manager** under clause 22(a)” with “available to the losing **trader**”.

40 Schedule 11.3, clause 4 replaced

In Schedule 11.3, replace clause 4 with:

“4 Event dates

- “(1) The **losing** trader must establish **event dates** so that—
 - “(a) no **event date** is more than 10 **business days** after the date on which the **registry manager**, under clause 22(a), makes written notice available to the losing **trader**; and

- “(b) in any 12 month period at least 50% of the **event dates** established by the losing **trader** are no more than 5 **business days** after the date on which the **registry manager**, under clause 22(a), makes written notice available to the losing **trader**.
- “(2) For the purpose of determining whether it complies with subclause (1)(b), the losing **trader** may disregard every **event date** it has established for an **ICP** for which, on the date on which the **registry manager**, under clause 22(a), made written notice available to the losing **trader**, the losing **trader** had been responsible for less than 2 months.”

41 Schedule 11.3, clause 6 amended

In Schedule 11.3, clause 6(3),—

- (a) replace “receiving final information from” with “the date on which”; and
- (b) replace “under clause 22(d)” with “, under clause 22(d), makes written notice of switch completion information available to the gaining **trader**”.

42 Schedule 11.3, clause 6A amended

In Schedule 11.3, clause 6A, replace subparagraph (1) with:

- “(1) If a gaining **trader** disputes a **switch event meter reading** under clause 6(1)(b), the gaining **trader** must, no later than 4 months after the date on which the **registry manager** made written notice under clause 22(d) of switch completion information available to the gaining **trader**, provide to the losing **trader** a revised **switch event meter reading** supported by 2 **validated meter readings**.”

43 Schedule 11.3, clause 10 replaced

In Schedule 11.3, replace clause 10 with:

“10 Losing trader response to switch move request

- “(1) The **trader** that is recorded in the **registry** as being responsible for an **ICP** that is subject to a switch request (the “losing **trader**”) must, no later than 5 **business days** after the date on which the **registry manager** makes written notice under clause 22(a) of the switch request available to the losing **trader**,—
 - “(a) if the losing **trader** accepts the event date proposed by the gaining **trader**, complete the switch by providing to the **registry manager**—
 - “(i) *[Revoked]*
 - “(ia) confirmation of the **event date**; and
 - “(ib) a valid switch response code approved by the **Authority**; and
 - “(ii) final information in accordance with clause 11; or
 - “(b) if the losing **trader** does not accept the **event date** proposed by the gaining **trader**, acknowledge the switch request to the **registry manager** and determine a different **event date** that—
 - “(i) is not earlier than the gaining **trader**’s proposed **event date**; and
 - “(ii) is no later than 10 **business days** after the date on which the **registry manager**, under clause 22(a), made written notice of the switch request available to the losing **trader**; or
 - “(c) request that the switch be withdrawn in accordance with clause 17.
- “(2) If the losing **trader** determines a different **event date** under subclause (1)(b), the losing **trader** must, no later than 10 **business days** after the date on which the **registry manager** made written notice referred to in subclause (1) available to the losing **trader**, also complete the switch by providing to the **registry manager** the information described in subclause (1)(a), but in that case the **event date** is the **event date** determined by the losing **trader**.”

44 Schedule 11.3, clause 12 amended

- (1) In Schedule 11.3, clause 12(2B),—

- (a) replace “receiving final information from” with “the date on which”; and
 - (b) replace “under clause 22(d)” with “, under clause 22(d), makes written notice”.
- (2) In Schedule 11.3, clause 12(3),—
 - (a) after “no later than 4 months after” insert “the date on which”; and
 - (b) replace “gives the gaining **trader** written notice under clause 22(d) of having received information about the switch completion” with “, under clause 22(d), made written notice of switch completion information available to the gaining **trader**”; and
 - (c) replace “changed” with “revised”.
- 45 Schedule 11.3, clause 15 amended**
 - (1) In Schedule 11.3, clause 15, replace “the losing **trader** receives notice” with “the date on which the **registry manager**, under clause 22(a), makes written notice”.
 - (2) In Schedule 11.3, clause 15, replace “from the **registry manager** in accordance with clause 22(a),” with “available to the losing **trader**,”.
- 46 Schedule 11.3, clause 16 amended**

In Schedule 11.3, clause 16(1),—

 - (a) replace “receiving” with “the date on which the **registry manager**, under clause 22(c), makes written notice of”; and
 - (b) replace “from the **registry manager** under clause 22(c)” with “available to the gaining **trader**”.
- 47 Schedule 11.3, clause 18 amended**
 - (1) In Schedule 11.3, clause 18(d), replace “receiving notice from the **registry manager** in accordance with clause 22(b) available to the **trader** receiving the withdrawal” with “the date on which the **registry manager**, under clause 22(b), makes written notice available to the **trader** receiving the withdrawal, the **trader**”.
 - (2) In Schedule 11.3, clause 18(f), replace “receiving notice from the **registry manager** in accordance with clause 22(b)” with “the date on which the **registry manager**, under clause 22(b), makes written notice available to the losing **trader**”.
- 48 Schedule 11.3, clause 22 amended**

In Schedule 11.3, clauses 22(a), (b), (c) and (d), replace “must give written notice” with “must make written notice available” in each place.
- 49 Clause 12.84 amended (A Transmission pricing methodology)**

In the heading of clause 12.84, delete “A”.
- 50 Clause 12.86 amended (Review by the Authority)**

In the heading of clause 12.86, delete “the”.
- 51 Clause 12.107 amended (Transpower to identify interconnection branches, and propose service measures and levels)**

In clause 12.107(4)(c), replace “**transfer**” with “transfer”.
- 52 Clause 12.113 amended (Transpower to maintain interconnection assets)**

In clause 12.113, replace “Transpower” with “**Transpower**”.
- 53 Clause 12.141 amended (Consideration of the likely effects of planned outages)**

In the heading of clause 12.141, delete “the”.
- 54 Clause 13.24 amended (Plant with special circumstances)**

In clause 13.24, replace “clauses 13.9(b) and” with “clause”.

- 55 Clause 13.33 amended (Grid owners must submit revised information to system operator)**
In clause 13.33(c), replace “losses” with “**losses**” in each place.
- 56 Clause 13.39 amended (Inter-relationship between reserve and energy offers)**
In clause 13.39, replace “clauses 13.9(b) and” with “clause”.
- 57 Clause 13.40 amended (Inter-relationship between reserve offers of interruptible load and bids)**
In clause 13.40, replace “**connected**” with “**disconnected**”.
- 58 Clause 13.115 amended (Trading in auction rights permitted)**
(1) In clause 13.115(1),—
(a) replace “**transferring generator**” with “transferring generator”; and
(b) replace “**transfer**” with “transfer”.
(2) In clause 13.115(2),—
(a) replace “**transferring generator**” with “transferring generator”; and
(b) replace “**transfer**” with “transfer”.
(3) After clause 13.115(2), insert:
“(3) A **generator** may transfer its rights by transferring, selling, assigning, or otherwise disposing of its ownership interest.”.
- 59 Clause 13.196 amended (Calculation of constrained off amounts attributable to system operator)**
In clause 13.196(c), after “whichever is”, delete “the”.
- 60 Clause 13.215 amended (Generators and purchasers have right to information concerning pricing manager’s action)**
In clause 13.215(1), after “request”, delete “further”.
- 61 Schedule 13.3, clause 7 amended**
In Schedule 13.3, clause 7(g), replace “losses” with “**losses**” in each place.
- 62 Schedule 13.3, clause 15 amended**
In Schedule 13.3, clause 15(d), replace “losses” with “**losses**” in each place.
- 63 Clause 14.4 amended (Sale by generators with point of connection to local network or embedded network)**
In clause 14.4(1)(b), replace “15.14” with “15.13”.
- 64 Clause 14A.22 amended (Clearing manager to keep register of specified time periods)**
In clause 14A.22(6), after “the number of”, replace “**business days**” with “**trading days**”.
- 65 Clause 15.8 amended (Retailer and direct purchaser half hourly metered ICPs monthly kWh information)**
(1) In clause 15.8, replace “Each **retailer**” with “Using relevant **volume information**, each **retailer**”.
(2) In clause 15.8, delete “**retailer’s or direct purchaser’s**”.
(3) In clause 15.8, replace “**electricity supplied for**” with “**electricity consumed at**”.
- 66 Clause 15.38 amended (Functions requiring certification)**
In clause 15.38(1)(c),—
(a) in subparagraph (iii), replace “; or” with “:”; and
(b) revoke paragraph (iv).

- 67 Schedule 15.2, clause 8 amended**
In Schedule 15.2, clause 8(1), after “ICPs”, replace “that” with “at which”.
- 68 Schedule 15.3, clause 3 amended**
In Schedule 15.3, clause 3(1), replace “in this clause” with “in clauses 4 to 7”.
- 69 Schedule 15.3, clause 4 amended**
(1) In schedule 15.3, clause 4, replace “**historic estimate**” with “**historical estimate**”.
(2) In schedule 15.3, clause 4, after “**seasonal adjustment shape** is available”, insert “and the **reconciliation participant** is not using an approved **profile** in accordance with clause 4A”.
- 70 Schedule 15.3, clause 4A inserted**
In Schedule 15.3, after clause 4, insert:
“4A Historical estimates using approved profile
If the **Authority** has approved a **profile** for the purpose of apportioning **volume information** (in kWh) to part or full **consumption periods**, a **reconciliation participant**—
“(a) may use the **profile** despite the relevant **seasonal adjustment shape** being available; and
“(b) if it uses the **profile**, must otherwise prepare the **historical estimate** in accordance with the methodology in clause 4.”
- 71 Schedule 15.3, clause 5 amended**
In Schedule 15.3, clause 5, after “or for any other reason,” insert “and the **reconciliation participant** is not using an approved **profile** under clause 4A,”.
- 72 Schedule 15.3, clause 10 amended**
(1) In Schedule 15.3, clause 10(1), after “the proportion of **historical estimates**” insert “prepared under clauses 4 or 4A,”.
(2) In Schedule 15.3, clause 10(2), replace “the proportion of **historical estimates** per **NSP**, per **reconciliation participant**” with “the proportion of **historical estimates** prepared under clause 4 or clause 4A, per **NSP** and per **reconciliation participant**,”.
(3) In Schedule 15.3, clause 10(3) after “comprised of **historical estimates**” insert “prepared under clause 4 or clause 4A”.
- 73 Schedule 15.4, clause 18 amended**
In Schedule 15.4, clause 18(1)(b), in the definition of **AES_{Ri}**, replace “supplied” with “**supplied**”.
- 74 Schedule 15.4, clause 27 amended**
In Schedule 15.4, clause 27(b),—
(a) delete “for each **balancing area**”; and
(b) after “reconciliation by **retailers**” insert,—
“, specified for each—
“(i) **point of connection** to the **grid**; and
“(ii) **NSP identifier**; and
“(iii) **balancing area**”.
- 75 Schedule 15.5, clause 28 amended**
In Schedule 15.5, clause 28(1) delete “An **interim certified metering installation** must not be used for this purpose.”.
- 76 Clause 16A.13 amended (Participants to give final audit report and compliance plan to the Authority)**

- (1) In clause 16A.13, replace paragraph (3) with:
 - “(3) Each **participant** must—
 - “(a) provide the compliance plan and final **audit** report in the **prescribed form**; and
 - “(b) deliver the compliance plan and final **audit** report in the manner specified by the **Authority**.”
- (2) In clause 16A.13(5), after “relevant”, insert “final”.

77 New Part 16A, Subpart 8 inserted

After clause 16A.26, insert:

“Subpart 8 – Transitional provisions

“16A.27 Metering equipment provider audits

- “(1) If, on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, the **Authority** has specified a date under clause 1(1)(b) of Schedule 10.5 by which a **metering equipment provider** must ensure that an **audit** is carried out, the **metering equipment provider** must ensure that an **audit** is completed in accordance with this Part by the later of—
 - “(a) the date that the **Authority** has specified; or
 - “(b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
- “(2) If, on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, the **Authority** has not specified a date under clause 1(1)(b) of Schedule 10.5 by which a **metering equipment provider** must ensure that an **audit** is carried out,—
 - “(a) the **Authority** must, no later than 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, specify a date by which the **metering equipment provider** must ensure that an **audit** is carried out in accordance with this Part; and
 - “(b) the **metering equipment provider** must comply with that requirement.
- “(3) Clause 16A.17 applies to a **metering equipment provider** to which subclauses (1) or (2) apply as if the **audit** completed under those subclauses were the initial **audit** required under clause 16A.17(a).

“16A.28 ATH audits

- “(1) If, on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, the **Authority** has specified a date under clause 1(4)(c) of Schedule 10.3 by which an **ATH** must ensure that an **audit** is carried out, the **ATH** must ensure that an **audit** is completed in accordance with this Part by the later of—
 - “(a) the date that the **Authority** has specified; or
 - “(b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
- “(2) If, on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, the **Authority** has not specified a date under clause 1(4)(c) of Schedule 10.3 by which an **ATH** must ensure that an **audit** is carried out,—
 - “(a) the **Authority** must, no later than 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, specify a date by which the **ATH** must ensure that an **audit** is carried out in accordance with this Part; and
 - “(b) the **ATH** must comply with that requirement.

- “(3) Clause 16A.19 applies to an **ATH** to which subclauses (1) or (2) apply as if the **audit** completed under those subclauses were the initial **audit** required under clause 16A.19(a).

“16A.29 Distributor audits

- “(1) If, immediately before the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, a **distributor** was required to arrange for an **audit** to be completed by a date determined in accordance with clause 11.10(1)(b), the **distributor** must ensure that an **audit** is completed in accordance with this Part by the later of—
- “(a) the date determined in accordance with clause 11.10(1)(b); or
 - “(b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
- “(2) Clause 16A.22 applies to a **distributor** to which subclause (1) applies as if the **audit** completed under that subclause were the initial **audit** required under clause 16A.22(a).

“16A.30 Reconciliation participant audits

- “(1) If, immediately before the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, a **reconciliation participant** was required to provide a final **audit** report to the **Authority** by a date determined in accordance with clause 11(1) of Schedule 15.1, the **reconciliation participant** must ensure that an **audit** is completed in accordance with this Part by the later of—
- “(a) the date determined in accordance with clause 11(1) of Schedule 15.1; or
 - “(b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
- “(2) Clause 16A.24 applies to a **reconciliation participant** to which subclause (1) applies as if the **audit** completed under that subclause were the initial **audit** required under clause 16A.24(a).

“16A.31 Dispatchable load purchaser audits

- “(1) If, immediately before the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, a **dispatchable load purchaser** was required to provide a final **audit** report to the **Authority** by a date determined in accordance with clause 11(1) of Schedule 15.1, the **dispatchable load purchaser** must ensure that an **audit** is completed in accordance with this Part by the later of—
- “(a) the date determined in accordance with clause 11(1) of Schedule 15.1; or
 - “(b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
- “(2) Clause 16A.25 applies to a **dispatchable load purchaser** to which subclause (1) applies as if the **audit** completed under that subclause were the initial **audit** required under clause 16A.25(a).

“16A.32 Distributed unmetered load audits

- “(1) A **retailer** that is responsible for **distributed unmetered load** on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force must ensure that an **audit** is completed in accordance with this Part no later than 12 months after that date.

“(2) Clause 16A.26(1) applies to a **retailer** to which subclause (1) applies as if the **audit** completed under that subclause were the initial **audit** required under clause 16A.26(1)(a).”

78 Clause 17.3 revoked (Requests for rulebook information)
Revoke clause 17.3.

79 Clause 17.4 revoked (Appointment of market operation service providers)
Revoke clause 17.4.

80 Clause 17.6 revoked (Notification of a force majeure event by a service provider)
Revoke clause 17.6.

81 Clause 17.8 revoked (Performance standards)
Revoke clause 17.8.

82 Clause 17.9 revoked (Market operation service providers must report to Authority)
Revoke clause 17.9.

83 Clause 17.10 revoked (Review of market operation service providers)
Revoke clause 17.10.

84 Clause 17.11 revoked (Software specifications)
Revoke clause 17.11.

85 Clause 17.12 revoked (Notification of a force majeure event by an ancillary service agent)
Revoke clause 17.12.

86 Clause 17.13 revoked (Undesirable trading situations)
Revoke clause 17.13.

87 Clause 17.16 amended (Connection of distributed generation on regulated terms)
In clause 17.16, revoke subclause (2).

88 Clause 17.17 amended (Obtaining approval to connect distributed generation under 10kW)
In clause 17.17, revoke subclauses (1) and (3).

89 Clause 17.20 revoked (Annual reporting and record keeping)
Revoke clause 17.20.

90 Clause 17.22 revoked (Breach of regulated terms)
Revoke clause 17.22.

91 Clause 17.23 revoked (Default dispute resolution process)
Revoke clause 17.23.

92 Clause 17.24 revoked (Security of supply forecasting and information policy)
Revoke clause 17.24.

93 Clause 17.25 revoked (Emergency management policy)
Revoke clause 17.25.

94 Clause 17.26 revoked (Review of system operator)

Revoke clause 17.26.

95 Clause 17.27 revoked (Review of the system operator)
Revoke clause 17.27.

96 Clause 17.28 revoked (Policy statements)
Revoke clause 17.28.

97 Clause 17.30 revoked (System security forecast)
Revoke clause 17.30.

98 Clause 17.31 revoked (Load shedding obligations to support voltage)
Revoke clause 17.31.

99 Clause 17.32 amended (Information provisions)
In clause 17.32, revoke subclause (2).

100 Clause 17.33 revoked (Commissioning plan or testing plan)
Revoke clause 17.33.

101 Clause 17.34 amended (Equivalence arrangement or dispensation)
In clause 17.34, revoke subclauses (3), (4) and (6).

102 Clause 17.36 revoked (Procurement plan)
Revoke clause 17.36.

103 Clause 17.37 revoked (Alternative ancillary service arrangement)
Revoke clause 17.37.

104 Clause 17.38 amended (Allocating ancillary services costs)
(1) In clause 17.38, revoke subclause (1).
(2) In clause 17.38(2), replace “8.55(b)” with “8.55(1)(b)”.
(3) In clause 17.38, revoke subclauses (3) to (14).

105 Clause 17.39 revoked (Requirements for asset capability statement)
Revoke clause 17.39.

106 Clause 17.41 revoked (Modification and changes to assets)
Revoke clause 17.41.

107 Clause 17.42 revoked (Records, tests and inspections)
Revoke clause 17.42.

108 Clause 17.43 revoked (Information provided)
Revoke clause 17.43.

109 Clause 17.47 amended (Specific requirements for document transmission communication)
In clause 17.47, revoke subclause (1).

110 Clause 17.48 revoked (Outage)
Revoke clause 17.48.

111 Clause 17.49 revoked (System operator rolling outage plan)
Revoke clause 17.49.

- 112 Clause 17.50 amended (Participant rolling outage plans)**
In clause 17.50, revoke subclauses (2) to (11).
- 113 Clause 17.51 revoked (Supply shortage declaration)**
Revoke clause 17.51.
- 114 Clause 17.52 revoked (Security of supply direction)**
Revoke clause 17.52.
- 115 Clause 17.53 revoked (Provision of information)**
Revoke clause 17.53.
- 116 Clause 17.54 revoked (Meter installations)**
Revoke clause 17.54.
- 117 Clause 17.55 revoked (Quantification at points of connection)**
Revoke clause 17.55.
- 118 Clause 17.56 revoked (Electricity recorded)**
Revoke clause 17.56.
- 119 Clause 17.57 revoked (Metering installation tests)**
Revoke clause 17.57
- 120 Clause 17.58 amended (Approved test house)**
In clause 17.58, revoke subclauses (1), (2), (3) and (5).
- 121 Clause 17.59 amended (Certification of metering installations of Practice 10.3)**
In clause 17.59, revoke subclause (2).
- 122 Clause 17.60 revoked (Inspection requirements)**
Revoke clause 17.60.
- 123 Clause 17.64 revoked (Participants may request that distributors create ICP)**
Revoke clause 17.64.
- 124 Clause 17.67 revoked (Network owner notifications)**
Revoke clause 17.67.
- 125 Clause 17.68 revoked (Audits)**
Revoke clause 17.68.
- 126 Clause 17.70 revoked (Timeframes and formats of information)**
Revoke clause 17.70.
- 127 Clause 17.71 revoked (Confirmation of receipt of data)**
Revoke clause 17.71.
- 128 Clause 17.72 revoked (Registry must maintain a database of information)**
Revoke clause 17.72.
- 129 Clause 17.73 revoked (Reports from the registry)**
Revoke clause 17.73.

- 130 Clause 17.74 revoked (Registry reports to specific participants)**
Revoke clause 17.74.
- 131 Clause 17.75 amended (Access to the registry)**
(1) In the heading of clause 17.75, delete “the”.
(2) In clause 17.75, revoke subclauses (1) and (3).
- 132 Clause 17.76 revoked (Registry notifications)**
Revoke clause 17.76.
- 133 Clause 17.77 revoked (Customer and embedded generator queries)**
Revoke clause 17.77.
- 134 Clause 17.81 revoked (Correction of errors in the registry)**
Revoke clause 17.81.
- 135 Clause 17.82 amended (Management of ICP status by distributors and traders)**
In clause 17.82, revoke subclauses (2) to (4).
- 136 Clause 17.87 revoked (Creation and decommissioning of NSPs and transfer of ICPs)**
Revoke clause 17.87.
- 137 Clause 17.88 revoked (Information to be provided if NSPs are created or ICPs are transferred)**
Revoke clause 17.88.
- 138 Clause 17.89 revoked (Information to be provided if ICPs become NSPs)**
Revoke clause 17.89.
- 139 Clause 17.92 revoked (Reconciliation manager to advise registry)**
Revoke clause 17.92.
- 140 Clause 17.93 revoked (Transfer of ICPs between distributors’ networks)**
Revoke clause 17.93.
- 141 Clause 17.94 revoked (Standard switching process for ICPs with non half-hour metering and unmetered ICPs)**
Revoke clause 17.94.
- 142 Clause 17.95 revoked (Switch move process for ICPs with non half-hour metering and unmetered ICPs)**
Revoke clause 17.95.
- 143 Clause 17.96 revoked (Half-hour switching process)**
Revoke clause 17.96.
- 144 Clause 17.97 amended (Withdrawal of switch requests)**
In clause 17.97, revoke subclauses (2) to (6).
- 145 Clause 17.98 revoked (Participants to use file formats)**
Revoke clause 17.98.

- 146 Clause 17.99 revoked (Method of exchanging files)**
Revoke clause 17.99.
- 147 Clause 17.100 revoked (Costs of interrogation or estimation)**
Revoke clause 17.100.
- 148 Clause 17.101 revoked (Registry notifications)**
Revoke clause 17.101.
- 149 Clause 17.101A revoked (Switching under Schedule 11.3)**
Revoke clause 17.101A.
- 150 Clause 17.102 revoked (Discretion to waive requirements)**
Revoke clause 17.102.
- 151 Clause 17.103 revoked (Benchmark agreements to be default transmission agreements)**
Revoke clause 17.103.
- 152 Clause 17.104 revoked (Changes to the connection assets under default transmission agreements)**
Revoke clause 17.104.
- 153 Clause 17.105 revoked (Expiry or termination of transmission agreements)**
Revoke clause 17.105.
- 154 Clause 17.106 revoked (Transmission agreement to be provided and published)**
Revoke clause 17.106.
- 155 Clause 17.107 revoked (Review of Connection Code)**
Revoke clause 17.107.
- 156 Clause 17.111 amended (Customer specific value of unserved energy)**
In clause 17.111, revoke subclauses (1) and (2).
- 157 Clauses 17.112 revoked (Replacement and enhancement of shared connection assets)**
Revoke clause 17.112.
- 158 Clause 17.113 revoked (Resolution of disputes relating to transmission agreements)**
Revoke clause 17.113.
- 159 Clause 17.114 revoked (Review of benchmark agreement)**
Revoke clause 17.114.
- 160 Clause 17.115 revoked (Existing agreements)**
Revoke clause 17.115.
- 161 Clause 17.116 revoked (Transpower to publish grid reliability report)**
Revoke clause 17.116.
- 162 Clause 17.117 revoked (Issues paper)**
Revoke clause 17.117.
- 163 Clause 17.119 revoked (Development of transmission prices)**
Revoke clause 17.119.

- 164 Clause 17.120 revoked (Audit of transmission prices)**
Revoke clause 17.120.
- 165 Clause 17.121 revoked (Review of approved transmission pricing methodology)**
Revoke clause 17.121.
- 166 Clause 17.122 revoked (Transpower to identify interconnection branches, and propose service measures and levels)**
Revoke clause 17.122.
- 167 Clause 17.123 revoked (Transpower to propose reliability investments)**
Revoke clause 17.123.
- 168 Clause 17.124 revoked (Transpower to propose economic investments)**
Revoke clause 17.124.
- 169 Clause 17.125 revoked (Information on capacities of individual interconnection assets)**
Revoke clause 17.125.
- 170 Clause 17.126 revoked (Transpower to provide and publish annual report on interconnection asset capacity and grid configuration)**
Revoke clause 17.126.
- 171 Clause 17.127 revoked (Transpower to report on availability and reliability)**
Revoke clause 17.127.
- 172 Clause 17.128 revoked (Requests for rule book information)**
Revoke clause 17.128.
- 173 Clause 17.129 amended (Approval process for industrial co-generating stations)**
In clause 17.129, revoke subclauses (1) and (3).
- 174 Clause 17.129A revoked (Transitional provisions for co-generators)**
Revoke clause 17.129A.
- 175 Clause 17.130 revoked (Offer preparation by generators)**
Revoke clause 17.130.
- 176 Clause 17.131 revoked (Generators' notice of initial offer)**
Revoke clause 17.131.
- 177 Clause 17.132 revoked (Bids by purchasers)**
Revoke clause 17.132.
- 178 Clause 17.133 revoked (Purchasers' notice of initial bids)**
Revoke clause 17.133.
- 179 Clause 17.134 revoked (Bids and offers are valid until cancelled)**
Revoke clause 17.134.
- 180 Clause 17.136 revoked (New revised, or cancelled bid or offer inside the 2 hour period)**
Revoke clause 17.136.

- 181 Clause 17.139 revoked (Plant with special circumstance)**
Revoke clause 17.139.
- 182 Clause 17.141 amended (Special treatment of some grid exit points)**
In clause 17.141, revoke subclause (1).
- 183 Clause 17.142 revoked (Standing data to be provided to the system operator)**
Revoke clause 17.142.
- 184 Clause 17.144 revoked (Grid owners must submit revised information to the system operator)**
Revoke clause 17.144.
- 185 Clause 17.145 revoked (Changes may be made within 2 hours prior to the trading period)**
Revoke clause 17.145.
- 186 Clause 17.146 revoked (System operator to approve ancillary service agents wishing to make reserve offers)**
Revoke clause 17.146.
- 187 Clause 17.147 revoked (Ancillary service agents to submit reserve offers to the system operator)**
Revoke clause 17.147.
- 188 Clause 17.148 revoked (Revised reserve offer inside the 2 hour period)**
Revoke clause 17.148.
- 189 Clause 17.149 revoked (Availability of final bids and final offers)**
Revoke clause 17.149.
- 190 Clause 17.150 revoked (Process for preparing a pre-dispatch schedule)**
Revoke clause 17.150.
- 191 Clause 17.151 amended (Block dispatch may occur)**
In clause 17.151(1), replace—
(a) “system operator” with “system operator”; and
(b) “3.62” with “3.6.2”.
- 192 Clause 17.154 amended (System operator to notify security constraints)**
In clause 17.154, replace “3.91 and 3.92” with “3.9.1 and 3.9.2”.
- 193 Clause 17.156 revoked (Dispatch instructions)**
Revoke clause 17.156.
- 194 Clause 17.157 revoked (Market administrator to appointment person to monitor and assess demands side participation)**
Revoke clause 17.157.
- 195 Clause 17.158 revoked (Grid emergency)**
Revoke clause 17.158.
- 196 Clause 17.159 revoked (The effect of a grid emergency in total quantities bid)**
Revoke clause 17.159.

- 197 Clause 17.160 revoked (Reporting requirements in respect of grid emergencies)**
Revoke clause 17.160.
- 198 Clause 17.161 revoked (Reporting obligation of the system operator)**
Revoke clause 17.161.
- 199 Clause 17.162 revoked (System operator to publish information)**
Revoke clause 17.162.
- 200 Clause 17.163 revoked (Run dispatch options)**
Revoke clause 17.163.
- 201 Clause 17.165 revoked (Deadline for auction bids)**
Revoke clause 17.165.
- 202 Clause 17.166 revoked (Authorisation to successful bidders)**
Revoke clause 17.166.
- 203 Clause 17.167 revoked (High spring washer price situation)**
Revoke clause 17.167.
- 204 Clause 17.168 revoked (Preparation of provisional and final prices)**
Revoke clause 17.168.
- 205 Clause 17.169 amended (Half-hour metering information)**
In clause 17.169, revoke subclause (2).
- 206 Clause 17.170 revoked (Input information)**
Revoke clause 17.170.
- 207 Clause 17.171 revoked (Pricing manager to publish interim prices)**
Revoke clause 17.171.
- 208 Clause 17.172 revoked (SCADA situation)**
Revoke clause 17.172.
- 209 Clause 17.173 revoked (Metering situation)**
Revoke clause 17.173.
- 210 Clause 17.174 revoked (High spring washer price situation)**
Revoke clause 17.174.
- 211 Clause 17.175 revoked (Requirements if provisional price situation exists)**
Revoke clause 17.175.
- 212 Clause 17.176 revoked (Provisional prices and provisional reserve prices)**
Revoke clause 17.176.
- 213 Clause 17.177 revoked (Final prices and provisional prices and provisional reserve prices)**
Revoke clause 17.177.
- 214 Clause 17.178 revoked (Publish final prices or notice that a high spring washer price situation exists)**
Revoke clause 17.178.

- 215 Clause 17.179 revoked (System operator to apply high spring washer price relaxation factor and give notice)**
Revoke clause 17.179.
- 216 Clause 17.180 revoked (Revised data)**
Revoke clause 17.180.
- 217 Clause 17.181 revoked (If a provisional price situation (other than a high spring washer price situation) continues)**
Revoke clause 17.181.
- 218 Clause 17.182 revoked (Interim pricing period)**
Revoke clause 17.182.
- 219 Clause 17.183 revoked (Authority may order delay of publication of final prices)**
Revoke clause 17.183.
- 220 Clause 17.185 revoked (Calculate constrained off amounts)**
Revoke clause 17.185.
- 221 Clause 17.186 revoked (Rights to constrained off information)**
Revoke clause 17.186.
- 222 Clause 17.187 revoked (Constrained on amounts)**
Revoke clause 17.187.
- 223 Clause 17.188 revoked (Payment of constrained on compensation)**
Revoke clause 17.188.
- 224 Clause 17.189 revoked (Market administrator to publish pricing manager reports)**
Revoke clause 17.189.
- 225 Clause 17.190 revoked (Right to information concerning pricing manager's action)**
Revoke clause 17.190.
- 226 Clause 17.196 amended (Cash deposits)**
In clause 17.196, revoke subclauses (4) and (5).
- 227 Clause 17.197 revoked (Change in form of security)**
Revoke clause 17.197.
- 228 Clause 17.198 revoked (Reductions and releases)**
Revoke clause 17.198.
- 229 Clause 17.199 revoked (Hedge settlement agreements)**
Revoke clause 17.199.
- 230 Clause 17.200 revoked (Release of security)**
Revoke clause 17.200.
- 231 Clause 17.201 revoked (Level of security)**
Revoke clause 17.201.

- 232 Clause 17.202 revoked (Information, monitoring and reporting)**
Revoke clause 17.202.
- 233 Clause 17.203 revoked (Disputes)**
Revoke clause 17.203.
- 234 Clause 17.204 revoked (Invoices to and payments by payers)**
Revoke clause 17.204.
- 235 Clause 17.206 revoked (Payments to and from payees)**
Revoke clause 17.206.
- 236 Clause 17.207 revoked (Defaults)**
Revoke clause 17.207.
- 237 Clause 17.208 revoked (Disputed invoices)**
Revoke clause 17.208.
- 238 Clause 17.209 revoked (Washups)**
Revoke clause 17.209.
- 239 Clause 17.210 revoked (Reporting obligations)**
Revoke clause 17.210.
- 240 Clause 17.211 revoked (Requirement to provide complete and accurate information)**
Revoke clause 17.211.
- 241 Clause 17.212 revoked (Provision of trading information at point of connection to network)**
Revoke clause 17.212.
- 242 Clause 17.213 revoked (Submission information to be delivered for reconciliation)**
Revoke clause 17.213.
- 243 Clause 17.214 revoked (Retailer and direct purchaser ICP days information)**
Revoke clause 17.214.
- 244 Clause 17.215 revoked (Retailer electricity supplied information)**
Revoke clause 17.215.
- 245 Clause 17.216 revoked (Retailer and direct purchaser half-hourly metered ICPs monthly kWh information)**
Revoke clause 17.216.
- 246 Clause 17.217 revoked (Grid owner volume information)**
Revoke clause 17.217.
- 247 Clause 17.218 revoked (Local network and embedded network submission information)**
Revoke clause 17.218.
- 248 Clause 17.219 revoked (Grid connected generator)**
Revoke clause 17.219.

- 249 Clause 17.220 revoked (Accuracy of submitted information)**
Revoke clause 17.220.
- 250 Clause 17.222 amended (Notification of changes to the grid)**
In clause 17.222, revoke subclauses (1), (3) and (4).
- 251 Clause 17.223 revoked (System operator notifies reconciliation manager of points of connection to the grid subject to outages or alternative supply)**
Revoke clause 17.223.
- 252 Clause 17.224 revoked (Balancing area NSP grouping changes)**
Revoke clause 17.224.
- 253 Clause 17.225 revoked (Submission information to be reviewed in the case of an outage constraint)**
Revoke clause 17.225.
- 254 Clause 17.226 revoked (Reconciliation manager may request additional information)**
Revoke clause 17.226.
- 255 Clause 17.227 revoked (Providing information specific to reconciliation participants)**
Revoke clause 17.227.
- 256 Clause 17.228 revoked (Providing information to reconciliation participants)**
Revoke clause 17.228.
- 257 Clause 17.229 revoked (Reconciliation information checked)**
Revoke clause 17.229.
- 258 Clause 17.230 revoked (Reconciliation manager must assess information not supplied)**
Revoke clause 17.230.
- 259 Clause 17.231 revoked (Reconciliation manager to correct information)**
Revoke clause 17.231.
- 260 Clause 17.232 revoked (Transitional provisions concerning revision)**
Revoke clause 17.232.
- 261 Clause 17.233 revoked (Volume information disputes)**
Revoke clause 17.233.
- 262 Clause 17.234 revoked (Alleged breaches reported by the reconciliation manager)**
Revoke clause 17.234.
- 263 Clause 17.235 revoked (Right to information concerning reconciliation manager's actions)**
Revoke clause 17.235.
- 264 Clause 17.236 revoked (Reconciliation reports)**
Revoke clause 17.236.
- 265 Clause 17.237 revoked (The publication of reports)**
Revoke clause 17.237.

- 266 Clause 17.238 revoked (Provision of information)**
Revoke clause 17.238.
- 267 Clause 17.239 revoked (New Zealand daylight time adjustment techniques)**
Revoke clause 17.239.
- 268 Clause 17.240 revoked (Audit)**
Revoke clause 17.240.
- 269 Clause 17.241 revoked (Functions requiring certification)**
Revoke clause 17.241.
- 270 Clause 17.242 amended (Participant must use participant identifiers)**
In clause 17.242, revoke subclause (2).
- 271 Clause 17.243 revoked (Requirement for certification)**
Revoke clause 17.243.
- 272 Clause 17.244 revoked (Obtaining certification)**
Revoke clause 17.244.
- 273 Clause 17.245 revoked (Granting certification)**
Revoke clause 17.245.
- 274 Clause 17.246 revoked (Lists of certified reconciliation participants and agents)**
Revoke clause 17.246.
- 275 Clause 17.247 revoked (Renewed certification)**
Revoke clause 17.247.
- 276 Clause 17.248 revoked (Changes that affect certification)**
Revoke clause 17.248.
- 277 Clause 17.249 revoked (Auditors)**
Revoke clause 17.249.
- 278 Clause 17.250 revoked (Audits)**
Revoke clause 17.250.
- 279 Clause 17.251 revoked (Audit reports)**
Revoke clause 17.251.
- 280 Clause 17.252 revoked (Participant requested audits)**
Revoke clause 17.252.
- 281 Clause 17.253 revoked (Scope of audits)**
Revoke clause 17.253.
- 282 Clause 17.254 revoked (Information requests)**
Revoke clause 17.254.
- 283 Clause 17.255 revoked (Participants provide access and information)**
Revoke clause 17.255.

- 284 Clause 17.256 revoked (Production of audit report)**
Revoke clause 17.256.
- 285 Clause 17.257 revoked (Determination)**
Revoke clause 17.257.
- 286 Clause 17.258 revoked (Summary of audit report)**
Revoke clause 17.258.
- 287 Clause 17.259 revoked (Meter interrogation for non half-hour metering)**
Revoke clause 17.259.
- 288 Clause 17.260 revoked (Non half-hour meter reading every 4 months)**
Revoke clause 17.260.
- 289 Clause 17.261 revoked (Interrogation logs)**
Revoke clause 17.261.
- 290 Clause 17.262 amended (Meter interrogation for half-hour metering)**
In clause 17.262, revoke subclause (1).
- 291 Clause 17.265 amended (Creation of submission information)**
In clause 17.265, revoke subclause (1).
- 292 Clause 17.266 revoked (Provision of submission information to reconciliation manager)**
Revoke clause 17.266.
- 293 Clause 17.267 revoked (Reporting requirements)**
Revoke clause 17.267.
- 294 Clause 17.270 amended (Calculation by difference for local networks)**
In clause 17.270, revoke subclause (1).
- 295 Clause 17.272 revoked (Calculation of residual non half-hour profile shape)**
Revoke clause 17.272.
- 296 Clause 17.275 revoked (Loss factors)**
Revoke clause 17.275.
- 297 Clause 17.276 revoked (Scorecard rating)**
Revoke clause 17.276.
- 298 Clause 17.277 revoked (Calculation of scorecard rating)**
Revoke clause 17.277.
- 299 Clause 17.278 revoked (Application of scorecard rating)**
Revoke clause 17.278.
- 300 Clause 17.279 revoked (Reconciliation manager reporting requirements)**
Revoke clause 17.279.
- 301 Clause 17.280 amended (Provision of reconciliation information)**
In clause 17.280, revoke subclause (1).

- 302 Clause 17.284 revoked (Change of profile)**
Revoke clause 17.284.
- 303 Clause 17.285 amended (Profile codes)**
In clause 17.285, revoke subclause (2).
- 304 Clause 17.286 amended (New NSP derived profiles)**
In clause 17.286, revoke subclauses (1), (2) and (4).
- 305 Clause 17.287 amended (New statistically sampled/ engineered profiles)**
(1) In clause 17.287, revoke subclauses (1) to (3).
(2) In clause 17.287, revoke subclauses (5) and (6).
(3) In clause 17.287, revoke subclauses (10) to (12).
- 306 Clause 17.289 revoked (Audits)**
Revoke clause 17.289.
- 307 Clause 17.290 revoked (Removal of profiles)**
Revoke clause 17.290.
- 308 Clause 17.291 revoked (Reviews)**
Revoke clause 17.291.
- 309 Clause 17.295A revoked (Metering equipment provider audits)**
Revoke clause 17.295A.
- 310 Clause 17.295B revoked (ATH audits)**
Revoke clause 17.295B.
- 311 Clause 17.295C revoked (Distributor audits)**
Revoke clause 17.295C.
- 312 Clause 17.295D revoked (Reconciliation participant audits)**
Revoke clause 17.295D.
- 313 Clause 17.295E revoked (Dispatchable load purchaser audits)**
Revoke clause 17.295E.
- 314 Clause 17.295F revoked (Distributed unmetered load audits)**
Revoke clause 17.295F.
- 315 Clause 17.296 revoked (Exemptions)**
Revoke clause 17.296.

Explanatory Note

This note is not part of the amendment, but is intended to indicate its general effect.

This amendment to the Electricity Industry Participation Code 2010 ("Code") comes into force the day after the date which is 28 days after the date of the *Gazette* notice, except clauses 4(2), 4(10), 4(11), 4(16), 36, 65, 68 to 72, and 76, which come into force on 31 December 2021, and clauses 4(17), 37 to 48 and 74 which come into force on 1 March 2022.

This amendment makes a variety of improvements to the Code that the Electricity Authority has identified either in the course of its work or as a result of suggestions received through the Authority's Code amendment proposal process. The amendment mostly comprises changes to the Code that would be beneficial, but that do not (of themselves) warrant a separate Code amendment.

This amendment amends Parts 1, 6, 8, 9, 10, 11, 12, 13, 14, 15, 16 and 17 of the Code. The changes include:

- (a) clarifying the definitions of block security constraint and station security constraint;
- (b) clarifying the definition of point of connection;
- (c) amending Part 9 and the definition of public conservation period to ensure only official conservation campaigns trigger the customer compensation scheme;
- (d) allowing distributors to backdate a change to a price category code if the distributor and trader responsible for the ICP agree to a date and within specified timeframes;
- (e) improving the process for converting secondary networks by:
 - (i) allowing 40 business days to consent to secondary network conversion;
 - (ii) setting the date at which an embedded network owner is no longer responsible for the NSP identifier; and
 - (iii) requiring the assignment of "Active" or "Inactive" ICP identifiers to the parent network's NSP identifier before decommissioning an NSP.
- (f) clarifying when participants "receive" a notice from the registry manager under clause 22 of Schedule 11.3;
- (g) amending the definition of historical estimate and other clauses in Schedule 15.3 to ensure the most accurate historical estimate input data is used in volume information provided to the reconciliation manager and refer to the use of approved profiles;
- (h) requiring the reconciliation manager to provide additional information in its reporting on the difference between electricity supplied as reported by retailers and submission information submitted by retailers;
- (i) amending clause 15.8 to clarify that a retailer or direct purchaser (excluding direct consumers) must provide the reconciliation manager with a file containing monthly totals of metered, rather than billed, consumption data by individual half hourly metered ICP;
- (j) clarifying the manner and the form in which final audit reports and compliance plans must be provided to the Authority; and
- (k) making several other minor and technical changes to improve the Code, including removal of numerous transitional clauses from Part 17 of the Code that are no longer required.

Date of notification in the *Gazette*: ____ November 2021