

Compliance plan for Lodestone Energy Ltd - 2024

Changes to registry information		
Non-compliance	Description	
<p>Audit Ref: 3.3</p> <p>With: Clause 10 Schedule 11.1</p> <p>From: 26-Feb-24</p> <p>To: 06-Mar-24</p>	<p>One late status update relating to ICP 1000028721BP EEG (two business days).</p> <p>One late trader update relating to ICP 1000028721BP EEG (two business days).</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are rated as moderate because they are adequate to ensure that the registry is updated on time most of the time.</p> <p>The risk is low as most updates were completed on time or soon after they were due and there was no impact on submission.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Pulse and Lodestone were using the same Registry login. Recently, there were login problems at Pulse due to password expiry. The admin email was unattended because the responsible person at Lodestone was absent. To prevent this in the future, a separate login has been set up for Pulse.</p>	Resolved	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>To prevent this in the future, a separate login has been set up for Pulse.</p>		