

Notice of Authority's decision on a breach of the Electricity Industry Participation Code 2010 by the Authority as the market administrator

The Electricity Authority (Authority) publicises its reasons for declining to take further action on a breach of the Electricity Industry Participation Code 2010 (Code) by the Authority as the market administrator.¹ Publicising the decision is beyond the requirements in the Electricity Industry (Enforcement) Regulations 2010 (Regulations) and is to ensure that decisions made about breaches of the Code by the Authority are transparent.

Breach of clause 10.49(3) on 9 October 2015

Clause 10.49(3) requires the market administrator to update the network supply point (NSP) table within two business days of being advised of any changes to the NSP table by the reconciliation manager.

The market administrator updates and publishes the NSP table on the Authority's electricity market information website (EMI) and the update process is automated.

On 6 October 2015, the reconciliation manager made changes to the NSP table that corrupted the format of the file sent to the market administrator using an automated process. As a result, unknown to the market administrator, the automated process that imports the file into the EMI data warehouse and publishes the file failed. As a result, the NSP table was not published.

On 29 October 2015, a participant identified the incorrect NSP table and informed the market administrator. The market administrator corrected the corrupted format in the file received; and imported and published the correct NSP table on the same day.

To prevent any recurrence, the market administrator contacted the reconciliation manager and advised it to make sure that future files adhered to the agreed format.

On 12 November 2015, the market administrator self-reported the breach to the Authority.

The Authority's decision

On 1 December 2015, the Authority's Compliance Committee (Committee) decided under regulation 11(1)(c) of the Regulations to decline to take further action on the breach.

Reasons for the Authority's decision

The reasons for the Committee's decision were that the breach had no market impact, the market administrator published the correct NSP table as soon as it

¹ <http://www.ea.govt.nz/code-and-compliance/compliance/compliance-education-and-information/>

became aware of the error, and the market administrator has taken steps to prevent recurrence.

Audit review

If an interested participant is concerned about the process the Authority followed for this breach, the participant may request that the Authority's process is audited by an independent person.

Contact details

Please contact compliance@ea.govt.nz if you have any questions.