

Electricity Industry Participation Code 2010

Part 17 Transitional provisions

Contents

Transitional provisions relating to Part 1

- 17.1 Transitional provisions for definitions
- 17.2 Special definition of purchaser and payer

Transitional provisions relating to Part 2

- 17.3 Requests for rulebook information

Transitional provisions relating to Part 3

- 17.4 Appointment of market operation service providers
- 17.5 Insurance cover
- 17.6 Notification of a force majeure event by a service provider
- 17.7 Disclosure to the Authority
- 17.8 Performance standards
- 17.9 Market operation service providers must report to Authority
- 17.10 Review of market operation service providers
- 17.11 Software specifications

Transitional provisions relating to Part 4

- 17.12 Notification of a force majeure event by an ancillary service agent

Transitional provisions relating to Part 5

- 17.13 Undesirable trading situations

Transitional provisions relating to Part 6

- 17.14 Approval to connect
- 17.15 Connection of distributed generation outside regulated terms
- 17.16 Connection of distributed generation on regulated terms
- 17.17 Obtaining approval to connect distributed generation under 10kW
- 17.18 Obtaining approval to connect distributed generation over 10kW
- 17.19 Confidentiality of information provided before connection
- 17.20 Annual reporting and record keeping
- 17.21 Confidential information for regulated terms for
- 17.22 Breach of regulated terms
- 17.23 Default dispute resolution process
- 17.23A Delayed application of Electricity Industry Participation Code Amendment (Distributed Generation) 2016 [*Revoked*]

Transitional provisions relating to Part 7

- 17.24 Security of supply forecasting and information policy
- 17.25 Emergency management policy
- 17.26 Review of system operator
- 17.27 Review of the system operator

Transitional provisions relating to Part 8

- 17.28 Policy statements
- 17.29 Existing contracts for higher levels of common quality

- 17.30 System security forecast
- 17.31 Load shedding obligations to support voltage
- 17.32 Information provisions
- 17.33 Commissioning plan or testing plan
- 17.34 Equivalence arrangement or dispensation
- 17.35 Excluded generating stations
- 17.36 Procurement plan
- 17.37 Alternative ancillary service arrangement
- 17.38 Allocating ancillary services costs
- 17.39 Requirements for asset capability statement
- 17.40 Connection of local networks in parallel with the grid
- 17.41 Modification and changes to assets
- 17.42 Records, tests and inspections
- 17.43 Information provided
- 17.44 Retention of records
- 17.45 Redistribution of automatic under-frequency load shedding [*Revoked*]
- 17.46 Notice
- 17.47 Specific requirements for document transmission communication
- 17.48 Outage
- 17.48A Transitional provisions for extended reserve [*Revoked*]
- 17.48B Transitional provisions for change to frequency limit in South Island [*Revoked*]
- Transitional provisions relating to Part 9*
- 17.49 System operator rolling outage plan
- 17.50 Participant rolling outage plans
- 17.51 Supply shortage declaration
- 17.52 Security of supply direction
- 17.53 Provision of information
- Transitional provisions relating to Part 10*
- 17.54 Meter installations
- 17.55 Quantification at points of connection
- 17.56 Electricity recorded
- 17.57 Metering installation tests
- 17.58 Approved test house
- 17.59 Certification of metering installations of Practice 10.3.
- 17.60 Inspection requirements
- 17.61 Variation of requirements
- Transitional provisions relating to Part 11*
- 17.62 Requirement to provide complete and accurate information
- 17.63 ICP identifiers for ICPs
- 17.64 Participants may request that distributors create ICP
- 17.65 Provision of ICP information
- 17.66 Provision of and changes to ICP and NSP information
- 17.67 Network owner notifications
- 17.68 Audits
- 17.69 Process for maintaining shared unmetered load
- 17.70 Timeframes and formats of information

- 17.71 Confirmation of receipt of data
 - 17.72 Registry must maintain a database of information
 - 17.73 Reports from the registry
 - 17.74 Registry reports to specific participants
 - 17.75 Access to the registry
 - 17.76 Registry notifications
 - 17.77 Customer and embedded generator queries
 - 17.78 Dispensations
 - 17.79 Distributors to provide ICP information to registry
 - 17.80 Traders to provide ICP information to registry
 - 17.81 Correction of errors in the registry
 - 17.82 Management of ICP status by distributors and traders
 - 17.83 Updating table of loss category codes
 - 17.84 Updating loss factors for loss category codes
 - 17.85 Updating table of price category codes
 - 17.86 Balancing area information
 - 17.87 Creation and decommissioning of NSPs and transfer of ICPs
 - 17.88 Information to be provided if NSPs are created or ICPs
 - 17.89 Information to be provided if ICPs become NSPs
 - 17.90 Reconciliation manager to allocate new identifiers
 - 17.91 Obligations concerning change in network owner
 - 17.92 Reconciliation manager to advise registry
 - 17.93 Transfer of ICPs between distributors' networks
 - 17.94 Standard switching process for ICPs with non half-hour metering and unmetered ICPs
 - 17.95 Switch move process for ICPs with non half-hour metering and unmetered ICPs
 - 17.96 Half-hour switching process
 - 17.97 Withdrawal of switch requests
 - 17.98 Participants to use file formats
 - 17.99 Method of exchanging files
 - 17.100 Costs of interrogation or estimation
 - 17.101 Registry notifications
 - 17.101A Switching under Schedule 11.3
- Transitional provisions relating to Part 12*
- 17.102 Discretion to waive requirements
 - 17.103 Benchmark agreements to be default transmission agreements
 - 17.104 Changes to the connection assets under default transmission agreements
 - 17.105 Expiry or termination of transmission agreements
 - 17.106 Transmission agreement to be provided and published
 - 17.107 Review of Connection Code
 - 17.108 Increased services and reliability
 - 17.109 Approval of decreased services and reliability
 - 17.110 Approval of other variations to terms of benchmark agreement
 - 17.111 Customer specific value of unserved energy
 - 17.112 Replacement and enhancement of shared connection assets
 - 17.113 Resolution of disputes relating to transmission agreements
 - 17.114 Review of benchmark agreement

- 17.115 Existing agreements
- 17.116 Transpower to publish grid reliability report
- 17.117 Issues paper
- 17.118 Development of transmission pricing methodology
- 17.119 Development of transmission prices
- 17.120 Audit of transmission prices
- 17.121 Review of approved transmission pricing methodology
- 17.122 Transpower to identify interconnection branches, and propose service measures and levels
- 17.123 Transpower to propose reliability investments
- 17.124 Transpower to propose economic investments
- 17.125 Information on capacities of individual interconnection assets
- 17.126 Transpower to provide and publish annual report on interconnection asset capacity and grid configuration
- 17.127 Transpower to report on availability and reliability

Transitional provisions relating to Part 13

- 17.128 Requests for rulebook information
- 17.129 Approval process for industrial co-generating stations
- 17.129A Transitional provisions for co-generators
- 17.130 Offer preparation by generators
- 17.131 Generators' notice of initial offer
- 17.132 Bids by purchasers
- 17.133 Purchasers' notice of initial bids
- 17.134 Bids and offers are valid until cancelled
- 17.135 Offers made by unit of plant
- 17.136 New, revised or cancelled bid or offer inside the 2 hour period
- 17.137 Backup procedures if the information system is unavailable
- 17.138 Backup procedures
- 17.139 Plant with special circumstance
- 17.140 Retention of bids and offers
- 17.141 Special treatment of some grid exit points
- 17.142 Standing data to be provided to the system operator
- 17.143 Transmission grid capability information to be updated
- 17.144 Grid owners must submit revised information to the system operator
- 17.145 Changes may be made within 2 hours prior to the trading period
- 17.146 System operator to approve ancillary service agents wishing to make reserve offers
- 17.147 Ancillary service agents to submit reserve offers to the system operator
- 17.148 Revised reserve offer inside the 2 hour period
- 17.149 Availability of final bids and final offers
- 17.150 Process for preparing a pre-dispatch schedule
- 17.151 Block dispatch may occur
- 17.152 System operator to notify block security constraints
- 17.153 Station dispatch may occur
- 17.154 System operator to notify security constraints
- 17.155 Generator notifies change from station to unit dispatch
- 17.156 Dispatch instructions
- 17.157 Market administrator to appointment person to monitor and assess demands side

- participation
 - 17.158 Grid emergency
 - 17.159 The effect of a grid emergency in total quantities bid
 - 17.160 Reporting requirements in respect of grid emergencies
 - 17.161 Reporting obligation of the system operator
 - 17.162 System operator to publish information
 - 17.163 Run dispatch options
 - 17.164 Clearing manager must conduct auctions
 - 17.165 Deadline for auction bids
 - 17.166 Authorisation to successful bidders
 - 17.167 High spring washer price situation
 - 17.168 Preparation of provisional and final prices
 - 17.169 Half-hour metering information
 - 17.170 Input information
 - 17.171 Pricing manager to publish interim prices
 - 17.172 SCADA situation
 - 17.173 Metering situation
 - 17.174 High spring washer price situation
 - 17.175 Requirements if provisional price situation exists
 - 17.176 Provisional prices and provisional reserve prices
 - 17.177 Final prices and provisional prices and provisional reserve prices
 - 17.178 Publish final prices or notice that a high spring washer price situation exists
 - 17.179 System operator to apply high spring washer price relaxation factor and give notice
 - 17.180 Revised data
 - 17.181 If a provisional price situation (other than a high spring washer price situation) continues
 - 17.182 Interim pricing period
 - 17.183 Authority may order delay of publication of final prices
 - 17.184 System operator to give pricing manager a list of model variable failures
 - 17.185 Calculate constrained off amounts
 - 17.186 Rights to constrained off information
 - 17.187 Constrained on amounts
 - 17.188 Payment of constrained on compensation
 - 17.189 Market administrator to publish pricing manager reports
 - 17.190 Right to information concerning pricing manager's action
 - 17.191 Information that must be submitted
 - 17.192 Calculation of contract price
 - 17.193 Information submitted
 - 17.194 Timeframes for submitting that information
- Transitional provisions relating to Part 14*
- 17.195 Acceptable forms of security
 - 17.196 Cash deposits
 - 17.197 Change in form of security
 - 17.198 Reductions and releases
 - 17.199 Hedge settlement agreements
 - 17.200 Release of security
 - 17.201 Level of security

- 17.202 Information, monitoring and reporting
- 17.203 Disputes
- 17.204 Invoices to and payments by payers
- 17.205 Operating account
- 17.206 Payments to and from payees
- 17.207 Defaults
- 17.208 Disputed invoices
- 17.209 Washups
- 17.210 Reporting obligations
- 17.210A Acceptable forms of security *[Revoked]*
- 17.210B Cash deposits *[Revoked]*
- 17.210C Change in form of security *[Revoked]*
- 17.210D Reductions and releases *[Revoked]*
- 17.210E Release of security *[Revoked]*
- 17.210F Level of security *[Revoked]*
- 17.210G Information, monitoring, and reporting *[Revoked]*
- 17.210H Disputes *[Revoked]*
- 17.210I Invoices and payments *[Revoked]*
- 17.210J Operating account *[Revoked]*
- 17.210K FTR account *[Revoked]*
- 17.210L Defaults *[Revoked]*
- 17.210M Disputed invoices *[Revoked]*
- 17.210N Washups *[Revoked]*
- 17.210O Reporting obligations *[Revoked]*

Transitional provisions relating to Part 15

- 17.211 Requirement to provide complete and accurate information
- 17.212 Provision of trading information at point of connection to network
- 17.213 Submission information to be delivered for reconciliation
- 17.214 Retailer and direct purchaser ICP days information
- 17.215 Retailer electricity supplied information
- 17.216 Retailer and direct purchaser half-hourly metered ICPs monthly kWh information
- 17.217 Grid owner volume information
- 17.218 Local network and embedded network submission information
- 17.219 Grid connected generator
- 17.220 Accuracy of submitted information
- 17.221 Notification by embedded generators
- 17.222 Notification of changes to the grid
- 17.223 System operator notifies reconciliation manager of points of connection to the grid subject to outages or alternative supply
- 17.224 Balancing area NSP grouping changes
- 17.225 Submission information to be reviewed in the case of an outage constraint
- 17.226 Reconciliation manager may request additional information
- 17.227 Providing information specific to reconciliation participants
- 17.228 Providing information to reconciliation participants
- 17.229 Reconciliation information checked
- 17.230 Reconciliation manager must assess information not supplied
- 17.231 Reconciliation manager to correct information

- 17.232 Transitional provisions concerning revision
- 17.233 Volume information disputes
- 17.234 Alleged breaches reported by the reconciliation manager
- 17.235 Right to information concerning reconciliation manager's actions
- 17.236 Reconciliation reports
- 17.237 The publication of reports
- 17.238 Provision of information
- 17.239 New Zealand daylight time adjustment techniques
- 17.240 Audit
- 17.241 Functions requiring certification
- 17.242 Participant must use participant identifiers
- 17.243 Requirement for certification
- 17.244 Obtaining certification
- 17.245 Granting certification
- 17.246 Lists of certified reconciliation participants and agents
- 17.247 Renewed certification
- 17.248 Changes that affect certification
- 17.249 Auditors
- 17.250 Audits
- 17.251 Audit reports
- 17.252 Participant requested audits
- 17.253 Scope of audits
- 17.254 Information requests
- 17.255 Participants provide access and information
- 17.256 Production of audit report
- 17.257 Determination
- 17.258 Summary of audit report
- 17.259 Meter interrogation for non half-hour metering
- 17.260 Non half-hour meter reading every 4 months
- 17.261 Interrogation logs
- 17.262 Meter interrogation for half-hour metering
- 17.263 Audit trails
- 17.264 Correction of meter readings
- 17.265 Creation of submission information
- 17.266 Provision of submission information to reconciliation manager
- 17.267 Reporting requirements
- 17.268 Distributed unmetered load database
- 17.269 Calculation by difference for embedded networks
- 17.270 Calculation by difference for local networks
- 17.271 ICP days information
- 17.272 Calculation of residual non half-hour profile shape
- 17.273 Convert non half-hour quantities using profiles
- 17.274 Invalid submission information
- 17.275 Loss factors
- 17.276 Scorecard rating
- 17.277 Calculation of scorecard rating
- 17.278 Application of scorecard rating

- 17.279 Reconciliation manager reporting requirements
- 17.280 Provision of reconciliation information
- 17.281 Departure from requirements for profile administration
- 17.282 Profile population list
- 17.283 Profiles approved for use
- 17.284 Change of profile
- 17.285 Profile codes
- 17.286 New NSP derived profiles
- 17.287 New statistically sampled/engineered profiles
- 17.288 MARIA profiles
- 17.289 Audits
- 17.290 Removal of profiles
- 17.291 Reviews

Transitional provisions relating to Part 16 [Revoked]

- 17.292 Summary of Rio Tinto agreements *[Revoked]*
- 17.293 Variations of Rio Tinto agreements *[Revoked]*
- 17.294 Notifications of Acts and omissions *[Revoked]*
- 17.295 Right of appeal *[Revoked]*

Transitional provisions relating to Part 16A

- 17.295A Metering equipment provider audits
- 17.295B ATH audits
- 17.295C Distributor audits
- 17.295D Reconciliation participant audits
- 17.295E Dispatchable load purchaser audits
- 17.295F Distributed unmetered load audits

Transitional provisions relating to exemptions

- 17.296 Exemptions
-

Transitional provisions relating to Part 1

17.1 Transitional provisions for definitions

- (1) Administrative costs agreed by the Board and the system operator in accordance with the definition of administrative costs in rule 1 of part A of the **rules** that were in force immediately before this Code came into force, are deemed to be **administrative costs** that have been agreed to by the **Authority** and the **system operator** in accordance with the definition of **administrative costs** in clause 1.1(1).
- (2) A declaration date nominated by a profile applicant in accordance with the definition of declaration date in rule 1 of part A of the **rules** that was in force immediately before this Code came into force, is deemed to be a **declaration date** nominated by a **profile applicant** in accordance with the definition of **declaration date** in clause 1.1(1).
- (3) A distributor kvar reference node approved by the system operator in accordance with the definition of distributor kvar reference node in rule 1 of part A of the **rules** that was in force immediately before this Code came into force, is deemed to be a **distributor**

- kvar reference node** approved by the **system operator** in accordance with the definition of **distributor kvar reference node** in clause 1.1(1).
- (4) Expected interruption costs estimated by the Board under the definition of expected interruption costs in rule 1 of part A of the **rules** that were in force immediately before this Code came into force, are deemed to be **expected interruption costs** approved by the **Authority** in accordance with the definition of **expected interruption costs** in clause 1.1(1).
 - (5) A grid exit point approved by the system operator under the definition of interruptible load group GXP in rule 1 of part A of the **rules** immediately before this Code came into force, is deemed to be a **grid exit point** approved by the **system operator** in accordance with the definition of **interruptible load group GXP** in clause 1.1(1).
 - (6) A system operator register kept, maintained, or made available by the system operator in accordance with the definition of system operator register in rule 1 of part A of the **rules** immediately before this Code came into force, is deemed to be a **system operator register** kept, maintained, or made available, as the case may be, by the **system operator** in accordance with definition of **system operator register** in clause 1.1(1).

17.2 Special definition of purchaser and payer

- (1) A notice given under rule 5.2 of part A of the **rules** and in force immediately before this Code came into force, is deemed to be a notice given under clause 1.5(2), and may be—
 - (a) approved by the **Authority** (if it has not been approved by the Board); and
 - (b) revoked by the **participant** named in the notice as participant A or the **participant** in the notice named as participant B.
- (2) A notice published by the Board under rule 5.8 of part A of the **rules** before this Code came into force, is deemed to be a notice published by the **Authority** under clause 1.5(8).

Transitional provisions relating to Part 2

17.3 Requests for rulebook information

- (1) A request for rulebook information received by the Commission under regulation 15 of the Electricity Governance Regulations 2003 that had not been responded to immediately before this Code came into force, is deemed to be a request for **Code information** received by the **Authority** under clause 2.1.
- (2) A request for rulebook information received by the Commission under regulation 17 of the Electricity Governance Regulations 2003 that had not been responded to immediately before this Code came into force, is deemed to be a request for **Code information** received by the **Authority** under clause 2.3.
- (3) A request for rulebook information received by a participant under regulation 19 of the Electricity Governance Regulations 2003 that had not been responded to immediately before this Code came into force, is deemed to be a request for **Code information** received by a **participant** under clause 2.5.
- (4) A notice transferring a request for rulebook information under regulation 22 of the Electricity Governance Regulations 2003 that had not been responded to immediately

before this Code came into force, is deemed to be a notice transferring a request for **Code information** under clause 2.8.

- (5) A charge payable by a participant under regulation 26 of the Electricity Governance Regulations 2003 immediately before this Code came into force, is deemed to be a charge payable by a **requesting participant** under clause 2.12.

Transitional provisions relating to Part 3

17.4 Appointment of market operation service providers

- (1) A person or persons appointed as a registry by the Commission under regulation 30 of the Electricity Governance Regulations 2003, and whose appointment was in force immediately before this Code came into force, is deemed to have been appointed by the **Authority** as a **registry manager** under clause 3.1.
- (2) A person or persons appointed as a reconciliation manager by the Commission under regulation 30 of the Electricity Governance Regulations 2003, and whose appointment was in force immediately before this Code came into force, is deemed to have been appointed by the **Authority** as a **reconciliation manager** under clause 3.1.
- (3) A person or persons appointed as a pricing manager by the Commission under regulation 30 of the Electricity Governance Regulations 2003, and whose appointment was in force immediately before this Code came into force, is deemed to have been appointed by the **Authority** as a **pricing manager** under clause 3.1.
- (4) A person or persons appointed as a clearing manager by the Commission under regulation 30 of the Electricity Governance Regulations 2003, and whose appointment was in force immediately before this Code came into force, is deemed to have been appointed by the **Authority** as a **clearing manager** under clause 3.1.
- (5) A person or persons appointed as a market administrator by the Commission under regulation 30 of the Electricity Governance Regulations 2003, whose appointment was in force immediately before this Code came into force, is deemed to have been appointed by the **Authority** as a **market administrator** under clause 3.1.
- (6) A service provider's term of appointment and the date on which that term begins agreed under regulation 32 of the Electricity Governance Regulations 2003, and in force immediately before this Code came into force, is deemed to be the relevant **market operation service provider's** term of appointment for the purposes of clause 3.3 and the date on which the term begins, as the case may be.
- (7) The remuneration and other terms and conditions of appointment of a service provider agreed under regulation 33 of the Electricity Governance Regulations 2003, and in force immediately before this Code came into force, are deemed to be the remuneration and terms and conditions of appointment of the relevant **market operation service provider**, as the case may be, for the purposes of clause 3.4.
- (8) A service provider agreement published by the Commission under regulation 34 of the Electricity Governance Regulations 2003 and in force immediately before this Code came into force, is deemed to be a **market operation service provider agreement** published by the **Authority** under clause 3.5.

17.5 Insurance cover

- (1) A requirement by the Commission that a service provider maintain insurance cover under regulation 36 of the Electricity Governance Regulations 2003 that was in force immediately before this Code came into force, is deemed to be a requirement by the **Authority** under clause 3.6.
- (2) An insurer approved by the Commission under regulation 36 of the Electricity Governance Regulations 2003 immediately before this Code came into force, is deemed to be approved by the **Authority** under clause 3.6 on the same terms and in respect of the same risks.

17.6 Notification of a force majeure event by a service provider

A notification to the Commission of a force majeure event under regulation 38 of the Electricity Governance Regulations 2003 that was in force immediately before this Code came into force, is deemed to be a notification to the **Authority** of a **force majeure event** under clause 3.7.

17.7 Disclosure to the Authority

Information received by a service provider to which regulation 42 of the Electricity Governance Regulations 2003 applied immediately before this Code came into force, is deemed to be information received by the relevant **market operation service provider** on the day on which this Code came into force for the purposes of clause 3.11.

17.8 Performance standards

Performance standards agreed between the Commission and a service provider for the 2010/2011 financial year under regulation 43 of the Electricity Governance Regulations 2003 that were in force immediately before this Code came into force, are deemed to be the performance standards agreed between the **Authority** and the relevant **market operation service provider** under clause 3.12 for that financial year.

17.9 Market operation service providers must report to Authority

- (1) Despite the revocation of the **rules**, a person who was a service provider immediately before this Code came into force must conduct a self-review of its performance as if regulations 44 and 45 of the Electricity Governance Regulations 2003 had not been revoked, and must provide the report required under regulation 45 of the Electricity Governance Regulations 2003 to the **Authority**.
- (2) A report provided to the **Authority** under subclause (1) is deemed to be a report given under clause 3.14.

17.10 Review of market operation service providers

- (1) If the **Authority** reviews a **market operation service provider** for the 2010/2011 financial year under clause 3.15, the **Authority** must report on the matters specified in regulation 46 of the Electricity Governance Regulations 2003 for the period up to the date on which this Code came into force, as well as matters specified in clause 3.15 for

the remainder of the period.

- (2) Each report to which subclause (1) applies must consolidate all of the information required to be included so as to report on the period to which it relates as a whole.

17.11 Software specifications

- (1) An agreement between the Commission and a service provider under regulation 51 of the Electricity Governance Regulations 2003 in force immediately before this Code came into force, is deemed to be an agreement between the **Authority** and the **market operation service provider** in force under clause 3.16.
- (2) An agreement between the Commission and a service provider under regulation 52 of the Electricity Governance Regulations 2003 in force immediately before this Code came into force, is deemed to be an agreement between the **Authority** and the **market operation service provider** in force under clause 3.17.
- (3) An audit report provided to the Commission under regulation 52 of the Electricity Governance Regulations 2003 before this Code came into force, is deemed to be an audit report provided to the **Authority** under clause 3.17.

Transitional provisions relating to Part 4

17.12 Notification of a force majeure event by an ancillary service agent

A notification to the system operator and the Commission of a force majeure event under regulation 53B of the Electricity Governance Regulations 2003 immediately before this Code came into force, is deemed to be a notification to the **Authority** of a **force majeure event** under clause 4.1.

Transitional provisions relating to Part 5

17.13 Undesirable trading situations

- (1) An investigation of an undesirable trading situation initiated by the Commission under regulation 54 of the Electricity Governance Regulations 2003, and not completed immediately before this Code came into force, is deemed to be an investigation of an **undesirable trading situation** initiated by the **Authority** under clause 5.1.
- (2) An action taken by the Commission to correct an undesirable trading situation under regulation 56 of the Electricity Governance Regulations 2003 before this Code came into force, is deemed to be an action taken by the **Authority** under clause 5.2.
- (3) Consultation undertaken by the Commission with the system operator to correct an undesirable trading situation under regulation 58 of the Electricity Governance Regulations 2003 before this Code came into force, is deemed to be consultation undertaken by the **Authority** in respect of action taken under clause 5.2 to correct an **undesirable trading situation** under clause 5.3.
- (4) Consultation undertaken by the Commission with participants under regulation 59 of the Electricity Governance Regulations 2003 before this Code came into force, is deemed to be consultation undertaken by the **Authority** with **participants** under clause 5.4.

Transitional provisions relating to Part 6

17.14 Approval to connect

An approval granted by a distributor to a generator to connect distributed generation under regulation 7 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 that was in force immediately before this Code came into force, is deemed to be an approval granted to **connect distributed generation** under clause 6.4.

17.15 Connection of distributed generation outside regulated terms

A connection contract entered into by a distributor and a generator outside the regulated terms under regulation 8 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 that was in force immediately before this Code came into force, is deemed to be a **connection** contract outside the **regulated terms** under clause 6.5.

Clause 17.15: amended, on 23 February 2015, by clause 75 of the Electricity Industry Participation Code Amendment (Distributed Generation) 2014.

17.16 Connection of distributed generation on regulated terms

- (1) If distributed electricity was connected on regulated terms under regulation 9 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 immediately before this Code came into force, it is deemed to be **connected** on **regulated terms** under clause 6.6.
- (2) If a period for negotiating a connection contract under clause 9 or clause 24 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 had commenced but had not expired immediately before this Code came into force, the period expires for the purposes of clause 6.6 on the date on which it would have expired if the Electricity Governance (Connection of Distributed Generation) Regulations 2007 were not revoked.

Clause 17.16(1): amended, on 23 February 2015, by clause 75 of the Electricity Industry Participation Code Amendment (Distributed Generation) 2014.

17.17 Obtaining approval to connect distributed generation under 10kW

- (1) An application by a generator to a distributor to connect distributed generation only capable of generating electricity at a rate of 10kW under clause 2 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007, and on which a distributor had not made a decision immediately before this Code came into force, is deemed to be an application under clause 2 of Schedule 6.1.
- (2) A generator approved to connect distributed generation under clause 3 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 immediately before this Code came into force, is deemed to have been approved to **connect distributed generation** under clause 3 of Schedule 6.1.
- (3) A notice of intention to proceed given by a generator under clause 5 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 that was in force immediately before this Code came into force, is deemed to be a notice

of intention to proceed under clause 5 of Schedule 6.1.

17.18 Obtaining approval to connect distributed generation over 10kW

- (1) An initial application made by a generator to a distributor to connect distributed generation capable of generating electricity above 10kW under clause 11 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007, for which the generator had not made a final application in respect of the generation immediately before this Code came into force, is deemed to be an **initial application** under clause 11 of Schedule 6.1.
- (2) Information provided under clauses 12 and 13 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 before this Code came into force, is deemed to be information provided under clauses 12 and 13 of Schedule 6.1.
- (3) A final application made under clause 15 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007, on which a distributor had not made a decision immediately before this Code came into force, is deemed to be a **final application** made under clause 15 of Schedule 6.1.
- (4) A generator approved to connect distributed generation under clause 18 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 immediately before this Code came into force, is deemed to have been approved to **connect distributed generation** under clause 18 of Schedule 6.1.
- (5) Any conditions specified by a distributor in its decision on an application under clause 18 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 immediately before this Code came into force, are deemed to be conditions specified by the **distributor** under clause 18 of Schedule 6.1.
- (6) A notice of an intention to proceed made by a generator under clause 20 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 that was in force immediately before this Code came into force, is deemed to be a notice under clause 20 of Schedule 6.1.

17.19 Confidentiality of information provided before connection

Information provided with an application made under Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 before this Code came into force, is subject to the confidentiality provisions in clause 25 of Schedule 6.1.

17.20 Annual reporting and record keeping

- (1) An annual report given by a distributor under clause 26 of Schedule 6.1 for the year 1 January 2010 to 1 January 2011 must report on the matters contained in clause 26 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 for the period 1 January 2010 to the date on which this Code came into force, as well as matters contained in clause 26 of Schedule 6.1, for the remainder of the period.
- (2) Each report to which subclause (1) applies must consolidate all of the information

required to be included so as to report on the period to which it relates as a whole.

- (3) Records to which clause 28 of Schedule 1 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 applied immediately before this Code came into force, are deemed to be records to which clause 28 of Schedule 6.1 applies, and must be maintained accordingly.

17.21 Confidential information for regulated terms for connection of distributed generation

- (1) Conditions specified under clause 18 of Schedule 2 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 apply as if they were specified under clause 17 of Schedule 6.2.
- (2) Information that came within the definition of confidential information under clause 16 of Schedule 2 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007, is deemed to be **confidential information** as defined in clause 1.1(1).

17.22 Breach of regulated terms

A regulated terms breach under clause 21 of Schedule 2 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 that was not resolved immediately before this Code came into force, is deemed to be a **regulated terms** breach under clause 20 of Schedule 6.2.

17.23 Default dispute resolution process

- (1) A dispute to which clause 1 of Schedule 3 of the Electricity Governance (Connection of Distributed Generation) Regulations 2007 applies that was not resolved immediately before this Code came into force, is deemed to be a dispute to which clause 1 of Schedule 6.3 applies.
- (2) A notice of dispute given under clause 2 of Schedule 3 of Electricity Governance (Connection of Distributed Generation) Regulations 2007, for a dispute that was not resolved immediately before this Code came into force, is deemed to be a notice given under clause 2 of Schedule 6.3.

17.23A [Revoked]

Clause 17.23A: inserted, on 9 January 2017, by clause 6 of the Electricity Industry Participation Code Amendment (Distributed Generation) 2016.

Clause 17.23A: revoked, on 5 October 2017, by clause 579 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

Transitional provisions relating to Part 7

17.24 Security of supply forecasting and information policy

A security of supply forecasting and information policy issued by the Commission and in force immediately before this Code came into force, is deemed to be the **security of supply forecasting and information policy** prepared and published under clause 7.3, and may be substituted by the **system operator** accordingly.

17.25 Emergency management policy

An emergency management policy issued by the Commission and in force immediately before this Code came into force, is deemed to be the **emergency management policy** prepared and published under clause 7.3, and may be substituted by the **system operator** accordingly.

17.26 Review of system operator

- (1) The review of the performance of the **system operator** by the **Authority** for the 2010/2011 financial year required under clause 7.8 must report on the matters specified in regulations 47 and 48 of the Electricity Governance Regulations 2003 for the period up to the date on which this Code came into force, as well as the matters specified in clauses 7.8 and 7.9 for the remainder of the period.
- (2) Each report to which subclause (1) applies must consolidate the information required to be included so as to report on the period to which it relates as a whole.

17.27 Review of the system operator

An assessment of the system operator's performance submitted to the Commission under rule 14 of section II of part C of the **rules** for the period ending 31 August 2010, is deemed to have been submitted to the **Authority** under clause 7.11.

Transitional provisions relating to Part 8

17.28 Policy statements

- (1) The policy statement set out in schedule C4 of part C of the **rules** immediately before this Code came into force, continues in force and is deemed to be the **policy statement** that applies under clause 8.9, with the following amendments:
 - (a) every reference to the Board must be read as a reference to the **Authority**;
 - (b) every reference to the **rules** must be read as a reference to the Code;
 - (c) every reference to the regulations must be read as a reference to the Code;
 - (d) every reference to a provision of the **rules** or the regulations must be read as a reference to the corresponding provision of the Code.
- (2) The **Authority** must, as soon as practicable after this Code came into force, publish a version of the **policy statement** in which the provisions of this Code that correspond to the provisions of the **rules** or regulations referred to in the **policy statement** are shown.

17.29 Existing contracts for higher levels of common quality

- (1) This clause applies if—
 - (a) **Transpower** and any person have a contract or an arrangement to maintain voltage at a **point of connection** that—
 - (i) was in force immediately before the **rules** came into force; and
 - (ii) remained in force after this Code came into force; and
 - (b) the effect of the contract or arrangement may cause the **system operator** to operate the **grid** voltage within a lesser range than the range set out in the

AOPOs; and

- (c) **Transpower** and the **system operator** have a matching contract or arrangement in that respect under clause 8.6.
- (2) When this clause applies, any incremental cost arising from the **system operator** operating within a lesser range under a contract or arrangement to which subclause (1)(c) applies—
 - (a) must not be allocated according to clause 8.6; but instead
 - (b) is an **allocable cost** and must be paid as set out in clauses 8.55 and 8.67.
- (3) Subclause (2) applies to the costs arising from a contract or arrangement to which subclause (1)(c) applies until the earlier of the following:
 - (a) the expiry date of the contract or arrangement;
 - (b) termination of the contract or arrangement;
 - (c) the end of the life of the **assets** employed in providing the voltage service provided for in the contract or arrangement.

17.30 System security forecast

- (1) A review of the system security forecast prepared in accordance with rule 15.1 of section II of part C of the **rules** for the 6 month period immediately before this Code came into force, is deemed to be a review of the **system security forecast** under clause 8.15.
- (2) The system security forecast last provided to the Commission under rule 15 of section II of part C of the **rules** immediately before this Code came into force, is deemed to have been prepared, published and provided to the **Authority** under clause 8.15.

17.31 Load shedding obligations to support voltage

A requirement expressed by the system operator under rule 3.3 of section III of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be a requirement expressed by the **system operator** under clause 8.24.

17.32 Information provisions

- (1) A notice given by the system operator to an embedded generator under rule 4.5 of section III of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice issued by the **system operator** to an **embedded generator** under clause 8.25(5)(b).
- (2) An application to the Commission under rule 4.6 of section III of part C of the **rules** on which the Commission had not made a decision immediately before this Code came into force, is deemed to be an application to the **Authority** under clause 8.25(6).
- (3) An approval given by the Commission under rule 4.6 of section III of part C of the **rules** immediately before this Code came into force, is deemed to be an approval given by the **Authority** under clause 8.25(6).

17.33 Commissioning plan or testing plan

A commissioning plan or testing plan agreed between the asset owner and the system

operator under rule 6 of section III of part C of the **rules** and in force immediately before this Code came into force, is deemed to be a commissioning plan or testing plan agreed between the **asset owner** and the **system operator** under clause 8.28(3)(b).

17.34 Equivalence arrangement or dispensation

- (1) An approval of an equivalence arrangement under rule 7.2 of section III of part C of the **rules**, unless cancelled under rule 8.2 of section III of part C or revoked under rule 8.3 of section III of part C, that was in force immediately before this Code came into force, is deemed to be an approval of an **equivalence arrangement** under clause 8.30 and clause 8 of Schedule 8.1, as modified in accordance with rule 8.1 of section III of part C of the **rules**.
- (2) A grant of a dispensation under rule 7.3 of section III of part C of the **rules**, unless cancelled under rule 8.2 of section III of part C, or revoked or varied under rule 8.3 of section III of part C, that was in force immediately before this Code came into force, is deemed to be a grant of a **dispensation** under clause 8.31 and clause 8 of Schedule 8.1 as modified in accordance with rule 8.1 of section III of part C of the **rules**.
- (3) An application for an equivalence arrangement made under clause 2 of schedule C1 of part C of the **rules**, on which the system operator had not yet advised its decision immediately before this Code came into force, is deemed to be an application for an **equivalence arrangement** under clause 2 of Schedule 8.1.
- (4) An application for a dispensation made under clause 2 of schedule C1 of part C of the **rules**, on which the system operator had not yet advised its decision immediately before this Code came into force, is deemed to be an application for a **dispensation** under clause 2 of Schedule 8.1.
- (5) An agreement relating to the processing costs for the approval of an equivalence arrangement or the grant of a dispensation under clause 5 of schedule C1 of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be an agreement under clause 5 of Schedule 8.1.
- (6) A draft decision issued and published by the system operator on the grant of a dispensation under clause 6 of schedule C1 of part C of the **rules**, on which the system operator had not advised its decision immediately before this Code came into force, is deemed to be a draft decision issued and published by the **system operator** on the grant of a **dispensation** under clause 6 of Schedule 8.1.

17.35 Excluded generating stations

A directive issued by the Commission under rule 10 of section III of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be a directive issued by the **Authority** under clause 8.38(2).

17.36 Procurement plan

- (1) *[Expired]*
- (2) *[Expired]*
- (3) Subclauses (1) and (2) expire at the close of 30 November 2010.

- (4) The **procurement plan** notified in the *Gazette* dated 15 July 2010 is deemed to be the **procurement plan** made under clause 8.41.
- (5) Subclause (4) came into force on 1 December 2010.
- (6) A draft procurement plan submitted to the Commission under rule 4 of section IV of part C of the **rules** on which the review process in rule 5 of section IV of part C had not been completed immediately before this Code came into force, is deemed to be a **draft procurement plan** submitted to the **Authority** under clause 8.43.
- (7) A draft procurement plan published by the Commission under rule 5 of section IV of part C of the **rules** on which the review process in that rule had not been completed immediately before this Code came into force, is deemed to be a **draft procurement plan** published by the **Authority** under clause 8.44.
- (8) A submission received on a draft procurement plan under rule 5 of section IV of part C of the **rules** on which the review process in that rule had not been completed immediately before this Code came into force, is deemed to be submission received on a **draft procurement plan** under clause 8.44.
- (9) A request for variation to a current procurement plan by a participant that the Commission had determined to hold over until the next draft procurement plan process under rule 7.2.2 of section IV of part C of the **rules** immediately before this Code came into force, is deemed to be a request for variation to a current **procurement plan** that has been held over by the **Authority** until the next **draft procurement plan** process under clause 8.46(3).
- (10) A report provided to the Board by the system operator under rule 8.2 of section IV of part C of the **rules** before this Code came into force, is deemed to be a report provided to the **Authority** under clause 8.47(2).

Clause 17.36(1) and (2): expired, on 30 November 2012 by clause 17.36(3).

17.37 Alternative ancillary service arrangement

- (1) An alternative ancillary service arrangement authorised under rules 9.1 to 9.3 of section IV of part C of the **rules**, unless cancelled under rule 9.6 of section IV of part C or revoked under rule 9.7 of section IV of part C, immediately before this Code came into force, is deemed to be an authorised **alternative ancillary service arrangement** under clause 8.48, with any modifications to the arrangement made by rules 9.4 and 9.5 of section IV of part C of the **rules**.
- (2) An application for an authorisation of an alternative ancillary service arrangement made under rules 9.1 to 9.3 of section IV of part C of the **rules**, not determined immediately before this Code came into force, is deemed to be is an application for authorisation of an alternative **ancillary service arrangement** made under clause 8.48 and clause 1 of Schedule 8.2.
- (3) A notification given under rule 10 of section IV of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification under clause 8.54.

17.38 Allocating ancillary services costs

- (1) Amounts payable pursuant to contracts under rule 11.1.1 of section IV of part C of the **rules**, not paid immediately before this Code came into force, are deemed to be amounts payable under clause 8.55(a).
- (2) Actual administrative costs approved by the Commission under rule 11.1.2 of section IV of part C of the **rules** and in force immediately before this Code came into force, are deemed to be actual **administrative costs** under clause 8.55(b).
- (3) Costs or charges payable under rule 11.5.1 of section IV of part C of the **rules**, not paid immediately before this Code came into force, are deemed to be costs or charges payable under clause 8.59.
- (4) A notice given by the system operator to a participant under rule 11.5.1A of section IV of part C of the **rules**, for which the required information had not been provided immediately before this Code came into force, is deemed to be a notice given under clause 8.60.
- (5) A draft determination published by the system operator under rule 11.5.1B of section IV of part C of the **rules** before this Code came into force, is deemed to be a draft determination **published** under clause 8.61.
- (6) A submission received on a draft determination published by the system operator under rule 11.5.1B of section IV of part C of the **rules** before this Code came into force, is deemed to be a submission received under clause 8.61.
- (7) A notice given to the Rulings Panel under rule 11.5.1C of section IV of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 8.62.
- (8) A decision made by the Rulings Panel under rule 11.5.1D of section IV of part C of the **rules** before this Code came into force, is deemed to be a decision made by the **Rulings Panel** under clause 8.63.
- (9) A determination referred back to the system operator under rule 11.5.1D of section IV of part C of the **rules** that had not been resolved immediately before this Code came into force, is deemed to have been referred back to the **system operator** under clause 8.63.
- (10) Costs or charges payable under rule 11.5.2 of section IV of part C of the **rules**, not paid immediately before this Code came into force, are deemed to be costs or charges payable under clause 8.64.
- (11) An event charge that had been paid but not rebated under rule 11.5.3 of part IV of part C of the **rules** immediately before this Code came into force, must be rebated under clause 8.65.
- (12) Costs or charges payable under rule 11.6 of section IV of part C of the **rules**, not paid immediately before this Code came into force, are deemed to be costs or charges payable under clause 8.67.
- (13) Amounts payable under rule 11.7 of section IV of part C of the **rules**, not paid immediately before this Code came into force, are deemed to be amounts payable under clause 8.68.
- (14) Amounts payable under rule 11.8 of section IV of part C of the **rules**, not paid

immediately before this Code came into force, are deemed to be amounts payable under clause 8.69.

17.39 Requirements for asset capability statement

An asset capability statement provided to the system operator by an asset owner under clause 2.5 of technical code A of schedule C3 of part C of the **rules** before this Code came into force, is deemed to be an **asset capability statement** provided under clause 2(5) of **Technical Code A** of Schedule 8.3.

17.40 Connection of local networks in parallel with the grid

An agreement under clause 6 of technical code A of schedule C3 of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be an agreement under clause 6 of **Technical Code A** of Schedule 8.3.

17.41 Modification and changes to assets

A notification given by an asset owner to the system operator under clause 7.2 of schedule C3 of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 7(2) of Schedule 8.3.

17.42 Records, tests and inspections

A written request made by the system operator to an asset owner under clause 8.3 of schedule C3 of part C of the **rules** that the asset owner had not responded to immediately before this Code came into force, is deemed to be a written request made under clause 8(3) of **Technical Code A** of Schedule 8.3.

17.43 Information provided

- (1) Information provided by a North Island distributor under clause 6 of appendix B of technical code A of schedule C3 of part C of the **rules** before this Code came into force, is deemed to be information provided by a North Island **distributor** under clause 6 of Appendix B of **Technical Code A** of Schedule 8.3.
- (2) Information provided by a South Island distributor under clause 7 of appendix B of technical code A of schedule C3 of part C of the **rules** before this Code came into force, is deemed to be information provided by a South Island **distributor** under clause 7 of Appendix B of **Technical Code A** of Schedule 8.3.

17.44 Retention of records

The **system operator** and each **participant** must retain records of formal notices issued under clause 4 of technical code B of schedule C3 of part C of the **rules**.

17.45 Redistribution of automatic under-frequency load shedding [Revoked]

Clause 17.45: revoked, on 7 August 2014, by clause 34 of the Electricity Industry Participation Code Amendment (Extended Reserve) 2014.

17.46 Notice

A notice in relation to a participant under clause 6.5A.2 of technical code B of schedule C3 of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice in relation to a **participant** under clause 7(11) of **Technical Code B** of Schedule 8.3.

17.47 Specific requirements for document transmission communication

- (1) A request made by an asset owner to the system operator under clause 4.1.2 of technical code C of schedule C3 of part C of the **rules** that had not been dealt with by the system operator immediately before this Code came into force, is deemed to be a request made under clause 5(2) of **Technical Code C** of Schedule 8.3.
- (2) An approval of primary or backup means of document transmission communication under clauses 4.1 or 4.2 of technical code C of schedule C3 of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be an approval under clause 5(2) or (3), as the case may be, of **Technical Code C** of Schedule 8.3.

17.48 Outage

- (1) A notification of a planned outage under clause 2 of technical code D of schedule C3 of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification under clause 2 of **Technical Code D** of Schedule 8.3.
- (2) Any asset outage programme published under clause 6 of technical code D of schedule C3 of part C of the **rules** that was in force immediately before this Code came into force, is deemed to be a **asset** outage programme published under clause 6 of **Technical Code D** of Schedule 8.3.

17.48A [Revoked]

Clause 17.48A: inserted, on 7 August 2014, by clause 35 of the Electricity Industry Participation Code Amendment (Extended Reserve) 2014.

Clause 17.48A(3): amended, on 19 December 2014, by clause 43 of the Electricity Industry Participation Code Amendment (Minor Code Amendments) (No 3) 2014.

Clause 17.48A: revoked, on 5 October 2017, by clause 580 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.48B [Revoked]

Clause 17.48B: inserted, on 7 August 2014, by clause 35 of the Electricity Industry Participation Code Amendment (Extended Reserve) 2014.

Clause 17.48B: revoked, on 5 October 2017, by clause 581 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

Transitional provisions relating to Part 9

17.49 System operator rolling outage plan

A system operator rolling outage plan issued by the Commission immediately before this Code came into force, is deemed to be the **system operator rolling outage plan** prepared and published under clause 9.2, and may be substituted by the **system operator** accordingly.

17.50 Participant rolling outage plans

- (1) A notice given by the Commission to a specified participant under regulation 8A(2) of the Electricity Governance (Security of Supply) Regulations 2008 that was in force immediately before this Code came into force, is deemed to be a notice given by the **system operator** under clause 9.6(2).
- (2) A participant outage plan submitted to the Commission for approval under regulation 8B(2) of the Electricity Governance (Security of Supply) Regulations 2008, that had not been approved by the Commission immediately before this Code came into force, is deemed to be a **participant rolling outage plan** submitted to the **system operator** for approval under clause 9.7(2).
- (3) A participant outage plan approved by the Commission under regulation 8D of the Electricity Governance (Security of Supply) Regulations 2008 that was in force immediately before this Code came into force, is deemed to be a **participant rolling outage plan** approved by the **system operator** under clause 9.9.
- (4) A revised participant outage plan submitted to the Commission for approval under regulation 8E(b) of the Electricity Governance (Security of Supply) Regulations 2008 that had not been approved by the Commission immediately before this Code came into force, is deemed to be a **participant rolling outage plan** submitted to the **system operator** for approval under clause 9.10(b).
- (5) A participant outage plan approved by the Commission under regulation 8F of the Electricity Governance (Security of Supply) Regulations 2008 immediately before this Code came into force, is deemed to be a **participant rolling outage plan** approved by the **system operator** under clause 9.11.
- (6) A participant outage plan published under regulation 8G of the Electricity Governance (Security of Supply) Regulations 2008 that was in force immediately before this Code came into force, is deemed to be a **participant rolling outage plan** published under clause 9.12.
- (7) Every reference to the Commission in a participant outage plan published under regulation 8G of the Electricity Governance (Security of Supply) Regulations 2008 that was in force immediately before this Code came into force, is deemed to be a reference to the **system operator**.
- (8) Every reference to the Security of Supply Outage Plan in a participant outage plan published under regulation 8G of the Electricity Governance (Security of Supply) Regulations 2008 that was in force immediately before this Code came into force, is deemed to be a reference to the **System Operator Rolling Outage Plan**.
- (9) Every reference to a provision of the Electricity Governance (Security of Supply) Regulations 2008 in a participant outage plan published under regulation 8G of the Electricity Governance (Security of Supply) Regulations 2008 that was in force immediately before this Code came into force, is deemed to be a reference to the corresponding provision of the Code.
- (10) A participant outage plan submitted to the Commission for approval under regulation 8H of the Electricity Governance (Security of Supply) Regulations 2008 that had not

been approved by the Commission immediately before this Code came into force, is deemed to be a **participant rolling outage plan** submitted to the **system operator** for approval under clause 9.13.

- (11) A participant outage plan submitted to the Commission under regulations 8B(2), 8E(b), or 8H of the Electricity Governance (Security of Supply) Regulations 2008 and held by the Commission immediately before this Code came into force, must be made available by the **Authority** to the **system operator** on request by the **system operator**.

17.51 Supply shortage declaration

A supply shortage declaration in force under regulation 9 of the Electricity Governance (Security of Supply) Regulations 2008 immediately before this Code came into force, is deemed to be a **supply shortage declaration** in force under clause 9.14.

17.52 Security of supply direction

A direction in force under regulation 10 of the Electricity Governance (Security of Supply) Regulations 2008 immediately before this Code came into force, is deemed to be a direction in force under clause 9.15.

17.53 Provision of information

- (1) A written notice for information received by a participant under regulation 14 of the Electricity Governance (Security of Supply) Regulations 2008 that had not been responded to immediately before this Code came into force, is deemed to be a written notice for information given by the **system operator** under clause 9.18.
- (2) Any information provided to the Commission under regulation 14 of the Electricity Governance (Security of Supply) Regulations 2008 and held by the Commission immediately before this Code came into force, must be made available by the **Authority** to the **system operator** on request by the **system operator**.

Transitional provisions relating to Part 10

17.54 Meter installations

- (1) Consultation undertaken by the Commission in respect of a new point of connection under rule 2 of part D of the **rules**, for which the responsibility had not been determined immediately before this Code came into force, is deemed to be consultation by the **Authority** under clause 10.2.
- (2) Advice of an assignment of responsibility for provision of a metering installation made under rule 2 of part D of the **rules** immediately before this Code came into force, is deemed to be advice of an assignment of responsibility for provision of a **metering installation** to the **Authority** made under clause 10.2(2).
- (3) The **Authority** is not required to advise **registered participants** of an assignment under clause 10.2(3) if the Commission advised registered participants of the assignment under rule 2 of part D of the **rules**.

17.55 Quantification at points of connection

A method of calculation approved by the Board under rule 3.1.2 of part D of the **rules** in force immediately before this Code came into force, is deemed to be a method of calculation approved by the **Authority** under clause 10.3(a)(ii).

17.56 Electricity recorded

- (1) Electricity recorded in accordance with rule 3 of part D of the **rules** immediately before this Code came into force, is deemed to be **electricity** recorded under clause 10.3.
- (2) Electricity recorded in accordance with rule 3.1 of part D of the **rules** immediately before this Code came into force, is deemed to be **electricity** recorded under clause 10.3(a).
- (3) Electricity recorded in accordance with rule 3.3 of part D of the **rules** immediately before this Code came into force, is deemed to be **electricity** recorded under clause 10.3(c).
- (4) Electricity recorded in accordance with rule 3.4 of part D of the **rules** immediately before this Code came into force, is deemed to be **electricity** recorded under clause 10.3(d).

17.57 Metering installation tests

- (1) A notice requesting a test of a metering installation given under rule 9 of part D of the **rules**, for which a test had not been carried out immediately before this Code came into force, is deemed to be a notice under clause 10.9(1).
- (2) Any cost of a test payable under rule 9 of part D of the **rules**, if not paid immediately before this Code came into force, is deemed to be a cost payable under clause 10.9(2).
- (3) A direction by the reconciliation manager as to the adjustment, repair or replacement of a metering installation given under rule 11 of part D of the **rules** that had not been complied with immediately before this Code came into force, is deemed to be a direction of the **reconciliation manager** under clause 10.11.

17.58 Approved test house

- (1) A person approved as an approved test house by the market administrator under clauses 7.1 to 7.4 of code of practice D2 of part D of the **rules**, whose approval had not been cancelled under rule 7.7 of code of practice D2 of part D of the **rules**, immediately before this Code came into force, is deemed to be an **approved test house** under clause 7(2) of **Code of Practice** 10.2 for the purposes of **Code of Practice** 10.2.
- (2) An application for the renewal of an approval as an approved test house under clauses 7.1 to 7.4 of code of practice D2 of part D of the **rules** that was not determined immediately before this Code came into force, is deemed to be an application for renewal under clause 7(9) of **Code of Practice** 10.2.
- (3) An audit carried out under clause 7.5 of code of practice D2 of part D of the **rules** before this Code came into force, is deemed to be an **audit** carried out under clause 8 of **Code of Practice** 10.2.
- (4) A data logger certified under clause 3.4 of code of practice D3 of part D of the **rules**

immediately before this Code came into force, is deemed to be a **data logger** certified under clause 3.4 of **Code of Practice** 10.3.

- (5) A report of defects, tampering and incidents under clause 10 of code of practice D3 of part D of the **rules** made before this Code came into force, is deemed to be a report under clause 12 of **Code of Practice** 10.3.

17.59 Certification of metering installations

- (1) A metering installation certified, or deemed by rule 6 of section III of part I of the **rules** to be certified, under clause 4 of code of practice D3 of part D of the **rules** immediately before this Code came into force, is deemed to be a **metering installation certified** under clause 4 of **Code of Practice** 10.3.
- (2) A metering installation that had, or was deemed by rule 5 of section III of part I of the **rules** to have, interim certification under clause 4 of code of practice D3 of part D of the **rules** immediately before this Code came into force, is deemed to be a **metering installation** that has interim **certification** under clause 4 of **Code of Practice** 10.3.
- (3) A metering installation recertified under clause 5.4.2 of code of practice D3 of part D of the **rules** immediately before this Code came into force, is deemed to be a **metering installation recertified** under clause 7 of **Code of Practice** 10.3.

17.60 Inspection requirements

A variation approved by the market administrator under clause 3 of code of practice D5 of part D of the **rules** that had not expired immediately before this Code came into force, is deemed to be a variation approved by the **market administrator** under clause 3 of **Code of Practice** 10.5.

17.61 Variation of requirements

A variation granted under code of practice D5 of part D of the **rules** that had not expired immediately before this Code came into force, is deemed to be a variation granted under **Code of Practice** 10.5.

Transitional provisions relating to Part 11

17.62 Requirement to provide complete and accurate information

For the purposes of clause 11.2(2), information provided by a participant under part E of the **rules** before this Code came into force, is deemed to be information provided under Part 11.

17.63 ICP identifiers for ICPs

An ICP identifier that applied to an ICP immediately before this Code came into force, is deemed to be an **ICP identifier** for that **ICP** created under this Code.

17.64 Participants may request that distributors create ICP identifiers for ICPs

A request by a participant that a distributor create an ICP identifier for an ICP made under rule 4 of part E of the **rules**, on which the distributor had not made a decision

immediately before this Code came into force, is deemed to be a request made under clause 11.5(1).

17.65 Provision of ICP information

Information provided by a distributor or a trader under rule 6 of part E of the **rules** before this Code came into force, is deemed to be information provided by a **distributor** or a **trader**, as the case may be, under clause 11.7.

17.66 Provision of and changes to ICP and NSP information

A notification given by a participant under rule 8.2 of part E of the **rules** that had not been processed immediately before this Code came into force, is deemed to be a notification given under clause 11.8(2).

17.67 Network owner notifications

A notification given by a network owner under rule 8.5 of part E of the **rules** that had not been processed immediately before this Code came into force, is deemed to be a notification given under clause 11.8(5).

17.68 Audits

- (1) An initial audit completed in accordance with rule 10.1.1 of part E of the **rules** before this Code came into force, is deemed to be an initial **audit** completed in accordance with clause 11.10(1)(a).
- (2) A further audit completed under rules 10.1.2 and 10.1.3 of part E of the **rules** before this Code came into force, is deemed to be a **audit** completed under clauses 11.10(1)(b) or (c), as the case may be.
- (3) An audit carried out by the Board in accordance with rule 10A of part E of the **rules** before this Code came into force, is deemed to be an **audit** carried out by the **Authority** in accordance with clause 11.11.
- (4) An audit report prepared in accordance with rule 10B of part E of the **rules** before this Code came into force, is deemed to be an **audit** report prepared in accordance with clause 11.12.
- (5) Comments on a draft audit report provided by a distributor to an auditor under rules 10B.3 and 10B.4 of part E of the **rules**, in respect of an audit report that had not been finalised immediately before this Code came into force, are deemed to be comments provided by that **distributor** in accordance with clauses 11.12(c) and (d).
- (6) A final audit report provided to a distributor by an auditor under clause 10B.6 of part E of the **rules** before this Code came into force, is deemed to be a final **audit** report provided under clause 11.12(f).
- (7) Any conditions specified in a final audit report provided under clause 10B.6 of part E of the **rules** that were in force immediately before this Code came into force, are deemed to be conditions specified under clause 11.12(f).
- (8) A summary published by the Board under rule 10C.2 of part E of the **rules** before this Code came into force, is deemed to be a summary published by the **Authority** under

clause 11.13(2).

17.69 Process for maintaining shared unmetered load

- (1) A notification provided by a distributor to the registry under rule 14.2 of part E of the **rules** that had not been processed immediately before this Code came into force, is deemed to be a notification provided under clause 11.14(2).
- (2) A notification provided by a trader to a distributor under rule 14.2A of part E of the **rules** that had not been processed immediately before this Code came into force, is deemed to be a notification provided under clause 11.14(3).
- (3) A notification provided by a distributor to the registry and each trader under rule 14.2B of part E of the **rules** that had not been processed immediately before this Code came into force, is deemed to be a notification provided under clause 11.14(4).
- (4) A notification provided by a distributor to all traders under rule 14.3 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification provided under clause 11.14(5).

17.70 Timeframes and formats of information

Any requirements as to timeframes and formats of information specified by the Board in accordance with rule 20 of part E of the **rules** that were in force immediately before this Code came into force, are deemed to be requirements specified by the **Authority** under clause 11.19(2).

17.71 Confirmation of receipt of data

Confirmation provided by the registry to a participant under rule 22.2 of part E of the **rules** before this Code came into force, is deemed to be confirmation provided under clause 11.21(4).

17.72 Registry must maintain a database of information

A register of information and audit trail maintained by the registry under rule 22.3 of part E of the **rules** immediately before this Code came into force, is deemed to be a register of information and complete **audit** trail maintained in accordance with clause 11.22.

17.73 Reports from the registry

- (1) A report published by the registry under rule 23 of part E of the **rules** immediately before this Code came into force, is deemed to be a report published by the **registry** under clause 11.23.
- (2) An agreement between the Board and the registry as to other information that must be included in a report published under rule 23.3 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be an agreement made under clause 11.23(c).

17.74 Registry reports to specific participants

- (1) A report delivered by the registry under rule 24.1A of part E of the **rules** before this Code came into force, is deemed to be a report delivered by the **registry** under clause 11.24.
- (2) A request made by the system operator in accordance with rule 24.1.2 of part E of the **rules** that had not been processed immediately before this Code came into force, is deemed to be a request made by the **system operator** under clause 11.25(2).
- (3) A variation requested under rule 24.1.5 of part E of the **rules** that had not been processed immediately before this Code came into force, is deemed to be a variation requested under clause 11.25(5).

17.75 Access to the registry

- (1) An application made by a participant under rule 25.1 of part E of the **rules** that had not been processed immediately before this Code came into force, is deemed to be an application made under clause 11.28(1).
- (2) Terms and conditions specified by the Board under rule 25.2 of part E of the **rules** that were in force immediately before this Code came into force, are deemed to be terms and conditions specified under clause 11.28(2).
- (3) A report requested by a participant under rule 25.4 of part E of the **rules** that had not been provided immediately before this Code came into force, is deemed to be a report requested by a **participant** under clause 11.28(4).

17.76 Registry notifications

A notification provided by the registry to affected participants under rule 26 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification provided under clause 11.29.

17.77 Customer and embedded generator queries

A request received by a trader or a distributor under rule 28 of part E of the **rules** that the trader or distributor had not responded to immediately before this Code came into force, is deemed to be a request received in accordance with clause 11.31.

17.78 Dispensations

A dispensation granted by the Board under clause 1.4 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a dispensation granted by the **Authority** under clause 4 of Schedule 11.1.

17.79 Distributors to provide ICP information to registry

Information provided by a distributor to the registry under clause 2 of schedule E1 of part E of the **rules** that had not been changed by the distributor under clause 2A of schedule E1 of part E of the **rules** immediately before this Code came into force, is deemed to be information provided to the registry under clause 7 of Schedule 11.1.

17.80 Traders to provide ICP information to registry

Information provided by a trader to the registry under clause 2 of schedule E1 of part E of the **rules** that had not been changed by the trader under clause 2A of schedule E1 of part E of the **rules** immediately before this Code came into force, is deemed to be information provided to the registry under clause 7 of Schedule 11.1.

17.81 Correction of errors in the registry

A list of ICPs and other information provided by the registry to each participant under clause 3B of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a list of **ICPs** and other information provided by the **registry** to **participants** under clause 11 of Schedule 11.1.

17.82 Management of ICP status by distributors and traders

- (1) The status of an ICP recorded on the registry and managed in accordance with clause 4 of schedule E1 of part E of the **rules** immediately before this Code came into force, is deemed to be the status of the **ICP** recorded on the **registry** and managed by **distributors** or **traders**, as the case may be, in accordance with clauses 12 to 20 of Schedule 11.1, as the case may be.
- (2) A request made by a distributor to a trader under clause 4.3A.1 of schedule E1 of part E of the **rules** that the trader had not responded to immediately before this Code came into force, is deemed to be a request made under clause 15(a) of Schedule 11.1.
- (3) A method of calculation approved by the Board under clause 4.6.2 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a method of calculation approved by the **Authority** under clause 17(2)(b) of Schedule 11.1.
- (4) Advice given by a distributor under clause 4.6A of part E of the **rules** before this Code came into force, is deemed to be advice given by a **distributor** under clause 18 of Schedule 11.1.

17.83 Updating table of loss category codes

A loss category code entered in the table in the registry under clause 5 of schedule E1 of part E of the **rules** and in force immediately before this Code came into force, is deemed to be a **loss category** code entered in accordance with clause 21 of Schedule 11.1.

17.84 Updating loss factors for loss category codes

A loss factor entered in the table in the registry under clause 5A of schedule E1 of part E of the **rules** that is in force immediately before this Code came into force, is deemed to be a **loss factor** entered in accordance with clause 22 of Schedule 11.1.

17.85 Updating table of price category codes

A price category code entered in the table in the registry under clause 6 of schedule E1 of part E of the **rules** that is in force immediately before this Code came into force, is

deemed to be a **price category** code entered in accordance with clause 23 of Schedule 11.1.

17.86 Balancing area information

- (1) A notification given to the reconciliation manager under clause 7.1 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 24(1) of Schedule 11.1.
- (2) A notification of a change of information given to the reconciliation manager under clause 7.1A of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to a notification given under clause 24(2) of Schedule 11.1.
- (3) A notification given by the reconciliation manager to the registry of changes to balancing areas under clause 7.2 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 24(4) of Schedule 11.1.
- (4) A schedule published by the registry under clause 7.3 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a schedule published under clause 24(5) of Schedule 11.1.

17.87 Creation and decommissioning of NSPs and transfer of ICPs

- (1) A notification given by a participant to the reconciliation manager under clause 8.1.1 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 25(1)(a) of Schedule 11.1.
- (2) A notification given by the reconciliation manager to the market administrator and affected reconciliation participants under clause 8.1.2 of Schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 25(1)(b) of Schedule 11.1.
- (3) A notification given by a distributor under clause 8.1A of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 25(2) of Schedule 11.1.

17.88 Information to be provided if NSPs are created or ICPs are transferred

- (1) A request made by a participant to the reconciliation manager under clause 9.1 of schedule E1 of part E of the **rules** that had not been responded to and resolved immediately before this Code came into force, is deemed to be a request made under clause 26(1) of Schedule 11.1.
- (2) Information provided by a distributor to the reconciliation manager under clause 9.3 of schedule E1 of part E of the **rules** before this Code came into force, is deemed to be information provided under clause 26(3) of Schedule 11.1.
- (3) A notification given by a distributor to the reconciliation manager under clause 9.4 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 26(4) of Schedule 11.1.

17.89 Information to be provided if ICPs become NSPs

A notification given by a distributor to traders under clause 10 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 27 of Schedule 11.1.

17.90 Reconciliation manager to allocate new identifiers

An NSP identifier allocated by the reconciliation manager under clause 11 of schedule E1 of part E of the **rules** and in force immediately before this Code came into force, is deemed to be an NSP identifier allocated under clause 28 of Schedule 11.1.

17.91 Obligations concerning change in network owner

A notification given by a network owner under clause 12 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 29 of Schedule 11.1.

17.92 Reconciliation manager to advise registry

- (1) Advice given by the reconciliation manager to the registry under clause 13.1 of schedule E1 of part E of the **rules** before this Code came into force, is deemed to be advice given under clause 30(1) of Schedule 11.1.
- (2) A schedule published by the registry under clause 13.2 of schedule E1 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a schedule published by the **registry** under clause 30(2) of Schedule 11.1.

17.93 Transfer of ICPs between distributors' networks

- (1) A notification given by a distributor to the market administrator under clause 2 of schedule E1A of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 2 of Schedule 11.2.
- (2) Confirmation given by a distributor to the market administrator under clause 5 of schedule E1A of part E of the **rules** before this Code came into force, is deemed to be confirmation given under clause 5 of Schedule 11.2.
- (3) A validated meter reading or permanent estimate taken by a reconciliation participant under clause 11 of schedule E1A of part E of the **rules** before this Code came into force, is deemed to be a validated meter reading or permanent estimate taken under clause 11 of Schedule 11.2.
- (4) An authorisation given by the Board to the reconciliation manager under clause 12 of schedule E1A of part E of the **rules** and in force immediately before this Code came into force, is deemed to be an authorisation given by the **Authority** under clause 12 of Schedule 11.2.

17.94 Standard switching process for ICPs with non half-hour metering and unmetered ICPs

- (1) A period identified by a gaining trader under clause 1.1B.1 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be a period identified under clause 1(2)(a) of Schedule 11.3.
- (2) An arrangement deemed to come into effect under clause 1.1B.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be an arrangement deemed to come into effect under clause 1(2)(b) of Schedule 11.3.
- (3) Advice given to the registry under clause 1.1 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be advice given under clause 2 of Schedule 11.3.
- (4) An event date established by a losing trader under clause 1.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be an event date established under clause 3 of Schedule 11.3.
- (5) Acknowledgment of a switch request, final information, or a request for withdrawal of a switch provided by a losing trader under clause 1.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be an acknowledgement, final information, or request for withdrawal of a switch, as the case may be, provided under clause 3 of Schedule 11.3.
- (6) Information provided by a losing trader under clause 1.3 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be information provided by a losing trader under clause 5 of Schedule 11.3.
- (7) A dispute of a validated meter reading or permanent estimate raised under clause 1.4.2 of schedule E2 of part E of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a dispute under clause 6(b) of Schedule 11.3.
- (8) A changed validated meter reading or permanent estimate provided under clause 1.4.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be a changed validated meter reading or permanent estimate provided under clause 6(b) of Schedule 11.3.
- (9) A notice given by a losing trader under clause 1.4.2.1 or 1.4.2.2 of schedule E2 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 6(b)(i) or (ii) of Schedule 11.3.
- (10) A dispute notified under clause 1.5 of schedule E2 of part E of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a dispute under clause 7 of Schedule 11.3.

17.95 Switch move process for ICPs with non half-hour metering and unmetered ICPs

- (1) A period identified by a gaining trader under clause 2.1B.1 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be a period identified under clause 8(2)(a) of Schedule 11.3.
- (2) An arrangement deemed to come into effect under clause 2.1B.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be an arrangement deemed to come into effect under clause 8(2)(b) of Schedule 11.3.

- (3) Advice given to the registry under clause 2.1 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be advice given under clause 9 of Schedule 11.3.
- (4) A proposed event date confirmed or set by a losing trader under clause 2.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be an event date confirmed or set under clause 10 of Schedule 11.3.
- (5) Acknowledgment of a switch move, final information, or a request for withdrawal of a switch provided by a losing trader under clause 2.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be an acknowledgement, final information, or request for a switch move, as the case may be, provided under clause 10 of Schedule 11.3.
- (6) Information provided by a losing trading trader under clause 2.3 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be information provided by a losing trader under clause 11 of Schedule 11.3.
- (7) A dispute of a validated meter reading or permanent estimate raised under clause 2.4.2 of schedule E2 of part E of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a dispute under clause 12(2)(b) of Schedule 11.3.
- (8) A changed validated meter reading or permanent estimate provided under clause 2.4.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be a changed validated meter reading or permanent estimate provided under clause 12(3) of Schedule 11.3.
- (9) A notice given by a losing trader under clause 2.4.2 of schedule E2 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 12(3) of Schedule 11.3.
- (10) A dispute notified under clause 2.4.2.1 of schedule E2 of part E of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a dispute under clause 12(3)(i) of Schedule 11.3.

17.96 Half-hour switching process

- (1) A period identified by a gaining trader under clause 3.1A.1 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be a period identified under clause 13(2)(a) of Schedule 11.3.
- (2) An arrangement deemed to come into effect under clause 3.1A.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be an arrangement deemed to come into effect under clause 13(2)(b) of Schedule 11.3.
- (3) Advice given to the registry under clause 3.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be advice given under clause 14 of Schedule 11.3.
- (4) Information provided by a losing trader under clause 3.3 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be information provided by a losing trader under clause 15 of Schedule 11.3.

- (5) A notice given to the registry under clause 3.4 of schedule E2 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 16 of Schedule 11.3.

17.97 Withdrawal of switch requests

- (1) Codes for withdrawing a switch request determined and published by the Board under clause 4.1 of schedule E2 of part E of the **rules** before this Code came into force, are deemed to be codes determined and published by the **Authority** under clause 18(b) of Schedule 11.3.
- (2) Information provided to the registry under clause 4.2 of schedule E2 of part E of the **rules** immediately before this Code came into force, is deemed to be information provided under clause 18(c) of Schedule 11.3.
- (3) A notification given by a trader under clause 4.3 of schedule E2 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 18(d) of Schedule 11.3.
- (4) A rejection notification given by the registry under clause 4.3 of schedule E2 of part E of the **rules** for a switch withdrawal request that had not been resolved immediately before this Code came into force, is deemed to be a rejection notification given under clause 18(d) of Schedule 11.3.
- (5) A switch withdrawal request resubmitted by a trader under clause 4.4 of schedule E of part E of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a switch withdrawal request resubmitted under clause 18(e) of Schedule 11.3.
- (6) A request that a switch request be withdrawn made under clause 4.5 of schedule E2 of part E of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a request made under clause 18(f) of Schedule 11.3.

17.98 Participants to use file formats

- (1) File formats determined and published by the Board under clause 5.1 of schedule E2 of part E of the **rules** that were in force immediately before this Code came into force, are deemed to be file formats determined and published by the **Authority** under clause 19 of Schedule 11.3.

17.99 Method of exchanging files

- (1) Consultation carried out under clause 5.2 of schedule E2 of part E of the **rules** before this Code came into force, is deemed to be consultation carried out under clause 20(1) of Schedule 11.3.
- (2) A method by which participants must exchange information in file formats determined and published by the Board under clause 5.2 of schedule E2 of part E of the **rules** that were in force immediately before this Code came into force, are deemed to be methods and file formats determined and published by the **Authority** under clause 20 of Schedule 11.3.

17.100 Costs of interrogation or estimation

The costs of an interrogation or validated meter reading or permanent estimate carried out in accordance with clause 1.3.2 or clause 2.2.2 of schedule E2 of part E of the **rules** before this Code came into force, are deemed to be costs for the purposes of clause 21 of Schedule 11.3.

17.101 Registry notifications

A notification provided by the registry to participants under clause 5.4 of schedule E2 of part E of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice provided by the registry to participants under clause 22 of Schedule 11.3.

17.101A Switching under Schedule 11.3

- (1) This clause applies to an arrangement between a **trader** and a **customer** or **embedded generator** to carry out a switch in relation to an **ICP** under Schedule 11.3.
- (2) If the arrangement came into effect before 9 October 2015 and the relevant switch had not been completed by that date, the switch must be completed in accordance with Schedule 11.3 as amended by the Electricity Industry Participation Code Amendment (ICP Switching) 2014 and the Electricity Industry Participation Code Amendment (ICP Switching) 2015.

Clause 17.101A: inserted, on 9 October 2015, by clause 28 of the Electricity Industry Participation Code Amendment (ICP Switching) 2014. Clause 17.101A(2): amended, on 9 October 2015, by clause 17 of the Electricity Industry Participation Code Amendment (ICP Switching) 2015.

Transitional provisions relating to Part 12

17.102 Discretion to waive requirements

An agreement by the Board to waive rule requirements under rule 2.1 of section I of part F of the **rules** that was in force immediately before this Code came into force, is deemed to be an agreement by the **Authority** to waive Code requirements under clause 12.2.

17.103 Benchmark agreements to be default transmission agreements

A process commenced but not completed under rule 3.1.3 of section II of part F of the **rules** immediately before this Code came into force, must be continued and completed under clause 12.10 and any action taken, information provided, or advice given under that rule is deemed to be an action taken, information provided, or advice given, as the case may be, under clause 12.10.

17.104 Changes to the connection assets under default transmission agreements

A process commenced but not completed under rule 3.1.5 of section II of part F of the **rules** immediately before this Code came into force, must be continued and completed under clause 12.12, and any action taken, information provided, or advice given under

that rule is deemed to be an action taken, information provided, or advice given, as the case may be, under clause 12.12.

17.105 Expiry or termination of transmission agreements

A process commenced but not completed under rule 3.1.6 of section II of part F of the **rules** immediately before this Code came into force, must be continued and completed under clause 12.13, and any action taken, information provided, or advice given under that rule is deemed to be an action taken, information provided, or advice given, as the case may be, under clause 12.13.

17.106 Transmission agreement to be provided and published

- (1) A transmission agreement provided by Transpower to the Board under rule 3.2.2.1 of section II of part F of the **rules** immediately before this Code came into force, is deemed to be a **transmission agreement** provided by **Transpower** to the **Authority** under clause 12.15(1).
- (2) A transmission agreement published under rule 3.2.2.3 of section II of part F of the **rules** immediately before this Code came into force, is deemed to be a **transmission agreement** published under clause 12.15(3).

17.107 Review of Connection Code

A review initiated by the Board under rule 3.3.10 of section II of part F of the **rules** but not completed immediately before this Code came into force, is deemed to be a review initiated by the **Authority** under clause 12.18.

17.108 Increased services and reliability

A certification given under rule 5.1 of section II of part F of the **rules** immediately before this Code came into force, is deemed to be a certification given under clause 12.35.

17.109 Approval of decreased services and reliability

An approval given under rule 5.2 of section II of part F of the **rules** that was in force immediately before this Code came into force, is deemed to be an approval given under clause 12.36.

17.110 Approval of other variations to terms of benchmark agreement

An approval given under rule 5.4 of section II of part F of the **rules** that was in force immediately before this Code came into force, is deemed to be an approval given under clause 12.38.

17.111 Customer specific value of unserved energy

- (1) An application made but not approved or declined under rule 5.5.1 of section II of part F of the **rules** immediately before this Code came into force, is deemed to be an application made under clause 12.39(2).

- (2) A provisional approval of a value of unserved energy given under rule 5.5.3 of section II of part F of the **rules** that was in force immediately before this Code came into force, is deemed to be a provisional approval given under clause 12.39(4).
- (3) An approval given under rule 5.5.4.1 of section II of part F of the **rules** that was in force immediately before this Code came into force, is deemed to be an approval given under clause 12.39(5)(a).

17.112 Replacement and enhancement of shared connection assets

A process commenced but not completed under rule 5.6 of section II of part F of the **rules** immediately before this Code came into force, must be continued and completed under clause 12.37, and any notification, proposal, or attempt to reach agreement made under that rule is deemed to be a notification, proposal, or attempt to reach an agreement, as the case may be, under clause 12.40.

17.113 Resolution of disputes relating to transmission agreements

- (1) A dispute process commenced but not determined under rule 6 of section II of part F of the **rules** immediately before this Code came into force, is deemed to be a dispute process commenced under clause 12.45.
- (2) A determination made by the Rulings Panel under rule 6.3 of section II of part F of the **rules** before this Code came into force, is deemed to be a determination made by the **Rulings Panel** under clause 12.47.

17.114 Review of benchmark agreement

A review initiated by the Board under rule 7 of section II of part F of the **rules** but not completed immediately before this Code came into force, is deemed to be a review initiated by the **Authority** under clause 12.28.

17.115 Existing agreements

A request made by the Board under rule 8.2.1 of section II of part F of the **rules** that had not been complied with immediately before this Code came into force, is deemed to be a request made by the **Authority** under clause 12.50.

17.116 Transpower to publish grid reliability report

The grid reliability report last published by Transpower under rule 12A.1 of section III of part F of the **rules** immediately before this Code came into force, is deemed to be the **grid reliability report** published by **Transpower** under clause 12.76(1).

17.117 Issues paper

- (1) An issues paper prepared under rule 4 of section IV of part F of the **rules** and in force immediately before this Code came into force, is deemed to be an issues paper prepared under clause 12.81.
- (2) A date notified under rule 5.1 of section IV of part F of the **rules** before this Code came into force, is deemed to be a date notified under clause 12.82(1).

- (3) A submission received on an issues paper under rule 5.2 of section IV of part F of the **rules** that had not been considered immediately before this Code came into force, is deemed to be a submission received under clause 12.82(2).

17.118 Development of transmission pricing methodology

The process and guidelines for the development of the transmission pricing methodology last published by the Board under rule 6 of section IV of part F of the **rules** immediately before this Code came into force, are deemed to be the process and guidelines for the development of **transmission pricing methodology** published by the **Authority** under clause 12.83.

17.119 Development of transmission prices

The transmission prices last developed and published by Transpower under rule 9.2 of section IV of part F immediately before this Code came into force, are deemed to be the transmission prices developed and published under clause 12.96.

17.120 Audit of transmission prices

- (1) An auditor appointed under rule 9.3.1 of section IV of part F of the **rules** who had not yet completed their review immediately before this Code came into force, is deemed to have been appointed under clause 12.97(1).
- (2) If Transpower had received an auditor's report but had not yet responded to the report under rule 9.4 of section IV of part F of the **rules** immediately before this Code came into force, **Transpower** must be provided with the opportunity to respond to the **auditor's** report in accordance with clause 12.98.
- (3) If an auditor had received a response from Transpower but had not yet provided certification under rule 9.5 of section IV of part F of the **rules** immediately before this Code came into force, the **auditor** must provide certification to the **Authority** in accordance with clause 12.99(1).

17.121 Review of approved transmission pricing methodology

A proposed variation to a transmission pricing methodology submitted under rule 11.1 of section IV of part F of the **rules** but not reviewed immediately before this Code came into force, is deemed to be a proposed variation submitted under clause 12.85.

17.122 Transpower to identify interconnection branches, and propose service measures and levels

- (1) Information provided under rule 2 of section VI of part F of the **rules** immediately before this Code came into force, is deemed to be information provided under clause 12.107.
- (2) A request made under rule 2.6 of section IV of part F of the **rules** that had not been complied with immediately before this Code came into force, is deemed to be a request made under clause 12.107(6).

- (3) Information and diagrams that had been published under rule 2.7 of section VI of part F of the **rules** and that had not been consulted on immediately before this Code came into force, is deemed to be the interconnection asset capacity and grid configuration published for consultation under clause 12.108.

17.123 Transpower to propose reliability investments

A process commenced but not completed under rule 6.1 of section VI of part F of the **rules** immediately before this Code came into force, is deemed to be a process commenced under clause 12.114.

17.124 Transpower to propose economic investments

The grid economic investment report last published under rule 6.2 of section VI of part F of the **rules** immediately before this Code came into force, is deemed to be the previous **grid economic investment report** for the purposes of clause 12.115(2).

17.125 Information on capacities of individual interconnection assets

The information last published under rule 7 of section VI of part F of the **rules** immediately before this Code came into force, is deemed to be the information published under clause 12.116.

17.126 Transpower to provide and publish annual report on interconnection asset capacity and grid configuration

The annual report last provided to the Board and published under rule 9 of section VI of part F of the **rules** immediately before this Code came into force, is deemed to have been provided to the **Authority** and published under clause 12.118.

17.127 Transpower to report on availability and reliability

The information most recently published and provided to the Board under rule 10.8 of section VI of part F of the **rules** immediately before this Code came into force, is deemed to be information published and provided to the **Authority** under clause 12.127.

Transitional provisions relating to Part 13

17.128 Requests for rulebook information

A **participant** who discovers, that any information disclosed by it to any person under part G of the **rules** before this Code came into force was misleading, deceptive, or incorrect, must immediately disclose the corrected information to the person who originally received the misleading, deceptive, or incorrect information.

17.129 Approval process for industrial co-generating stations

- (1) An application to the Board to be an industrial co-generating station in accordance with rule 3 of section I of part G of the **rules** that was not approved, declined, or rescinded immediately before this Code came into force, is deemed to be an application to the

Authority to be an **industrial co-generating station** under clause 13.3 and must be continued and completed.

- (2) A generator approved as an industrial co-generating station by the Board under rule 3 of section I or schedule G9 of part G of the **rules**, whose approval had not been rescinded immediately before this Code came into force, is deemed to be a **generator** approved by the **Authority** as an **industrial co-generating station** under clause 13.3 and Schedule 13.4.
- (3) A notice issued by the Board of an amendment or rescission of an approval under rule 3 of section I or clause 14 of schedule G9 of part G of the **rules** immediately before this Code came into force, where the amendment or rescission is to take effect after this Code came into force, is deemed to be a notice issued by the **Authority** under clause 13.3 and clause 14 of Schedule 13.4.

17.129A Transitional provisions for co-generators

An approval granted by the **Authority** or deemed to have been granted under Schedule 13.4 and in effect immediately before 27 May 2015 is deemed to be an approval granted by the **Authority** under clause 8(1)(a)(i) of Schedule 13.4 of 1 or more **generating units** as a **type A industrial co-generating station**.

Clause 17.129A: inserted, on 27 May 2015, by clause 30 of the Electricity Industry Participation Code Amendment (Industrial Co-generation Dispatch Arrangements) 2015.

17.130 Offer preparation by generators

- (1) An offer submitted by a generator under rule 3.1 of section II of part G of the **rules** on the day immediately before the day on which this Code came into force for the **trading day** on which this Code came into force, made in accordance with section II of part G of the **rules**, is deemed to be an **offer** by a **generator** under clause 13.6, subject to any revision of that **offer** made in accordance with part G of the **rules** or Part 13 of this Code, as applicable.
- (2) An offer submitted by an embedded generator under rule 3.2 of section II of part G of the **rules** on the day immediately before the day on which this Code came into force for the **trading day** on which this Code came into force, made in accordance with section II of part G of the **rules**, is deemed to be an **offer** by an **embedded generator** under clause 13.6, subject to any revision of that **offer** made in accordance with part G of the **rules** or Part 13 of this Code, as applicable.
- (3) An offer submitted by an intermittent generator under rule 3.13 of section II of part G of the **rules** on the day immediately before the day on which this Code came into force for the **trading day** on which this Code came into force, made in accordance with section II of part G of the **rules**, is deemed to be an **offer** by an **intermittent generator** under clause 13.6, subject to any revision of that **offer** made in accordance with section II of part G of the **rules** or Part 13 of this Code as the case may be.

17.131 Generators' notice of initial offer

Notice of an initial offer in respect of a generating plant given under rule 3.2 of the section II of part G of the **rules** and in force immediately before this Code came into

force, is deemed to be notice of an initial **offer** under clause 13.6(4).

17.132 Bids by purchasers

A bid submitted by a purchaser under rule 3.3 of section II of part G of the **rules** on the day immediately before the day on which this Code came into force for the **trading day** on which this Code came into force, made in accordance with section II of part G of the **rules**, is deemed to be a **bid** by a **purchaser** under clause 13.7, subject to any revision of that **bid** made in accordance with part G of the **rules** or Part 13 of this Code, as applicable.

17.133 Purchasers' notice of initial bids

Notice of an initial bid in respect of a generating plant given under rule 3.4.1 of the section II of part G of the **rules** and in force immediately before this Code came into force, is deemed to be notice of an initial **bid** under clause 13.7.

17.134 Bids and offers are valid until cancelled

A purchaser or generator who failed to make a bid or offer under rules 3.1 to 3.4 of section II of part G of the **rules** by 1300 hours on the day immediately before the day on which this Code came into force for the **trading day** on which this Code came into force, is deemed to have made the same **bid** or **offer** for the **trading day** on which this Code came into force as that made in respect of the same **trading period** of the **trading day** immediately before the day on which this Code came into force, until that **bid** or **offer** is cancelled or revised by the **purchaser** or **generator** in accordance with rules 3.14 to 3.20 of section II of part G of the **rules** or clauses 13.17 to 13.21 of this Code as the case may be.

17.135 Offers made by unit of plant

Notice given under rule 3.8 of section II of part G of the **rules**, that was in force immediately before this Code came into force, is deemed to be notice given under clause 13.11.

17.136 New, revised or cancelled bid or offer inside the 2 hour period

- (1) A report of a new, revised, or cancelled bid made to the Board under rule 3.19 of section II of part G of the **rules** before this Code came into force, for any **trading period** on the **trading days** immediately before and on which this Code came into force, is deemed to be a report made to the **Authority** under clause 13.21.
- (2) A report of a revised or cancelled bid made to the Board under rule 3.19 of section II of part G of the **rules** that had not been determined by the Board under rule 3.20 of section II of part G of the **rules** immediately before this Code came into force, is deemed to be a report to the **Authority** under clause 13.21.

17.137 Backup procedures if the information system is unavailable

Backup procedures specified by the market administrator under rules 3.25, 5.14, 6.23,

or 7.3 to 7.5 of section II, 3.10 to 3.12 of section III, or 3.36 of section V of part G of the **rules** immediately before this Code came into force, are deemed to be backup procedures specified by the **market administrator** for the purposes of clauses 13.23, 13.36, 13.52, 13.55 and 13.67 and 13.191.

17.138 Backup procedures

Backup procedures specified by the market administrator under rule 5.11 of section V of part G of the **rules** immediately before this Code came into force, are deemed to be backup procedures specified by the **market administrator** under clause 13.211.

17.139 Plant with special circumstance

An offer submitted in respect of an automatic control plant under rule 3.26 of section II of part G of the **rules** immediately before this Code came into force, is deemed to be an **offer** submitted under clause 13.24.

17.140 Retention of bids and offers

The **system operator** must retain records of all bids and offers for electricity submitted by participants and all reserve offers submitted by ancillary service agents under section II of part G of the **rules**, including all revised bids and offers and revised reserve offers, all cancelled bids and offers and all cancelled reserve offers.

17.141 Special treatment of some grid exit points

- (1) An application to the Board under rule 4 of section II of part G of the **rules** that was not determined by the Board immediately before this Code came into force, is deemed to be an application to the **Authority** under clause 13.28.
- (2) 2 or more grid exit points approved to be, or deemed to be approved to be, treated as 1 grid exit point under rule 4 of section II of part G of the **rules** immediately before this Code came into force, are deemed to be approved to be treated as 1 **grid exit point** under clause 13.28.

17.142 Standing data to be provided to the system operator

Standing data provided to the system operator under rules 5.1 to 5.3 of section II of part G of the **rules** before this Code came into force, is deemed to be standing data provided under clauses 13.29 to 13.31, as the case may be.

17.143 Transmission grid capability information to be updated

The period of time agreed between the system operator and each grid owner for updates to information described in rules 5.1 to 5.3 and rule 5.5 of section II of part G of the **rules** immediately before this Code came into force for the purposes of rule 5.4 of section II of part G of the **rules**, is deemed to be the period of time agreed between the **system operator** and each **grid owner** for updates to information described in clauses 13.29 to 13.31 and 13.33 as the case may be, for the purpose of clause 13.32.

17.144 Grid owners must submit revised information to the system operator

Any revised information submitted to the system operator in accordance with rule 5.5 of section II of part G of the **rules** immediately before this Code came into force for any **trading period** immediately after this Code came into force, is deemed to be revised information submitted under clause 13.33, and may be varied in accordance with rules 5.6 to 5.9 of section II of the part G of the **rules** or clause 13.34, as the case may be.

17.145 Changes may be made within 2 hours prior to the trading period

A report made to the Board under rule 5.8 of section II of part G of the **rules** immediately before this Code came into force for any **trading period** on the **trading day** immediately before or on which this Code came into force, is deemed to be a report to the **Authority** under clause 13.34(3).

17.146 System operator to approve ancillary service agents wishing to make reserve offers

A contract between an ancillary service agent and the system operator to provide reserve offers entered into in accordance with rule 6.1 of section II of part G of the **rules** that was in force immediately before this Code came into force, is deemed to be a contract entered into in accordance with clause 13.37.

17.147 Ancillary service agents to submit reserve offers to the system operator

- (1) A reserve offer submitted by an ancillary service agent under rules 6.2 to 6.4 of section II of part G of the **rules** immediately before this Code came into force, for the **trading day** on which this Code came into force, made in accordance with part G of the **rules**, is deemed to be a **reserve offer** by an **ancillary service agent** under clause 13.38, subject to any revision or cancellation of that **reserve offer** made in accordance with part G of the **rules** or Part 13 of this Code, as applicable.
- (2) A report made to the Board under rule 5.8 of section II of part G of the **rules**, not determined by the Board immediately before this Code came into force, is deemed to be a report to the **Authority** under clause 13.34(3).

17.148 Revised reserve offer inside the 2 hour period

- (1) A report of a new, revised, or cancelled reserve offer to the Board under rule 6.16 of section II of part G of the **rules** before this Code came into force, for any **trading period** on the **trading days** immediately before or on which this Code came into force, is deemed to be a report to the **Authority** under clause 13.49.
- (2) A report of a new, revised or cancelled bid under rule 6.18 of section II of part G not determined by the Board immediately before this Code came into force, is deemed to be a report under clause 13.50.

17.149 Availability of final bids and final offers

All information made available under rule 7 of section II of part G of the **rules** immediately before this Code came into force, is deemed to be information made

available under clause 13.55.

17.150 Process for preparing a pre-dispatch schedule

- (1) A pre-dispatch schedule for any schedule period for the **trading day** on which this Code came into force prepared in accordance with rules 3.1 to 3.5 of section III of part G of the **rules** immediately before this Code came into force, is a **pre-dispatch schedule** under clause 13.58.
- (2) In preparing **pre-dispatch schedules** for the **trading day** on which this Code came into force, the **system operator** may use the most recent information received under section II and schedule G6 of part G of the **rules** before this Code came into force, and any information received under Part 13 or Schedule 13.3 of this Code, as applicable.

17.151 Block dispatch may occur

- (1) A notification provided to the system operator under rules 3.6 to 3.62 of section III of part G of the **rules** immediately before this Code came into force, in respect of **trading periods** that occur after this Code came into force, is deemed to be a notification under clause 13.60 in respect of those **trading periods**.
- (2) An agreement or deemed agreement to treat a group of generating stations as a block dispatch group under rule 3.6 of section III of part G of the **rules** that was in force immediately before this Code came into force, is deemed to be an agreement under clause 13.60.

17.152 System operator to notify block security constraints

A notification of block security constraints under rule 3.6.5 of section III of part G of the **rules** immediately before this Code came into force, which applies to **trading periods** after this Code came into force, is deemed to be a notification of **block security constraints** under clause 13.61(1).

17.153 Station dispatch may occur

- (1) A notification given, or deemed by rule 4.2 of section IV of part I of the **rules** to be given, by a generator to the system operator in accordance with rule 3.9 of section III of part G of the **rules** before this Code came into force, which applies to a period after this Code came into force, is deemed to be a notification given under clause 13.64.
- (2) An election notified, or deemed by rule 4.2 of section IV of part I of the **rules** to be notified, by the system operator to a generator and the clearing manager in accordance with rule 3.9 of section III of part G of the **rules** before this Code came into force, which applies to a period after this Code came into force, is deemed to be an election notified under clause 13.64.

17.154 System operator to notify security constraints

A notification of a dispatch made in accordance with rules 3.91 and 3.92 of section III of part G of the **rules** that was in force immediately before this Code came into force, which applies to a period after this Code came into force, is deemed to be a notice under

clause 13.65.

17.155 Generator notifies change from station to unit dispatch

A notification of a change from a station dispatch group to a generating unit under rule 3.9.3 of section III of part G of the **rules** that was in force immediately before this Code came into force, which applies to a period after this Code came into force, is deemed to be a notification under clause 13.66.

17.156 Dispatch instructions

- (1) Dispatch instructions issued to a generator under rule 4.6 of section III of part G of the **rules** immediately before this Code came into force, which apply to a period after this Code came into force, are deemed to be **dispatch instructions** issued under clause 13.73.
- (2) Dispatch instructions issued to an ancillary service agent under rule 4.7 of section III of part G of the **rules** immediately before this Code came into force, which apply to a period after this Code came into force, are deemed to be **dispatch instructions** issued under clause 13.74.

17.157 Market administrator to appointment person to monitor and assess demands side participation

A person appointed by a market administrator to monitor and access real time prices under rules 7.8 and 7.9 of section III of part G of the **rules** immediately before this Code came into force, is deemed to be a person appointed to monitor and assess **real time prices** under clause 13.93.

17.158 Grid emergency

A grid emergency declared under rules 8.1 and 8.2 of section III of part G of the **rules** immediately before this Code came into force, which applies to a period after this Code came into force, is deemed to be a **grid emergency** declared under clause 13.97.

17.159 The effect of a grid emergency in total quantities bid

A revision made under rule 8.4 of section III of part G of the **rules** that was in force immediately before this Code came into force, is deemed to be a revision made under clause 13.99.

17.160 Reporting requirements in respect of grid emergencies

A report made to the Board under rules 8.6 and 8.7 of section III of part G of the **rules** and not resolved by the Board immediately before this Code came into force, is deemed to be a report a made to the **Authority** under clause 13.101.

17.161 Reporting obligation of the system operator

A report by the system operator under rule 9 of section II of part G of the **rules** that was in force immediately before this Code came into force, is deemed to be a report under

clause 13.102.

17.162 System operator to publish information

Information that a system operator is responsible for publishing under rules 10.1 to 10.7 of section III of part G of the **rules** that had not been published immediately before this Code came into force, is deemed to be information the **system operator** is responsible for publishing under clauses 13.103 to 13.106.

17.163 Run dispatch options

- (1) An authorisation by the clearing manager of a generator's bid under rule 2.1 and 2.2 of section IV of part G of the **rules** before this Code came into force, for a period after this Code came into force, is deemed to be an authorisation under clause 3.109.
- (2) A calculation of auction revenue payable by a generator under rules 2.3 and 2.4 of section IV of part G of the **rules** but not paid immediately before this Code came into force, is deemed to be an amount payable by a **generator** under clause 13.110.
- (3) Auction revenue payable to a purchaser under rules 2.6 and 2.7 of section IV of part G of the **rules** but not paid immediately before this Code came into force, is deemed to be **auction revenue** payable under clause 13.112.
- (4) Auction rights acquired under rule 2.8 of section IV of part G of the **rules** immediately before this Code came into force, which relate to a **time block** after this Code came into force, are deemed to be **auction rights** acquired under clause 13.115 and those rights may be exercised in accordance with clause 13.113.

17.164 Clearing manager must conduct auctions

The format specified by the clearing manager for bidding under rule 3.3 of section IV of part G of the **rules** that was in force immediately before this Code came into force, is deemed to be the format for bidding under clause 13.117(3), until further amended.

17.165 Deadline for auction bids

An auction bid submitted under rule 3.7 of section IV of part G of the **rules** immediately before this Code came into force for any period after this Code came into force, is deemed to be an **auction bid** submitted under clause 13.121, unless revised or cancelled in accordance with rule 3.8 of section IV of part G of the **rules** or clause 13.122 of this Code, as the case may be.

17.166 Authorisation to successful bidders

An authorisation issued by the clearing manager under rule 3.15 of section IV of part G of the **rules** immediately before this Code came into force, is deemed to be an authorisation issued by the **clearing manager** under clause 13.129.

17.167 High spring washer price situation

- (1) Notice of a high spring washer price situation given in accordance with rules 3.6, 3.18, or 3.21 of section V of part G of the **rules** and in force, immediately before this Code

came into force, is deemed to be a notice in accordance with clause 13.144(1), 13.156(1)(e), or 13.159(a)(iii) respectively, and is subject to clause 13.134, unless resolved.

- (2) Provisional prices published under rule 3.11 of section V of part G of the **rules** immediately before this Code came into force, are deemed to be published in accordance with clause 13.149 for the purposes of clause 13.134, unless resolved.
- (3) Provisional reserve prices in accordance with rule 3.12 of section V of part G of the **rules** immediately before this Code came into force, are deemed to be published in accordance with clause 13.150, for the purpose of clause 13.134, unless resolved.
- (4) If revised data had not been provided as required by rule 3.8 of section V of part G of the **rules** immediately before this Code came into force, it is deemed that the revised data has not been provided as required by clause 13.146, for the purpose of clause 13.134.
- (5) If notice required by rule 3.9 of section V of part G of the **rules** had not been given immediately before this Code came into force, it is deemed that no notice has been provided as required by clause 13.147, for the purposes of clause 13.134.

17.168 Preparation of provisional and final prices

- (1) To calculate **provisional prices, provisional reserve prices, interim prices, interim reserve prices, final prices and final reserve prices** under clause 13.135, the **pricing manager** may use input information provided under rule 3.3 of section V of part G of the **rules** immediately before this Code came into force, as well as the **input information** in clause 13.141, as appropriate.
- (2) To calculate **provisional prices, provisional reserve prices, final prices and final reserve prices** under clause 13.135, the **pricing manager** may use the methodology in schedule G6 of part G of the **rules** as well as methodology in Schedule 13.3, as appropriate.

17.169 Half-hour metering information

- (1) The manner and form of half-hour metering information stipulated by the pricing manager under rule 3.2.3 of section V of part G of the **rules** immediately before this Code came into force, is deemed to be the manner and form for **half-hour metering information** stipulated by the **pricing manager** under clause 13.138.
- (2) Half-hour metering information provided under rule 3.2.3 of section V of part G of the **rules** that was in force before this Code came into force, is deemed to be **half-hour metering information** provided under clause 13.138.

17.170 Input information

Input information estimated in accordance with rule 3.3 of section V of part G of the **rules** before this Code came into force, for any period after this Code came into force, is deemed to be estimated **input information** in accordance with clause 13.141.

17.171 Pricing manager to publish interim prices

A notice published under rule 3.4.1 of section V of part G of the **rules** and in force immediately before this Code came into force, is deemed to be a notice published under clause 13.142(1).

17.172 SCADA situation

Notice by a grid owner of a SCADA situation under rule 3.5 of section V of part G of the **rules** that was in force immediately before this Code came into force, relating to a period 2 days before this Code came into force or a period after this Code came into force, is deemed to be a notice of a **SCADA situation** in accordance with clause 13.143.

17.173 Metering situation

Notice by a pricing manager of a metering situation under rules 3.6 and 3.6A of section V of part G of the **rules** that was in force immediately before this Code came into force, relating to a period 2 days before this Code came into force or a period after this Code came into force, is deemed to be notice of a **metering situation** in accordance with clause 13.144(1).

17.174 High spring washer price situation

Notice by the pricing manager of a high spring washer price situation in accordance with rules 3.6 and 3.6A of section V of part G of the **rules** that was in force immediately before this Code came into force, relating to a period 2 days before this Code came into force or a period after this Code came into force, is deemed to be notice of a **high spring washer price situation** in accordance with clause 13.144(1).

17.175 Requirements if provisional price situation exists

Revised data given to the pricing manager in accordance with rule 3.8 of section V of part G of the **rules** immediately before this Code came into force, relating to a period 2 days before this Code came into force or any period after this Code came into force, is deemed to be revised data given under clause 13.146.

17.176 Provisional prices and provisional reserve prices

If notice of a provisional price situation is given immediately before this Code came into force under rules 3.6 to 3.6A of section V of part G of the **rules**, and no revised data is provided in accordance with rule 3.8 of section V of part G and no notice is provided in accordance with rule 3.9 of section V of part G of the **rules** immediately before this Code came into force, no notice is deemed to be given under clauses 13.146 and 13.147 and accordingly clauses 13.149 and 13.150 apply as appropriate.

17.177 Interim prices and provisional prices and provisional reserve prices

- (1) Interim prices and interim reserve prices in relation to a provisional price situation (other than a high spring washer price situation) published under rule 3.18 of section V

- of part G of the **rules** immediately before this Code came into force, are deemed to be **interim prices** and **interim reserve prices** published under clause 13.156(1)(a).
- (2) Interim prices and interim reserve prices in relation to a high spring washer price situation published under rule 3.18 of section V of part G of the **rules** immediately before this Code came into force, are deemed to be **interim prices** and **interim reserve prices** published under clause 13.156(1)(a).
 - (3) Interim prices and interim reserve prices that do not give rise to a **provisional price situation** published under rule 3.18 of section V of part G of the **rules** immediately before this Code came into force, are deemed to be **interim prices** and **interim reserve prices** published under clause 13.156(1)(b).
 - (4) If an infeasibility situation arises after interim prices and interim reserve prices are published under rule 3.18 of section V of part G of the **rules** before this Code came into force, an **infeasibility situation** is deemed to have arisen under clause 13.156(1)(a).
 - (5) Notice of a high spring washer price situation issued under rule 3.18 of section V of part G of the **rules** and in force immediately before this Code came into force, is deemed to be notice of a **high spring washer price situation** issued under clause 13.156(1)(a).

Clause 17.177(4): amended, on 21 September 2012, by clause 41(1) of the Electricity Industry Participation (Minor Amendments) Code Amendment 2012.

Clause 17.177(5): amended, on 21 September 2012, by clause 41(2) of the Electricity Industry Participation (Minor Amendments) Code Amendment 2012.

17.178 Publish final prices or notice that a high spring washer price situation exists

- (1) Notice that a high spring washer price situation exists under rule 3.21 of section V of part G of the **rules**, relating to the 2 day period before this Code came into force or any period after this Code came into force, is deemed to be notice that a **high spring washer price situation** exists under clause 13.159.
- (2) Interim prices and interim reserve prices published in accordance with rule 3.21 of section V of part G of the **rules** immediately before this Code came into force, which relate to a 2 day period before this Code came into force or a period after this Code came into force, are deemed to be **interim prices** and **interim reserve prices** published under clause 13.159.

17.179 System operator to apply high spring washer price relaxation factor and give notice

Notice published under rule 3.21B of section V of part G of the **rules** immediately before this Code came into force, which relates to a period 2 days before this Code came into force or a period after this Code came into force, is deemed to be a notice published under clause 13.161.

17.180 Revised data

Notice given under rule 3.22 of section V of part G of the **rules** and in force immediately before this Code came into force, is deemed to be notice given under clause 13.163.

17.181 If a provisional price situation (other than a high spring washer price situation) continues

- (1) Notice given under rule 3.23 of section V of part G of the **rules** and in force immediately before this Code came into force, is deemed to be notice given under clause 13.164.
- (2) Notice given to the Board under rules 3.24 and 3.25 of section V of part G of the **rules** that was unresolved immediately before this Code came into force, is deemed to be notice to the **Authority** under clause 13.165.

17.182 Interim pricing period

- (1) A form received by the pricing manger under rule 3.26D.3 of section V of part G of the **rules** before this Code came into force, is deemed to be a form received by the **pricing manager** under clause 13.170(c).
- (2) Prices published by the pricing manger under rule 3.26E.2 of section V of part G of the **rules** immediately before this Code came into force, are deemed to be prices published under clause 13.171(2).
- (3) A process commenced under rule 3.26G of section V of part G of the **rules** but not completed immediately before this Code came into force, is deemed to be a process commenced under clause 13.173.
- (4) A determination made by the pricing manger that had not been recommended to the Board under rule 3.26H of section V of part G of the **rules** immediately before this Code came into force, is deemed to be a determination to which clause 13.174 applies.
- (5) A recommendation received by the Board under rule 3.26I of section V of part G of the **rules** that had not been accepted or rejected immediately before this Code came into force, is deemed to be a recommendation received by the **Authority** under clause 13.175.
- (6) A notice published under rule 3.26J of section V of part G of the **rules** immediately before this Code came into force, is deemed to be a notice **published** under clause 13.176.
- (7) An action taken by the Board under rule 3.26N of section V of part G of the **rules** immediately before this Code came into force, is deemed to be an action taken by the **Authority** under clause 13.180.
- (8) A request under rule 3.26O of section V of part G of the **rules** that had not been complied with immediately before this Code came into force, is deemed to be a request under clause 13.181.

17.183 Authority may order delay of publication of final prices

An order by the Board to delay publication under rule 3.28 of section V of part G of the **rules** that was in force immediately before this Code came into force, is deemed to be an order to delay **publication** under clause 13.184.

17.184 System operator to give pricing manager a list of model variable failures

A list of values provided that was in force under rule 3.33 of section V of part G of the

rules immediately before this Code came into force, is deemed to be a list of values provided under clause 13.189, effective as at the date set under the **rules**.

17.185 Calculate constrained off amounts

Calculation of constrained off amounts under rule 4.3.1 of section V of part G of the **rules** for the billing period immediately before this Code came into force, is deemed to be calculation of **constrained off amounts** under clause 13.194.

17.186 Rights to constrained off information

A request for information under rule 4.7 of section V of part G of the **rules** not resolved immediately before this Code came into force, is deemed to be a request for information under clause 13.200.

17.187 Constrained on amounts

Calculation of constrained on amounts under rule 5.4 of section V of part G of the **rules** for the **billing period** immediately before this Code came into force, is deemed to be calculation of **constrained on amounts** under clause 13.205.

17.188 Payment of constrained on compensation

- (1) For the purposes of clause 13.212(1) compensation for constrained on amounts determined under rules 5.3 and 5.4 of section V of part G of the **rules** before this Code came into force, is deemed to be compensation payable.
- (2) For the purposes of clause 13.212(2), a constrained on amount compensation calculated under rule 5.4 of section V of part G of the **rules** immediately before this Code came into force, is deemed to be a **constrained on compensation** amount payable.
- (3) The above entitlements are subject to clauses 13.212(3) to (8), as if the compensation were payable under clause 13.212, with any necessary modifications.

17.189 Market administrator to publish pricing manager reports

Daily reports provided under rule 7.1 of section V of part G of the **rules** that were in force immediately before this Code came into force relating to the calendar month immediately before this Code came into force, are deemed to be daily reports for the purposes of clause 13.213.

17.190 Right to information concerning pricing manager's action

- (1) A request for further information under rule 7.3 of section V of part G of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a request for information under clause 13.215.
- (2) Information specified in rules 3 to 7 of section VI of part G of the **rules** and not submitted immediately before this Code came into force, is deemed to be information specified in clauses 13.219 and 13.221 to 13.223, for the purposes of clause 13.218.

17.191 Information that must be submitted

The form specified by the Board for submission of information under rule 3 of section VI of part G of the **rules** immediately before this Code came into force, is deemed to be the form specified by the **Authority** under clause 13.219.

17.192 Calculation of contract price

Guidelines issued by the Board under rule 4 of section VI of part G of the **rules** and in force immediately before this Code came into force, are deemed to be guidelines issued by the **Authority** under clause 13.220.

17.193 Information submitted

Information submitted under rules 3, 7 and 8 of section VI of part G of the **rules** immediately before this Code came into force, is deemed to be information submitted under clauses 13.219, 13.223, and 13.224 respectively.

17.194 Timeframes for submitting that information

Information submitted in accordance with rule 9 of section VI of part G of the **rules** immediately before this Code came into force, is deemed to be information submitted under clause 13.225.

Transitional provisions relating to Part 14

17.195 Acceptable forms of security

- (1) A cash deposit paid under rule 2.4.1 of part H of the **rules** before this Code came into force, is deemed to be a **cash deposit** paid under clause 14.5(a).
- (2) A security agreement provided and maintained under rule 2.4.1 of part H of the **rules** immediately before this Code came into force, is deemed to be a security agreement provided and maintained under clause 14.5(a).
- (3) An unconditional guarantee or letter of credit provided and maintained under rule 2.4.2 of part H of the **rules** immediately before this Code came into force, is deemed to be an unconditional guarantee or letter of credit provided and maintained under clause 14.5(b).
- (4) An unconditional third party guarantee provided and maintained under rule 2.4.3 of part H of the **rules** immediately before this Code came into force, is deemed to be an unconditional third party guarantee provided and maintained under clause 14.5(c).
- (5) A security bond provided and maintained under rule 2.4.4 of part H of the **rules** immediately before this Code came into force, is deemed to be a security bond provided and maintained under clause 14.5(d).
- (6) A hedge settlement agreement lodged under rule 2.4.5 of part H of the **rules** immediately before this Code came into force, is deemed to be a **hedge settlement agreement** lodged under clause 14.5(e).
- (7) If the terms of a security were approved by the Commission under rule 2.4 of part H of the **rules** immediately before this Code came into force, those terms are deemed to be approved by the **Authority** under clause 14.5.

17.196 Cash deposits

- (1) A cash deposit account established under rule 2.6.1 of part H of the **rules** immediately before this Code came into force, is deemed to be a **cash deposit account** established under clause 14.7(1).
- (2) An acknowledgment obtained under rule 2.6.3 of part H of the **rules** immediately before this Code came into force, is deemed to be an acknowledgment obtained under clause 14.7(3).
- (3) A cash deposit received under rule 2.6.4 of part H of the **rules** immediately before this Code came into force, is deemed to be a **cash deposit** received under clause 14.8, and must be paid accordingly.
- (4) Bank fees that were owed in relation to a cash deposit under rule 2.6.8 of part H of the **rules** immediately before this Code came into force, are deemed to be bank fees owed under clause 14.11.
- (5) A statement issued under rule 2.6.9 of part H of the **rules** immediately before this Code came into force, is deemed to be a statement issued under clause 14.12.

17.197 Change in form of security

A notice given under rule 2.7 of part H of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 14.13.

17.198 Reductions and releases

A notice given under rule 2.8 of part H of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 14.14.

17.199 Hedge settlement agreements

A notice given under rule 2.9 of part H of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 14.15.

17.200 Release of security

A notice given under rule 2.10 of part H of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 14.16.

17.201 Level of security

- (1) A determination made under rules 3.1.1 or 3.1.2 of part H of the **rules** that was in force immediately before this Code came into force, is deemed to be a determination made under clause 14.18(1) or (2), as the case may be.
- (2) A notice of a call given under rule 3.1.3 of part H of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice of a **call** given under clause 14.18(3).
- (3) A determination made under rule 3.2 of part H of the **rules** that was in force immediately before this Code came into force, is deemed to be a determination made under clause 14.19.

17.202 Information, monitoring and reporting

- (1) Historical records or a business plan submitted under rule 4.1 of part H of the **rules** that were in force immediately before this Code came into force, are deemed to be historical records or a **business** plan, as the case may be, submitted under clause 14.23.
- (2) Information provided under rule 4.2 of part H of the **rules** before this Code came into force, is deemed to be information provided under clause 14.24.
- (3) Information provided under rule 4.3 of part H of the **rules** before this Code came into force, is deemed to be information provided under clause 14.25.
- (4) Information provided under rule 4.4 of part H of the **rules** before this Code came into force, is deemed to be information provided under clause 14.26.
- (5) If a person had consented to the disclosure of information provided by them under rule 4.5 of part H of the **rules** before this Code came into force, they are deemed to have consented to the disclosure of that information under clause 14.27.
- (6) A report provided under rule 4.6 of part H of the **rules** that was in force immediately before this Code came into force, is deemed to be a report provided under clause 14.28.

17.203 Disputes

A matter that was referred to the Rulings Panel under rule 5.1 of part H of the **rules** but which remain unresolved immediately before this Code came into force, is deemed to be a matter referred to the **Rulings Panel** under clause 14.29(1).

17.204 Invoices to and payments by payers

- (1) Reconciliation information received under rule 7.1 of part H of the **rules** for which no invoice had been issued immediately before this Code came into force, is deemed to be **reconciliation information** received under clause 14.36, and is deemed to have been received on the date on which the **reconciliation information** was received under that rule.
- (2) An invoice issued under rule 7.1 of part H of the **rules** that remained unpaid immediately before this Code came into force, is deemed to be an invoice issued under clause 14.36.
- (3) An invoice sent using one of the methods in rule 7.7 of part H of the **rules** before this Code came into force, is deemed to have been sent using that method under clause 14.41.

17.205 Operating account

- (1) An operating account established under rule 7.11 of part H of the **rules** immediately before this Code came into force, is deemed to be an **operating account** established under clause 14.43(1).
- (2) An acknowledgment obtained under rule 7.12 of part H of the **rules** immediately before this Code came into force, is deemed to be an acknowledgment obtained under clause 14.43(2).

17.206 Payments to and from payees

- (1) A pro forma invoice issued under rule 8.1 of part H of the **rules** immediately before this Code came into force, is deemed to be a pro forma invoice issued under clause 14.44.
- (2) Any interest that was owed under rules 8.7 or 8.8 of part H of the **rules** before this Code came into force, is deemed to be interest owed under clause 14.50 and continues to accrue accordingly.

17.207 Defaults

- (1) An event of default under rule 9.1 of part H of the **rules** that occurred before this Code came into force, is deemed to be an **event of default** under clause 14.55.
- (2) A matter referred to the Commission under rule 9.2 of part H of the **rules** and not resolved immediately before this Code came into force, that remains unresolved is deemed to be a matter referred to the **Authority** under clause 14.56.

17.208 Disputed invoices

A dispute notified under rule 10 of part H of the **rules** that was not resolved immediately before this Code came into force, is deemed to be a dispute notified under clause 14.64.

17.209 Washups

- (1) Corrected information received under rule 11.1 of part H of the **rules** before this Code came into force, is deemed to be corrected information received under clause 14.65.
- (2) An invoice issued under rule 11.7 of part H of the **rules** before this Code came into force, is deemed to be an invoice issued under clause 14.72.

17.210 Reporting obligations

- (1) A report made under rule 13.1 of part H of the **rules** that was not resolved immediately before this Code came into force, is deemed to be a report made under clause 14.74 and may be published accordingly.
- (2) A request made under rule 13.3 of part H of the **rules** immediately before this Code came into force, is deemed to be a request made under clause 14.76.

17.210A [Revoked]

Clause 17.210A: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210A: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210B [Revoked]

Clause 17.210B: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210B: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210C [Revoked]

Clause 17.210C: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and

Prudential Security) Code Amendment 2013.

Clause 17.210C: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210D [Revoked]

Clause 17.210D: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210D: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210E [Revoked]

Clause 17.210E: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210E: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210F [Revoked]

Clause 17.210F: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210F: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210G [Revoked]

Clause 17.210G: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210G: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210H [Revoked]

Clause 17.210H: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210H: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210I [Revoked]

Clause 17.210I: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210I: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210J [Revoked]

Clause 17.210J: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210J: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210K [Revoked]

Clause 17.210K: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210K: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210L *[Revoked]*

Clause 17.210L: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210L(2) and (3): inserted, on 24 March 2015, by clause 27 of the Electricity Industry Participation Code Amendment (Settlement and Prudential Security) 2014.

Clause 17.210L: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210M *[Revoked]*

Clause 17.210M: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210M: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210N *[Revoked]*

Clause 17.210N: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210N: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

17.210O *[Revoked]*

Clause 17.210O: inserted, on 24 March 2015, by clause 28 of the Electricity Industry Participation (Settlement and Prudential Security) Code Amendment 2013.

Clause 17.210O: revoked, on 5 October 2017, by clause 582 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

Transitional provisions relating to Part 15

17.211 Requirement to provide complete and accurate information

For the purposes of clause 15.2, information provided by a participant under part J of the **rules** before this Code came into force, is deemed to be information provided by that **participant** under Part 15.

17.212 Provision of trading information at point of connection to network

- (1) A notification given by a trader under rule 3.1 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 15.3(1).
- (2) Procedures or requirements specified by the reconciliation manager under rule 3.2 of part J of the **rules** that were in force immediately before this Code came into force, are deemed to be procedures or other requirements, as the case may be, specified by the **reconciliation manager** under clause 15.3(2).

17.213 Submission information to be delivered for reconciliation

Submission information delivered or revised by a reconciliation participant under rule 4.1 of part J of the **rules** before this Code came into force, is deemed to be submission information delivered or revised, as the case may be, by that **reconciliation participant** under clause 15.4.

17.214 Retailer and direct purchaser ICP days information

A report delivered to the reconciliation manager under rule 4.2.1 of part J of the **rules** before this Code came into force, is deemed to be a report delivered under clause 15.6(1).

17.215 Retailer electricity supplied information

Information delivered by a retailer to the reconciliation manager under rule 4.2.2 of part J of the **rules** before this Code came into force, is deemed to be information delivered to the **reconciliation manager** under clause 15.7.

17.216 Retailer and direct purchaser half-hourly metered ICPs monthly kWh information

Information delivered by a retailer or direct purchaser to the reconciliation manager under rule 4.2.3 of part J of the **rules** before this Code came into force, is deemed to be information delivered to the **reconciliation manager** under clause 15.8.

17.217 Grid owner volume information

Information delivered by a grid owner to the reconciliation manager under rule 4.3.1 of part J of the **rules** before this Code came into force, is deemed to be information delivered to the **reconciliation manager** under clause 15.9.

17.218 Local network and embedded network submission information

Information provided by a participant to the reconciliation manager under rule 4.3.2 of part J of the **rules** before this Code came into force, is deemed to be information provided to the **reconciliation manager** under clause 15.10.

17.219 Grid connected generator

Information delivered by a generator to the reconciliation manager under rule 4.3.3 of part J of the **rules** before this Code came into force, is deemed to be information delivered to the **reconciliation manager** under clause 15.11.

17.220 Accuracy of submitted information

For the purposes of clause 15.12, information submitted by a participant under the **rules** before this Code came into force, is deemed to be information submitted by that **participant** in accordance with this Code.

17.221 Notification by embedded generators

A notification given by an embedded generator to the reconciliation manager under rule 4A of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 15.13.

17.222 Notification of changes to the grid

(1) A notification given by a grid owner to the reconciliation manager under rule 5 of part J

- of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 15.14(1).
- (2) Procedures or other requirements specified by the reconciliation manager under rule 5 of part J of the **rules** that were in force immediately before this Code came into force, are deemed to be procedures or other requirements, as the case may be, specified under clause 15.14(1).
 - (3) A copy of a notice given by the reconciliation manager to the clearing manager and the Board under rule 5 of part J of the **rules** before this Code came into force, is deemed to be given under clause 15.14(3).
 - (4) A notice given by a grid owner of an intended change to an existing point of connection to the grid or a new point of connection to the grid to be commissioned under rule 5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 15.14(4).

17.223 System operator notifies reconciliation manager of points of connection to the grid subject to outages or alternative supply

A notification given by the system operator to the reconciliation manager under rule 6.1 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 15.15.

17.224 Balancing area NSP grouping changes

- (1) A determination made by the reconciliation manager under rule 6.2 of part J of the **rules** before this Code came into force, is deemed to be a determination made under clause 15.16.
- (2) A change effected by the reconciliation manager under rule 6.2 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a change effected under clause 15.16.

17.225 Submission information to be reviewed in the case of an outage constraint

- (1) A review of submission information undertaken by the reconciliation manager in accordance with rule 6.3.1 of part J of the **rules** before this Code came into force, is deemed to be a review undertaken under clause 15.17(a).
- (2) Submission information reconciled under rule 6.3.2 of part J of the **rules** immediately before this Code came into force, is deemed to be **submission information** reconciled under clause 15.17(b).
- (3) A notification given by the reconciliation manager under rule 6.3.3 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 15.17(c).
- (4) A consultation or assessment carried out by the reconciliation manager in accordance with rule 6.3.4 of part J of the **rules** before this Code came into force, is deemed to be a consultation or assessment, as the case may be, carried out in accordance with clause 15.17(d).
- (5) A change to an alternative balancing area NSP grouping or update to information

carried out in accordance with rule 6.3.4 of part J of the **rules** before this Code came into force, is deemed to be a change or update, as the case may be, carried out in accordance with clause 15.17(d).

17.226 Reconciliation manager may request additional information

- (1) Notice given by the reconciliation manager under rule 7 of part J of the **rules** before this Code came into force, is deemed to be a notice given under clause 15.18.
- (2) Information provided by a reconciliation participant under rule 7 of part J of the **rules** before this Code came into force, is deemed to be information provided under clause 15.18.

17.227 Providing information specific to reconciliation participants

Information provided by the reconciliation manager under rule 10.1 of part J of the **rules** before this Code came into force, is deemed to be information provided under clause 15.21.

17.228 Providing information to reconciliation participants

- (1) Information provided by the reconciliation manager to a reconciliation participant under rule 10.2 of part J of the **rules** before this Code came into force, is deemed to be information provided under clause 15.22.
- (2) A time agreed between a reconciliation participant and the reconciliation manager or determined by the Board under rule 10.2 of part J of the **rules** before this Code came into force, is deemed to be a time agreed between the **reconciliation participant** and the **reconciliation manager** or determined by the **Authority**, as the case may be, under clause 15.22.
- (3) A request made by a reconciliation participant under rule 10.2.1 of part J of the **rules** that had not been responded to immediately before this Code came into force, is deemed to be a request made under clause 15.22(a).
- (4) For the purposes of clause 15.23, information provided by a participant under rule 10 of part J of the **rules** before this Code came into force, is deemed to be information provided by that **participant** in accordance with clauses 15.21 to 15.26.

17.229 Reconciliation information checked

- (1) Reconciliation information provided by the reconciliation manager under rule 10 of part J of the **rules** that had not been checked by the relevant reconciliation participant immediately before this Code came into force, is deemed to be **reconciliation information** provided under clauses 15.21 to 15.26.
- (2) A dispute commenced under rule 10.4A of part J of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a dispute commenced under clause 15.24(2).

17.230 Reconciliation manager must assess information not supplied

- (1) For the purposes of clause 15.25(1), information that is required to be provided under

part E of the **rules** before this Code came into force, is deemed to be information required to be provided under Part 11.

- (2) Information acquired or estimated by the reconciliation manager under rule 10.5 of part J of the **rules** before this Code came into force, is deemed to be information acquired or estimated, as the case may be, under clause 15.25(1).
- (3) A direction by the Board under rule 10.5A of part J of the **rules** given before this Code came into force, is deemed to be a direction given under clause 15.25(2).

17.231 Reconciliation manager to correct information

- (1) An issue referred to the Board under clause 10.7 of part J of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be an issue referred to the **Authority** under clause 15.26(2).
- (2) A direction given by the Board to the reconciliation manager under rule 10.7 of part J of the **rules** immediately before this Code came into force, is deemed to be a direction given by the **Authority** under clause 15.26(2).
- (3) For the purposes of clause 15.26, information corrected by the reconciliation manager under rule 10.7 of part J of the **rules** immediately before this Code came into force, is deemed to be information corrected under clause 15.26.
- (4) Corrected information provided to the clearing manager and reconciliation participants under rule 10.9 of part J of the **rules** immediately before this Code came into force, is deemed to be information provided under clause 15.26(4).

17.232 Transitional provisions concerning revision

A list of incumbent retailers published by the **reconciliation manager** under rule 11.4.3.4 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a list published under clause 15.28(3).

17.233 Volume information disputes

- (1) A dispute commenced by a reconciliation participant under rule 12.1 of part J of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a dispute commenced under clause 15.29(1).
- (2) A notification given by the reconciliation manager under rule 12.3 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 15.29(3).
- (3) A direction given by the Board under rule 12.4 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a direction given by the **Authority** under clause 15.29(4).
- (4) A dispute referred to the Rulings Panel under rule 12.9 of part J of the **rules** that had not been resolved immediately before this Code came into force, is deemed to be a dispute referred to the **Rulings Panel** under clause 15.29(9).
- (5) A determination made by the Rulings Panel under rule 12.10 of part J of the **rules** before this Code came into force, is deemed to be a determination made by the **Rulings Panel** under clause 15.29(10).

- (6) Notice given by the Rulings Panel under rule 12.11 of part J of the **rules** before this Code came into force, is deemed to be notice given under clause 15.29(11).
- (7) A revised seasonal adjustment shape issued under rule 12.12 of part J of the **rules** before this Code came into force, is deemed to be a revised **seasonal adjustment shape** issued under clause 15.29(12).
- (8) An agreement by parties to a dispute to resolve the dispute made under rule 12 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be an agreement made under clause 15.29(12).
- (9) Corrected submission information provided by a reconciliation participant under rule 12.12 of part J of the **rules** before this Code came into force, is deemed to be corrected **submission information** provided under clause 15.29(12).
- (10) Corrected volume information provided to the clearing manager under rule 12.13 of part J of the **rules** before this Code came into force, is deemed to be corrected **volume information** provided under clause 15.29(13).

17.234 Alleged breaches reported by the reconciliation manager

A report provided by the reconciliation manager to the Board under rule 13.1 of part J of the **rules** before this Code came into force, is deemed to be a report provided to the **Authority** under clause 15.30.

17.235 Right to information concerning reconciliation manager's actions

Notice given by a reconciliation participant under rule 13.2 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a notice given under clause 15.31(1).

17.236 Reconciliation reports

A report given by the reconciliation manager to the Board under rule 13.3 of part J of the **rules** before this Code came into force, is deemed to be a report given to the **Authority** under clause 15.32.

17.237 The publication of reports

Sections of a report published by the Board under rule 14 of part J of the **rules** that were in force immediately before this Code came into force, are deemed to be published under clause 15.33.

17.238 Provision of information

Timeframes notified by the Board or formats determined by the Board under rule 16 of part J of the **rules** that were in force immediately before this Code came into force, are deemed to be timeframes notified by or formats determined by the **Authority**, as the case may be, under clause 15.35.

17.239 New Zealand daylight time adjustment techniques

Techniques specified by the Board under rule 17 of part J of the **rules** that were in force

immediately before this Code came into force, are deemed to be techniques specified by the **Authority** under clause 15.36.

17.240 Audit

A requirement issued by the Board that a participant have an audit undertaken under rule 18 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a requirement issued by the **Authority** under clause 15.37.

17.241 Functions requiring certification

Certification to carry out functions under the Code obtained and maintained by a reconciliation participant under rule 19 of part J of the **rules** that by that **reconciliation participant** was in force immediately before this Code came into force, is deemed to be certification obtained and maintained under clause 15.38.

17.242 Participant must use participant identifiers

- (1) For the purpose of clause 15.39, a participant identifier obtained by a participant under the **rules** before this Code came into force, is deemed to be the **participant identifier** for that **participant** under this Code.
- (2) An application made by a participant under rule 20.2 of part J of the **rules** before this Code came into force, is deemed to be an application made under clause 15.39(2).
- (3) A notification given by the Board under rule 20.3 of part J of the **rules** before this Code came into force, is deemed to be a notification given by the **Authority** under clause 15.39(3).

17.243 Requirement for certification

A reconciliation participant required to obtain certification in accordance with clause 1A of schedule J1 of the **rules** immediately before this Code came into force, is required to obtain certification in accordance with clause 2 of Schedule 15.1 of this Code, but must do so no later than the expiry of the remainder of the 3 calendar month period specified in clause 1A of schedule J1 of part J of the **rules** as at the date on which this Code came into force.

17.244 Obtaining certification

- (1) An application made by a reconciliation participant under clause 3.1 of schedule J1 of the **rules** before this Code came into force, is deemed to be an application made under clause 4(1) of Schedule 15.1.
- (2) A request by the Board for information under clause 3.1A of schedule J1 of part J of the **rules** made before this Code came into force, is deemed to be a request made by the **Authority** under clause 4(2) of schedule 15.1.
- (3) Information provided by a reconciliation participant under clause 3.1A of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be information provided under clause 4(2) of Schedule 15.1.

17.245 Granting certification

A quality certification deemed by the Board to be equivalent to AS/NZS ISO 9001:2000 or AS/NZS ISO 9001:2008 before this Code came into force, is deemed to be a quality certification deemed by the **Authority** to be equivalent to AS/NZS ISO 9001:2000 or AS/NZS ISO 9001:2008, as the case may be, under clause 5(1)(b)(iii) of Schedule 15.1.

17.246 Lists of certified reconciliation participants and agents

A list of certified reconciliation participants and agents used by certified reconciliation participants published and updated by the Board in accordance with clause 3A of schedule J1 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a list of certified reconciliation participants or agents used by certified reconciliation participants, as the case may be, under clause 6 of Schedule 15.1.

17.247 Renewed certification

A certification renewed by the Board under clause 3B of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be a certification renewed by the **Authority** under clause 7(2) of Schedule 15.1.

17.248 Changes that affect certification

- (1) A notification and an audit report provided by a reconciliation participant to the Board under clause 3C.1 of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be a notification or an audit report, as the case may be, provided to the **Authority** under clause 8(1) of Schedule 15.1.
- (2) Notice given by the Board under clause 3C.2 of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be notice given by the **Authority** under clause 8(2) of Schedule 15.1.
- (3) A notice given by the Board to a reconciliation participant under rule 3C.3.2 of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be a notice given by the **Authority** under clause 8(3)(b) of Schedule 15.1.

17.249 Auditors

- (1) An auditor approved by the Board under clause 5.1A of schedule J1 of part J of the **rules** who had not had its approval withdrawn by the Board immediately before this Code came into force, is deemed to be an **auditor** approved by the **Authority** under clause 9(1) of Schedule 15.1.
- (2) An application by a person to be an auditor or for the renewal of an existing approval made under clause 5.1A of schedule J1 of part J of the **rules** that had not been processed by the Board immediately before this Code came into force, is deemed to be an application made under clause 9(4) of Schedule 15.1.
- (3) A request for clarification, further data, or information made by the Board under clause 5.1A.3 of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be a request for clarification, further data, or information, as the case may be,

requested by the **Authority** under clause 9(4) of Schedule 15.1.

- (4) A list of auditors published by the Board under clause 5.1B of schedule J1 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a list published by the **Authority** under clause 9(7) of Schedule 15.1.

17.250 Audits

- (1) The prescribed form for an audit report prescribed by the Board under clause 6.1 of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be the **prescribed form** for an **audit** report prescribed by the **Authority** under clause 10 of Schedule 15.1.
- (2) An audit report provided by an auditor to a reconciliation participant under clause 6 of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be an **audit** report provided under clause 10(a) of Schedule 15.1.
- (3) Comments received by an auditor from a reconciliation participant under clause 6.3 of schedule J1 of part J of the **rules** in respect of an audit report that had not been finalised immediately before this Code came into force, are deemed to be comments received under clause 10(d) of Schedule 15.1.
- (4) Any conditions specified in a final audit report provided under clause 6.5 of Schedule J1 of part J of the **rules** that were in force immediately before this Code came into force, are deemed to be conditions specified under clause 10(f) of Schedule 15.1.

17.251 Audit reports

- (1) A final audit report provided to the Board under clause 6A of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be a final **audit** report provided to the **Authority** under clause 11(1) of Schedule 15.1.
- (2) A summary published by the Board under clause 6A.2 of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be a summary published by the **Authority** under clause 11(2) of Schedule 15.1.
- (3) An agreement between a reconciliation participant and the Board made under clause 6A.3 of schedule J1 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be an agreement made under clause 11(3) of Schedule 15.1.

17.252 Participant requested audits

A request made by a participant under clause 8.1A of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be a request made under clause 12(2) of Schedule 15.1.

17.253 Scope of audits

A requirement of the Board issued for the purposes of clause 8.2 of schedule J1 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a requirement of the **Authority** issued under clause 13 of Schedule 15.1.

17.254 Information requests

A request by the Board or its auditor under clause 8.2A of schedule J1 of part J of the **rules** made before this Code came into force, is deemed to be a request made by the **Authority** or its auditor, as the case may be, under clause 14 of Schedule 15.1.

17.255 Participants provide access and information

Additional information that the Board or its auditor reasonably considers is necessary under clause 8.3 of schedule J1 of the **rules** and requested before this Code came into force, is deemed to be additional information that the **Authority** or its auditor, as the case may be, reasonably considers is necessary under clause 15 of Schedule 15.1.

17.256 Production of audit report

An audit report produced under clause 8.4 of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be an **audit** report produced under clause 16 of Schedule 15.1.

17.257 Determination

- (1) A determination by the Board of an instance of non-compliance made under clause 8.5 of schedule J1 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a determination made by the **Authority** under clause 17(1) of Schedule 15.1.
- (2) Details submitted by a participant under clause 8.5 of schedule J1 of part J of the **rules** before this Code came into force, are deemed to be details submitted under clause 17(2) of Schedule 15.1.

17.258 Summary of audit report

A summary of an audit report published by the Board under clause 8.6 of schedule J1 of part J of the **rules** before this Code came into force, is deemed to be a summary published by the **Authority** under clause 18 of Schedule 15.1.

17.259 Meter interrogation for non half-hour metering

- (1) A report given by a reconciliation participant under clause 5.4.1 of schedule J2 of part J of the **rules** before this Code came into force, is deemed to be a report given under clause 8(1) of Schedule 15.2.
- (2) A requirement that a reconciliation participant explain why a level was not achieved and describe steps taken issued under clause 5.4.2 of schedule J2 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a requirement issued under clause 8(1) of Schedule 15.2.

17.260 Non half-hour meter reading every 4 months

- (1) A report given by a reconciliation participant to the market administrator under clause 5.5.1 of schedule J2 of part J of the **rules** before this Code came into force, is deemed to be a report given under clause 9(1) of Schedule 15.2.

- (2) A requirement issued by the market administrator that a reconciliation participant explain why a level was not achieved and describe the steps that are being taken to achieve a level issued under clause 5.5.2 of schedule J2 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a requirement issued under clause 9(1)(b) of Schedule 15.2.

17.261 Interrogation logs

An interrogation log produced under clause 5.6 of schedule J2 of part J of the **rules** before this Code came into force, is deemed to be an **interrogation** log produced under clause 10 of Schedule 15.2.

17.262 Meter interrogation for half-hour metering

- (1) An estimate submitted to the reconciliation manager by a reconciliation participant under clause 6.5 of schedule J2 of part J of the **rules** before this Code came into force, is deemed to be an estimate submitted under clause 15(1) of Schedule 15.2.
- (2) A percentage specified by the Board under clause 6.5 of schedule J2 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a percentage specified by the **Authority** under clause 15(2) of Schedule 15.2.

17.263 Audit trails

Information provided to and received from the registry, provided to and received from the **reconciliation manager**, or provided to and received from other reconciliation participants and their agents under clause 11.1 of schedule J2 of part J of the **rules** immediately before this Code came into force, is deemed to be information provided to and received from the **registry**, provided to and received from the **reconciliation manager**, or provided and received from other **reconciliation participants** and their agents, as the case may be, under clause 21(2).

17.264 Correction of meter readings

A journal generated and archived by a reconciliation participant under clause 11.4.2 of schedule J2 of part J of the **rules** before this Code came into force, is deemed to be a journal generated and archived under clause 22(2) of Schedule 15.2.

17.265 Creation of submission information

- (1) The time period covered by kWh_p published by the reconciliation manager under clause 2.2.1.1 of schedule J3 of part J of the **rules** before this Code came into force, is deemed to be published by the **reconciliation manager** under clause 4(a) of Schedule 15.3.
- (2) A percentage specified and published by the Board under clause 2.2.3 of schedule J3 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a percentage specified and published, as the case may be, by the **Authority** under clause 6(3) of Schedule 15.3.

17.266 Provision of submission information to reconciliation manager

Submission information provided by a reconciliation participant to the reconciliation manager under clause 3 of schedule J3 of part J of the **rules** before this Code came into force, is deemed to be **submission information** provided under clause 8 of Schedule 15.3.

17.267 Reporting requirements

- (1) A report provided by a reconciliation participant to the reconciliation manager under clause 4 of schedule J3 of part J of the **rules** before this Code came into force, is deemed to be a report provided under clause 10(1) of Schedule 15.3.
- (2) A report provided by the reconciliation manager to the Board under clause 4 of schedule J3 of part J of the **rules** before this Code came into force, is deemed to be a report provided to the **Authority** under clause 10(2) of Schedule 15.3.
- (3) Information published by the Board under clause 4 of schedule J3 of part J of the **rules** immediately before this Code came into force, is deemed to be information published by the **Authority** under clause 10(2) of Schedule 15.3.

17.268 Distributed unmetered load database

A database maintained by a retailer in accordance with clause 5 of schedule J3 of part J of the **rules** before this Code came into force, is deemed to be a database maintained by that **retailer** under clause 11 of Schedule 15.3.

17.269 Calculation by difference for embedded networks

A notice given by a trader to the reconciliation manager designating an ICP under clause 3 of schedule J4 of part J of the **rules** that had not been revoked immediately before this Code came into force, is deemed to be a notice given under clause 3 of Schedule 15.4.

17.270 Calculation by difference for local networks

- (1) An application made by a trader to the Board under clause 3A of schedule J4 of part J of the **rules** before this Code came into force, is deemed to be an application made under clause 4(1) of Schedule 15.4.
- (2) A designation granted by the Board under clause 3A of schedule J4 of part J of the **rules** that had not been revoked by the Board immediately before this Code came into force, is deemed to be a designation granted by the **Authority** under clause 4 of Schedule 15.4.

17.271 ICP days information

The default values for profiles and loss category codes determined by the Board under clause 4.2.2 of schedule J4 of part J of the **rules** that were in force immediately before this Code came into force, are deemed to be default values for **profiles** and **loss category** codes, as the case may be, determined by the **Authority** under clause 7(5) of Schedule 15.4.

17.272 Calculation of residual non half-hour profile shape

A residual profile shape for a balancing area calculated by the reconciliation manager under clause 5 of schedule J4 of part J of the **rules** before this Code came into force, is deemed to be a residual **profile** shape for a **balancing area** calculated by the **reconciliation manager** under clause 9 of Schedule 15.4.

17.273 Convert non half-hour quantities using profiles

- (1) A notification given by a profile owner to the reconciliation manager under clause 6.1.2 of schedule J4 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 10(b) of Schedule 15.4.
- (2) A authorisation given by a profile owner to a reconciliation participant under clause 6.1.3 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be an authorisation given under clause 10(c) of Schedule 15.4.

17.274 Invalid submission information

Default values specified by the Board under clause 6.5.2 of schedule J4 of part J of the **rules** that were in force immediately before this Code came into force, are deemed to be default values specified by the **Authority** under clause 14(b) of Schedule 15.4.

17.275 Loss factors

A direction given by the Board under clause 7 of schedule J4 of part J of the **rules** that was current immediately before this Code came into force, is deemed to be a direction given by the **Authority** under clause 15(1) of Schedule 15.4.

17.276 Scorecard rating

- (1) A scorecard rating given to a retailer by the reconciliation manager under clause 9 of schedule J4 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be the **scorecard rating** of the **retailer** under clause 17 of Schedule 15.4.
- (2) Information about the quantity of electricity supplied to the reconciliation manager under clause 9 of schedule J4 of part J of the **rules** before this Code came into force, is deemed to be information provided under clause 17(2) of Schedule 15.4.
- (3) An unusual circumstance approved by the Board under clause 9.1 of schedule J4 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be an unusual circumstance approved by the **Authority** under clause 17(3) of Schedule 15.4.

17.277 Calculation of scorecard rating

- (1) A scorecard rating for a retailer that was calculated, published, or applied under clause 9.2 of schedule J4 of part J of the **rules** before this Code came into force, is deemed to be a **scorecard rating** calculated, **published**, or applied, as the case may be,

under clause 18 of Schedule 15.4.

- (2) A value specified by the Board under clause 9.2.2 of schedule J4 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a value specified by the **Authority** under clause 18(1)(b) of Schedule 15.4.

17.278 Application of scorecard rating

A scorecard rating notified by the Board under clause 9.3 of schedule J4 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a **scorecard rating** notified by the **Authority** under clause 18(4) of Schedule 15.4.

17.279 Reconciliation manager reporting requirements

- (1) Information provided by the reconciliation manager under clause 14 of schedule J4 of part J of the **rules** before this Code came into force, is deemed to be information provided by the **reconciliation manager** under clauses 24 to 27, as the case may be, of Schedule 15.4.
- (2) A percentage determined by the Board under clause 14.1.6 of schedule J4 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a percentage determined by the **Authority** under clause 25(f) of Schedule 15.4.

17.280 Provision of reconciliation information

- (1) Information provided by the reconciliation manager under clause 15 of schedule J4 of part J of the **rules** before this Code came into force, is deemed to be information provided under clause 28 of Schedule 15.4.
- (2) A format or information requirement determined by the Board under clause 15 of schedule J4 of part J of the **rules** that was in force before this Code came into force, is deemed to be a format or information requirement, as the case may be, determined by the **Authority** under clause 28 of Schedule 15.4.

17.281 Departure from requirements for profile administration

An approval given by the market administrator under clause 2 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be an approval given under clause 2 of Schedule 15.5.

17.282 Profile population list

A profile population list kept by a reconciliation participant under clause 3.3 of schedule J5 of part J of the **rules** immediately before this Code came into force, is deemed to be a **profile population** list kept under clause 5 under Schedule 15.5.

17.283 Profiles approved for use

Details kept by a profile owner under clause 3.4 of schedule J5 of part J of the **rules** immediately before this Code came into force, are deemed to be details kept under clause 6 of Schedule 15.5.

17.284 Change of profile

- (1) An application made under clause 3A of schedule J5 of part J of the **rules** that had not been approved or rejected immediately before this Code came into force, is deemed to be an application made under clause 11 of Schedule 15.5.
- (2) Advice given by the market administrator under clause 3A.4 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be advice given under clause 11(4) of Schedule 15.5.

17.285 Profile codes

- (1) A profile code determined by the market administrator under clause 5 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a **profile code** determined under clause 13 of Schedule 15.5.
- (2) Information published by the market administrator under clause 5.2 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be information **published** under clause 13(5) of Schedule 15.5.

17.286 New NSP derived profiles

- (1) An application made under clause 7.1 of schedule J5 of part J of the **rules** that had not been approved, withdrawn, or rejected immediately before this Code came into force, is deemed to be an application made under clause 19 of Schedule 15.5.
- (2) Advice given by the market administrator to a profile applicant under clause 7.1 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be advice given under clause 19(1) of Schedule 15.5.
- (3) A legal entity nominated by a profile applicant under clause 7.3 of schedule J5 of part J of the **rules** immediately before this Code came into force, is deemed to be a legal entity nominated under clause 21 of Schedule 15.5.
- (4) An explanation provided by the market administrator under clause 7.5 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be an explanation provided under clause 23 of Schedule 15.5.
- (5) A profile approved by the market administrator under clause 7 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a **profile** approved by the **market administrator** under clauses 19 to 24, as the case may be, of Schedule 15.5.
- (6) An approval given by a profile owner to a reconciliation participant under clause 7.6 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be an approval given under clause 24(2) of Schedule 15.5.

17.287 New statistically sampled/engineered profiles

- (1) An application to introduce a new profile submitted under clause 8.2 of schedule J5 of part J of the **rules** on which a decision had not been made immediately before this Code came into force, is deemed to be an application submitted under clause 26 of Schedule 15.5.
- (2) Advice given by the market administrator under clause 8.2 of schedule J5 of part J of

- the **rules** that was in force immediately before this Code came into force, is deemed to be advice given under clause 26(1) of Schedule 15.5.
- (3) A format for the supply of analytical information required by the market administrator under clause 8.2A of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a format required under clause 26(2) of Schedule 15.5.
 - (4) A legal entity nominated to be the profile owner under clause 8.5 of schedule J5 of part J of the **rules** immediately before this Code came into force, is deemed to be a legal entity nominated under clause 29 of Schedule 15.5.
 - (5) Advice given by the market administrator to participants under clause 8.6 of schedule J5 of part J of the **rules** immediately before this Code came into force, is deemed to be advice given under clause 30 of Schedule 15.5.
 - (6) An explanation provided by the market administrator under clause 8.7 of schedule J5 of part J of the **rules** immediately before this Code came into force, is deemed to be an explanation provided under clause 31 of Schedule 15.5.
 - (7) A date decided by the market administrator under clause 8.8 of schedule J5 of part J of the **rules** immediately before this Code came into force, is deemed to be a date decided under clause 32(1) of Schedule 15.5.
 - (8) An approval given by a profile owner to a reconciliation participant under clause 8.8 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be an approval given under clause 32(2) of Schedule 15.5.
 - (9) A profile population list maintained by a profile owner under clause 8.9 of schedule J5 of part J of the **rules** immediately before this Code came into force, is deemed to be a **profile population** list maintained under clause 33 of Schedule 15.5.
 - (10) A notification given by the market administrator to a profile owner under clause 8.9.2 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a notification given under clause 33(3) of Schedule 15.5.
 - (11) A list of ICP identifiers submitted under clause 8.9.2 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a list of **ICP identifiers** submitted under clause 33(4) of Schedule 15.5.
 - (12) A determination of appropriate replacement ICP identifiers issued by the market administrator under clause 8.9.2 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a determination under clause 33(4).

17.288 MARIA profiles

A profile deemed, in accordance with rule 4 of section III of part I of the **rules** to be a profile determined under rules 6.1 and 7.2 of code of practice G2 of schedule G8 of part G of the **rules**, is deemed to be a **profile** approved in accordance with clauses 19 to 34, as the case may be, of Schedule 15.5.

17.289 Audits

- (1) A request for an audit made under clause 9 of schedule J5 of part J of the **rules** before

this Code came into force, is deemed to be a request made under clause 35 of Schedule 15.5.

- (2) An audit conducted under clause 9.2 of schedule J5 of part J of the **rules** before this Code came into force, is deemed to be an **audit** conducted under clause 35(2) of Schedule 15.5.
- (3) A selection process maintained by the market administrator and monitored by the Board under clause 9.2 of schedule J5 of part J of the **rules** that was in force immediately before this Code came into force, is deemed to be a selection process maintained by the **market administrator** and monitored by the **Authority** under clause 35(2) of Schedule 15.5.

17.290 Removal of profiles

- (1) A breach reported to the Board under clause 11.1 of schedule J5 of part J of the **rules** that was not resolved immediately before this Code came into force, is deemed to be a breach reported to the **Authority** under clause 37(2) of Schedule 15.1.
- (2) A request that a profile be removed made under clause 11.2 of schedule J5 of part J of the **rules** immediately before this Code came into force, is deemed to be a request made under clause 37(3) and (4) of Schedule 15.5.

17.291 Reviews

A review undertaken under clause 5 of Appendix 3 of schedule J5 of part J of the **rules** before this Code came into force, is deemed to be a review undertaken under clause 5 of Appendix 2 of Schedule 15.5.

Transitional provisions relating to Part 16 cross-heading: revoked on 16 December 2013, by clause 11(1) of the Electricity Industry Participation (Revocation of Part 16) Code Amendment 2013.

17.292 [Revoked]

Clause 17.292: revoked on 16 December 2013, by clause 11(2) of the Electricity Industry Participation (Revocation of Part 16) Code Amendment 2013.

17.293 [Revoked]

Clause 17.293: revoked on 16 December 2013, by clause 11(3) of the Electricity Industry Participation (Revocation of Part 16) Code Amendment 2013.

17.294 [Revoked]

Clause 17.294: revoked on 16 December 2013, by clause 11(2) of the Electricity Industry Participation (Revocation of Part 16) Code Amendment 2013.

17.295 [Revoked]

Clause 17.295: revoked on 16 December 2013, by clause 11(2) of the Electricity Industry Participation (Revocation of Part 16) Code Amendment 2013.

Transitional provisions relating to Part 16A

Cross Heading: inserted on 1 June 2017, by clause 37 of the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016.

17.295A Metering equipment provider audits

- (1) If, on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, the **Authority** has specified a date under clause 1(1)(b) of Schedule 10.5 by which a **metering equipment provider** must ensure that an **audit** is carried out, the **metering equipment provider** must ensure that an **audit** is completed in accordance with Part 16A by the later of—
 - (a) the date that the **Authority** has specified; or
 - (b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
- (2) If, on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, the **Authority** has not specified a date under clause 1(1)(b) of Schedule 10.5 by which a **metering equipment provider** must ensure that an **audit** is carried out,—
 - (a) the **Authority** must, no later than 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, specify a date by which the **metering equipment provider** must ensure that an **audit** is carried out in accordance with Part 16A; and
 - (b) the **metering equipment provider** must comply with that requirement.
- (3) Clause 16A.17 applies to a **metering equipment provider** to which subclauses (1) or (2) apply as if the **audit** completed under those subclauses were the initial **audit** required under clause 16A.17(a).

Clause 17.295A: inserted on 1 June 2017, by clause 37 of the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016.

17.295B ATH audits

- (1) If, on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, the **Authority** has specified a date under clause 1(4)(c) of Schedule 10.3 by which an **ATH** must ensure that an **audit** is carried out, the **ATH** must ensure that an **audit** is completed in accordance with Part 16A by the later of—
 - (a) the date that the **Authority** has specified; or
 - (b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
- (2) If, on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, the **Authority** has not specified a date under clause 1(4)(c) of Schedule 10.3 by which an **ATH** must ensure that an **audit** is carried out,—
 - (a) the **Authority** must, no later than 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, specify a date by which the **ATH** must ensure that an **audit** is carried out in accordance with Part 16A; and
 - (b) the **ATH** must comply with that requirement.
- (3) Clause 16A.19 applies to an **ATH** to which subclauses (1) or (2) apply as if the **audit** completed under those subclauses were the initial **audit** required under clause 16A.19(a).

Clause 17.295B: inserted on 1 June 2017, by clause 37 of the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016.

17.295C Distributor audits

- (1) If, immediately before the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, a **distributor** was required to arrange for an **audit** to be completed by a date determined in accordance with clause 11.10(1)(b), the **distributor** must ensure that an **audit** is completed in accordance with Part 16A by the later of—
 - (a) the date determined in accordance with clause 11.10(1)(b); or
 - (b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
 - (2) Clause 16A.22 applies to a **distributor** to which subclause (1) applies as if the **audit** completed under that subclause were the initial **audit** required under clause 16A.22(a).
- Clause 17.295C: inserted on 1 June 2017, by clause 37 of the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016.

17.295D Reconciliation participant audits

- (1) If, immediately before the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, a **reconciliation participant** was required to provide a final **audit** report to the **Authority** by a date determined in accordance with clause 11(1) of Schedule 15.1, the **reconciliation participant** must ensure that an **audit** is completed in accordance with Part 16A by the later of—
 - (a) the date determined in accordance with clause 11(1) of Schedule 15.1; or
 - (b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
 - (2) Clause 16A.24 applies to a **reconciliation participant** to which subclause (1) applies as if the **audit** completed under that subclause were the initial **audit** required under clause 16A.24(a).
- Clause 17.295D: inserted on 1 June 2017, by clause 37 of the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016.

17.295E Dispatchable load purchaser audits

- (1) If, immediately before the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force, a **dispatchable load purchaser** was required to provide a final **audit** report to the **Authority** by a date determined in accordance with clause 11(1) of Schedule 15.1, the **dispatchable load purchaser** must ensure that an **audit** is completed in accordance with Part 16A by the later of—
 - (a) the date determined in accordance with clause 11(1) of Schedule 15.1; or
 - (b) the date that is 1 month after the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force.
- (2) Clause 16A.25 applies to a **dispatchable load purchaser** to which subclause (1) applies as if the **audit** completed under that subclause were the initial **audit** required under clause 16A.25(a).

Clause 17.295E: inserted on 1 June 2017, by clause 37 of the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016.

17.295F Distributed unmetered load audits

- (1) A retailer that is responsible for **distributed unmetered load** on the date that the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016 comes into force must ensure that an **audit** is completed in accordance with Part 16A no later than 12 months after that date.
- (2) Clause 16A.26(1) applies to a **retailer** to which subclause (1) applies as if the **audit** completed under that subclause were the initial **audit** required under clause 16A.26(1)(a).

Clause 17.295F: inserted on 1 June 2017, by clause 37 of the Electricity Industry Participation Code Amendment (Requirements and Processes for Audits) 2016.

Clause 17.295F(1): amended, on 5 October 2017, by clause 583 of the Electricity Industry Participation Code Amendment (Code Review Programme) 2017.

Transitional provisions relating to exemptions

17.296 Exemptions

- (1) An exemption in force under regulations 194 to 197 of the Electricity Governance Regulations 2003 immediately before this Code came into force, in relation to a rule, continues in force and is deemed to be an exemption from the obligation to comply with the corresponding provision of this Code and may be amended and revoked accordingly.
- (2) A proposed exemption being considered by the Commission under regulation 194 of the Electricity Governance Regulations 2003 immediately before this Code came into force must be treated by the **Authority** as a proposed exemption under section 11 of the **Act**.
- (3) An application for a variation or revocation of an exemption under regulation 196 of the Electricity Governance Regulations 2003 that was in force immediately before this Code came into force must be dealt with by the **Authority** under section 11 of the **Act**.