

Compliance plan for Meridian MC HHR and UNM August 2022

Relevant information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11.2 From: 17-Aug-22 To: 17-Aug-22	Incorrect NHH submission flag and profile in the registry for C&I ICPs with associated unmetered load. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level taking into account that this matter only has a impact on five ICPs. There is no impact on settlement; therefore the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
Significant system development is required to enable an ICP to be recognised simultaneously as both HHR and NHH which would be required for the relevant NHH related Registry information to be maintained. Due to the very low numbers of ICPs where this is the case (currently 5) and lack of any market impact, it is not practical for this development to be undertaken.		N/A
Preventative actions taken to ensure no further issues will occur		Completion date
Remedial action status		
Investigating		

Provision of information to the registry manager			
Non-compliance	Description		
Audit Ref: 3.5 With: Clause 9 of schedule 11.1 From: 17-Aug-22 To: 17-Aug-22	Incorrect NHH submission flag and profile in the registry for C&I ICPs with associated unmetered load. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as strong because they mitigate risk to an acceptable level taking into account that this matter only has a impact on five ICPs. There is no impact on settlement; therefore the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Significant system development is required to enable an ICP to be recognised simultaneously as both HHR and NHH which would be required for the relevant NHH related Registry information to be maintained. Due to the very low numbers of ICPs where this is the case (currently 5) and lack of any market impact, it is not practical for this development to be undertaken.		N/A	Investigating
Preventative actions taken to ensure no further issues will occur		Completion date	

Calculation of ICP days		
Non-compliance	Description	
Audit Ref: 11.2 With: Clause 15.6 From: 17-Aug-22 To: 17-Aug-22	NHH ICP days not submitted for the associated unmetered load for C&I ICPs. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level taking into account that this matter only has a impact on five ICPs. There is no impact on settlement; therefore the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
Significant system development is required to enable an ICP to be recognised simultaneously as both HHR and NHH which would be required for NHH ICP days to be calculated and submitted. Due to the very low numbers of ICPs where this is the case (currently 5) and lack of any market impact, it is not practical for this development to be undertaken.		N/A
Preventative actions taken to ensure no further issues will occur		Completion date
Remedial action status		
Investigating		