

# Compliance plan for Prime Energy Limited

## Reconciliation Participant Material Change – June 2021

Meter data used to derive volume information		
Non-compliance	Description	
<p>Audit Ref: 9.3</p> <p>With: Clause 3(5) of schedule 15.2</p> <p>From: 01-Dec-19</p> <p>To: 30-Jun-21</p>	<p>NHH raw meter data is rounded upon receipt and not when volume information is created.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: None</p> <p>Breach risk rating: 5</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>There are no controls to prevent rounding of raw meter data, the system is designed to round as soon as the data arrives. A solution is being developed.</p> <p>There is little impact because no metered consumption information is “missing”, therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
We have been using Orion Billing system since 2020 & back then electricity used to be billed to whole numbers. We raised a job with our systems IT team post our recent audit & things are looking good. We are waiting for them to implement the change in our test environment, and once testing is completed with desired outcome, the change will be deployed to our live environment.		Job was created 04/2021
Preventative actions taken to ensure no further issue will occur		Completion date
The rounding happens during the read import via the interface. Once the rounding is fixed, this issue will be cleared & all reads will be stored at 3 decimal places.		Nov/Dec 2021 (the latest)
		Identified

HHR aggregates information provision to the reconciliation manager		
Non-compliance	Description	
Audit Ref: 11.4 With: Clause 15.8  From: 30-Jun-21 To: 30-Jun-21	HHR aggregates file does not contain electricity supplied information. Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as strong, and the impact as low, because the requirement to report electricity supplied information is an error in the code, and AMS is providing submission information as expected. In most cases, billed volumes would match reported volumes.	
Actions taken to resolve the issue		Completion date
This is an error within the code. AV-120 will have the billed data not AV-090 or AV-140.		N/A
Preventative actions taken to ensure no further issues will occur		Completion date
NFA		N/A
		Identified