

Compliance plan for Merchant Quarter (MERQ) – 2020

Non-compliance	Description		
Audit Ref: 9.3 With: 3(5) of Schedule 15.2 From: 01-Oct-18 To: 31-Aug-20	Reconciliation volumes (NSPVOLS) are derived from rounded raw meter data Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are recorded as strong. The MERQ gate meter volumes are recorded by a single meter therefore there is no impact on the market because the accuracy of data is not affected by the fact that data provided by FCLM is already rounded. Audit risk rating recorded as low.		
Actions taken to resolve the issue		Completion date	Remedial action status
We have requested the change and FCLM have agreed and are working on it.		30/10/2020	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	