

Compliance plan for Paua to the People Limited HIKO material change audit (November 2020)

HHR aggregates information provision to the reconciliation manager			
Non-compliance		Description	
Audit Ref: 11.4 With: Clause 15.8 From: 01-Oct-18 To: 01-Dec 19		The HHR aggregates file does not contain electricity supplied information. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Strong Breach risk rating: 1	
Audit risk rating		Rationale for audit risk rating	
Low		The issue relating to content of the aggregates file is an error in the code, Paua is providing submission information as expected.	
Actions taken to resolve the issue		Completion date	Remedial action status
Our AV Aggregates file is correct and accurate so no changes are envisaged.		Completed	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
No actions as our AV Aggregates file is correct and accurate.		Completed	