

# Compliance Plan Robert Jones Electricity Network Ltd (RJEN) Distributor 2022

Requirement to provide complete and accurate information		
Non-compliance	Description	
Audit Ref: 2.1 With: 11.2(1)  From: 21-May-19 To: 31-May-22	Incorrect Loss Factors recorded for three ICPs on PSP0011 and RFB0011.  Potential impact: Low  Actual impact: Low  Audit history: None  Controls: Strong  Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	Controls are rated as strong, as they are robust and mitigate risk to an acceptable level.  The audit risk rating is low as this has no direct impact on settlement.	
Actions taken to resolve the issue	Completion date	Remedial action status
Tenco has corrected the loss factor codes for the three ICPs which were recorded as having incorrect codes applied.	13/07/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Tenco has implemented a new tool which maps LE ICP and EN ICP loss codes, this will ensure this doesn't happen in future.	Ongoing	

Distributors to Provide ICP Information to the Registry manager		
Non-compliance	Description	
Audit Ref: 4.6 With: 7(1) Schedule 11.1 From: 21-May-19 To: 31-May-22	Incorrect Loss Factors recorded for three ICPs on PSP0011 and RFB0011. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	Controls are rated as strong, as they are robust and mitigate risk to an acceptable level. The audit risk rating is low as this has no direct impact on settlement.	
Actions taken to resolve the issue	Completion date	Remedial action status
Tenco has corrected the loss factor codes for the three ICPs which were recorded as being incorrect.	13/07/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Tenco has implemented a new tool which maps LE ICP and EN ICP loss codes, this will ensure this doesn't happen in future.	Ongoing	

<b>Responsibility for metering information for NSP that is not a POC to the grid</b>		
<b>Non-compliance</b>	<b>Description</b>	
Audit Ref: 6.8 With: 10.25(1)  From: 01-Jun-19 To: 31-May-22	Meter recertification dates notified to the RM more than 20 business date from the date of recertification for RNC0011 and RFB0011.  Potential impact: Low  Actual impact: Low  Audit history: None  Controls: Moderate  Breach risk rating: 2	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Low</b>	The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement.  This audit risk rating is assessed to be low as this has no direct impact on reconciliation.	
<b>Actions taken to resolve the issue</b>	<b>Completion date</b>	<b>Remedial action status</b>
Tenco enters the metering certification from the MEP as soon as we receive and process the paperwork, in some cases the MEP's paperwork is late thus causing Tenco to be non-compliant. There is also no market impact.	During the audit period	Investigating
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
Tenco is working with the RM and EA for a solution on NSP metering, so that we are not dependent on timely advise from the MEP.	Ongoing	