

Compliance Plan for Elevate Body Corporate 470177 (ADMT) 2022

Non-compliance	Description	
Audit Ref: 4.1 With: Clause 8 of Schedule 11.1 From: 01-Sep-20 To: 31-Aug-22	Four late updates of ICP pricing code in the registry Potential impact: Low Actual impact: None Audit history: Once previously Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong. No market impact. Audit risk rating is low	
Actions taken to resolve the issue	Completion date	Remedial action status
We updated pricing according to the UoSA and our pricing policy so as not to disadvantage the retailer, and under the old code there was no advantage to processing the price changes more than weekly. With the code revision, this has changed & email notifications have been set up to alert when the new requests come in, so that they can be actioned within the 3-business day requirement	23/09/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Email notification set up to alert on the receipt of a new price change request	23/09/2022	