

Compliance plan for Tuihana Networks Limited – 2019

Requirement to provide complete and accurate information		
Non-compliance	Description	
Audit Ref: 2.1 With: 10.6(1) From: 01-Nov-16 To: 28-Mar-19	Meter certification expiry date recorded incorrectly on the NSP mapping table. Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong – this was a one-off human error. The meter certification is current and therefore this has no impact on reconciliation.	
Actions taken to resolve the issue		Remedial action status
Confirmation received from NRM that expiry date has been corrected to 28/9/21		1/4/19
Preventative actions taken to ensure no further issues will occur		Completion date
Additional checks for future NRM notifications		Ongoing

Changes to registry information			
Non-compliance	Description		
Audit Ref: 4.1 With: 8 of Schedule 11.1 From: 25-Jan-16 To: 31-Jul-17	3 late updates to registry. Potential impact: None Actual impact: None Audit history: Multiple Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are rated as strong as this has not occurred since the additional checks undertaken by TEG as detailed in the TEG contractor report were put in place in July 2017. The late updates have no direct impact on reconciliation hence the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
			Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
DG activities will be monitored by TUIH to ensure that its third party's obligations for timely notification are met.		31/10/19	

Transfer of ICPs for embedded network		
Non-compliance	Description	
<p>Audit Ref: 6.13</p> <p>With: 6 Schedule 11.2</p> <p>From: 01-Dec-08</p> <p>To: 01-Mar-18</p>	<p>Not all ICPs were included when this network was notified in 2008.</p> <p>Potential impact: Low</p> <p>Actual impact: None</p> <p>Audit history: None</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are rated as strong as this was a one-off historic issue.</p> <p>This affects four residential ICPs hence the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
TUIH initiated prompt discussions with Powerco to ensure prompt ICP transfer.		1/3/18
Preventative actions taken to ensure no further issues will occur		Completion date
The notification only related to 4 ICPs transferring to the embedded network.		
		Identified