

Compliance plan for Tauranga City Council DUML - 2021

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 01-Nov-20 To: 16-Nov-21	One additional items of load found in the field which were not recorded in the database. Potential impact: High Actual impact: Low Audit history: Multiple times Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate as there is room for improvement for the adding of new load. The impact is rated as low because only one example was identified.	
Actions taken to resolve the issue		Completion date
Customer has added the missing lamp to the database and rectified the incorrect wattages.		Immediately
Preventative actions taken to ensure no further issues will occur		Completion date
Database reviewed and validated.		24/11/21
		Cleared