

Compliance Plan Mt Cook Village DUML 2021

Description and capacity of load		
Non-compliance	Description	
Audit Ref: 2.4 With: Clause 11(2)(c) and (d) of Schedule 15.3 From: 21-Oct-18 To: 18-Oct-21	Model 'Unknown' for three lamps. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
We have advised Mt Cook DOC of the inaccuracies and have requested to investigate. They have advised they will be swapping the lamps out soon and will advise as to when Meridian can update the database.		28/02/2022
Preventative actions taken to ensure no further issues will occur		Completion date
Controls are reported as strong and likely to mitigate any risk of recurrence.		Ongoing
		Identified

Database accuracy			
Non-compliance		Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 21-Oct-18 To: 18-Oct-21		Model 'Unknown' for three lamps. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating		Rationale for audit risk rating	
Low		The controls are recorded as strong because they mitigate risk to an acceptable level. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue		Completion date	Remedial action status
We have advised Mt Cook DOC of the inaccuracies and have requested to investigate. They have advised they will be swapping the lamps out soon and will advise as to when Meridian can update the database.		28/02/2022	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Controls are reported as strong and likely to mitigate any risk of recurrence		Ongoing	