

Compliance plan for Electrix -2018 (Updated Feb 2019)

Advise MEP of Records, Certificates Or Reports For A Metering Installation		
Non-compliance	Description	
<p>Audit Ref: 3.9</p> <p>With: Clause 14 Of Schedule 10.4</p> <p>From: 11-Jan-17</p> <p>To: 24-Jan-18</p>	<p>Certification records provided to the MEP late for five of ten metering installations.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as weak because they do not mitigate risk most of the time.</p> <p>The impact on MEPs is minor; therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
We are looking into how to develop our process to achieve the time frames		30 May 2018
Preventative actions taken to ensure no further issues will occur		Completion date
We are looking into how to develop our process to achieve the time frames presently we are using a manual spreadsheet to monitor the timeframes		30 May 2018

Update Feb 19: There is now an agreement with the MEP (Transpower), that we (Electrix) store and file Records, on their behalf. Records are stored in the ATH filing system AND uploaded into the MEP's database.... Therefore are agreed as "Provided to the MEP" immediately upon generation of the record or certificate.

Burden & Compensation		
Non-compliance	Description	
<p>Audit Ref: 5.40</p> <p>With: Clause 31 Of Schedule 10.7</p> <p>From: 01-Feb-15 To: 02-Feb-18</p>	<p>Electrix has not confirmed the accuracy of non-TWS CTs when the in-service burden is lower than the lowest test point recorded in the IEC standard.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: None</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>There is currently no process in place to manage low burden on CT metered installations with non-TWS CTs.</p> <p>The impact on settlement is likely to be minor because the overall error of the installations is measured and recorded.</p>	
Actions taken to resolve the issue		Completion date
Instructed the technician to correct the minimum burden requirement		9 Feb 2018
Preventative actions taken to ensure no further issues will occur		Completion date
Update our current work procedure		30 May 2018
		<p>Updated Feb 19: Actions completed and work process updated.</p> <p>HOWEVER, this Non Compliance related to the CT types tested by the Class B ATH. ELX is no longer seeking Class B status.</p>

