

# Compliance Plan Dunedin City Council DUML 2022

Deriving submission information			
Non-compliance	Description		
Audit Ref: 2.1 Clause 11(1) of Schedule 15.3  From: 01-Oct-22 To: 30-Nov-22	43 lights not included in the monthly report to Mercury.  Potential impact: Medium Actual impact: Low Audit history: Multiple times Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	The controls are rated as moderate because there is scope to incorporate checks for missing data.  The impact is assessed to be low, based on the kWh differences described above.		
Actions taken to resolve the issue		Completion date	Remedial action status
Dunedin CC have advised that they missed these lights because they were unconnected with no energy consumption recorded in their Planet 'Central Management System' and a few got replaced. They are investigating and will make the appropriate updates to have these lights added to Planet.		March 2023	Investigating
Preventative actions taken to ensure no further issues will occur		Completion date	
Dunedin CC is aware of the importance of keeping their information accurate and this issue has been taken as a reminder.		Ongoing	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 Clause 15.2 and 15.37B(c) From: 01-Oct-22 To: 30-Nov-22	43 lights not included in the monthly report to Mercury. Potential impact: Medium Actual impact: Low Audit history: Multiple times Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are rated as moderate because there is scope to incorporate checks for missing data. The impact is assessed to be low, based on the kWh differences described above.	
Actions taken to resolve the issue		Completion date
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Preventative actions taken to ensure no further issues will occur		Completion date
Dunedin CC is aware of the importance of keeping their information accurate and this issue has been taken as a reminder.		Ongoing
Investigating		