

# Compliance Plan Grey District Council DUML 2021

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 17-Aug-21</p> <p>To: 12-Oct-22</p>	<p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>The database was not within the +/-5% accuracy threshold. In absolute terms, total annual consumption is estimated to be 1,200 kWh higher than the DUML database indicates.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times previously</p> <p>Controls: Moderate</p> <p>Breach risk rating:2</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
Meridian has advised of the inaccuracies identified and comments are in the relevant sections.		24/11/2022
Preventative actions taken to ensure no further issues will occur		Completion date
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.		Ongoing
Identified		

Description and capacity of load		
Non-compliance	Description	
Audit Ref: 2.4 With: Clause 11(2)(c) and (d) of Schedule 15.3 From: 17-Aug-21 To: 12-Oct-22	Light type recorded as "Other" for two lamps. Potential impact: Low Actual impact: Low Audit history: Once Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact is assessed to be low because only two items of load are affected.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Grey DC of the inaccuracies and requested them to be corrected.	24/11/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will follow up with Grey DC regarding the inaccuracies and corrections.	01/03/2023	

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 17-Aug-21 To: 12-Oct-22	Six additional lights found in the field from the 177 lights sampled. Potential impact: Low Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement The impact is assessed to be low due to the number of additional lights found.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Grey DC of the inaccuracies and requested them to be corrected.	24/11/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will follow up with Grey DC regarding the inaccuracies and corrections.	01/03/2023	

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 17-Aug-21</p> <p>To: 12-Oct-22</p>	<p>The database was not within the +/-5% accuracy threshold. In absolute terms, total annual consumption is estimated to be 1,200 kWh higher than the DUMML database indicates.</p> <p>Light type recorded as "Other" for two lamps.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Meridian has advised Grey DC of the inaccuracies and requested them to be corrected.		24/11/2022	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Meridian will follow up with Grey DC regarding the inaccuracies and corrections.		01/03/2023	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 17-Aug-21</p> <p>To: 12-Oct-22</p>	<p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>The database was not within the +/-5% accuracy threshold. In absolute terms, total annual consumption is estimated to be 1,200 kWh higher than the DUML database indicates.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised of the inaccuracies identified and comments are in the relevant sections.	24/11/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.	Ongoing	



