

Compliance plan for Whakapapa DOC DUML Meridian 2022

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 10-Nov-20 To: 05-Sep-22	The database is not up to date resulting in an estimated minor under submission of 1,025 kWh p.a. Changes are not tracked at a daily level as required by the code. Potential impact: Low Actual impact: Low Audit history: Twice Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are moderate but there is room for improvement. The audit risk rating is low because there is a minor impact on settlement.	
Actions taken to resolve the issue		Completion date
The database has now been corrected for the inaccuracies identified and comments are in the relevant sections of this audit.		24/11/2022
Preventative actions taken to ensure no further issues will occur		Completion date
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.		Ongoing
		Remedial action status
		Identified

Description and capacity of load		
Non-compliance	Description	
Audit Ref: 2.4 With: Clause 11(2)(c) and (d) of Schedule 15.3 From: 10-Nov-20 To: 05-Sep-22	Two LED driver notification signs do not have wattage information recorded. Potential impact: Low Actual impact: Low Audit history: Once previously Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are moderate. Most items of load are correctly recorded. The driver notification signs are recorded in the database, but their wattages are missing. The audit risk rating is low because there is a minor impact on settlement.	
Actions taken to resolve the issue	Completion date	Remedial action status
The wattages have been applied to the database.	24/11/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will follow up with DOC each quarterly to ensure all lamp changes and installation are updated on the database.	Ongoing	

All load recorded in the database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 10-Nov-20 To: 05-Sep-22	Two additional lights were found in the field. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are moderate but there is room for improvement. The audit risk rating is low because there is a minor impact on settlement.	
Actions taken to resolve the issue	Completion date	Remedial action status
The two addition lights have been applied to the database.	24/11/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will follow up with DOC each quarterly to ensure all lamp changes and installation are updated on the database.	Ongoing	

Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 10-Nov-20 To: 05-Sep-22	The database is not up to date resulting in an estimated minor under submission of 1,025 kWh p.a. Potential impact: Low Actual impact: Low Audit history: Once previously Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are moderate but there is room for improvement. The audit risk rating is low because there is a minor impact on settlement.	
Actions taken to resolve the issue	Completion date	Remedial action status
The database has now been corrected for the inaccuracies and comments are in the relevant sections of this audit.	24/11/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
No comments provided		

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 10-Nov-20</p> <p>To: 05-Sep-22</p>	<p>The database is not up to date resulting in an estimated minor under submission of 1,025 kWh p.a.</p> <p>Changes are not tracked at a daily level as required by the code.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are moderate but there is room for improvement.</p> <p>The audit risk rating is low because there is a minor impact on settlement.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
The database has now been corrected for the inaccuracies identified and comments are in the relevant sections of this audit.	24/11/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.	Ongoing	