

Compliance plan for Meridian MEP 2022

Participants to Provide Accurate Information		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 10.6 From: 24-Feb-22 To: 20-Aug-22	Incorrect event dates for two ICPs. Potential impact: Low Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
We now have checks in place to ensure the expiry dates are checked monthly by the Metering Manager and the Approved Testhouse	31/08/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
As above	31/08/2022	

Changes to Registry Records.		
Non-compliance	Description	
Audit Ref: 4.10 With: Clause 3 of Schedule 11.4 From: 24-Feb-22 To: 20-Aug-22	Two incorrect event dates. Potential impact: Low Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
We now have checks in place to ensure the dates are correct ie by Metering Manager updating the event dates and Retail checking the dates are correct afterwards	31/08/22	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
As above	31/08/22	

Certification and maintenance		
Non-compliance	Description	
Audit Ref: 7.1 With: Clause 10.38(a) From: 08-Apr-22 To: 11-May-22	Certification cancelled from 8 April 2022 to 10 May 2022 at Waitaki G7. Potential impact: High Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong, because they mitigate risk to an acceptable level. The certification tests confirmed there were no errors present; therefore, the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
We now have checks in place to ensure the expiry dates are checked monthly by the Metering Manager and Approved Testhouse		31/08/2022
Preventative actions taken to ensure no further issues will occur		Completion date
As above		31/08/2022
Remedial action status		
Identified		

Certification Tests		
Non-compliance	Description	
Audit Ref: 7.2 With: Clause 10.38(b) From: 17-May-22 To: 20-Aug-22	Burden tests not conducted at Ohau G8 and G11 in accordance with Clause 10.38(b) and clause 28(4) of schedule 10.7. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level. There is no indication that the burden has changed; therefore, the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
This was due to burden resistors being placed at the VTs and we have a plan to move these to outside the VT Cubicles this financial year. It was planned to do this last Financial Year but the outages were cancelled. We will be advising the Electricity Authority what happened here and applying for dispensation until we can move the Burdens outside the VT Cubicle		30/09/2022
Preventative actions taken to ensure no further issues will occur		Completion date
As above		30/09/2022
Remedial action status		
Identified		

Inspections			
Non-compliance	Description		
Audit Ref: 8.2 With: Clause 46(1) of Schedule 10.7 From: 08-Apr-22 To: 11-May-22	Inspection not conducted at Waitaki G7 by the due date. Potential impact: Low Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as strong, because they mitigate risk to an acceptable level. The certification tests confirmed there were no errors present; therefore, the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
We now have checks in place to ensure the expiry dates are checked monthly by the Metering Manager and the Approved Testhouse		31/08/2022	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
As above		31/08/2022	