

Compliance plan for Ventia ATH- 2022

ATH Approval		
Non-compliance	Description	
Audit Ref: 2.4 With: Clause 10.40 and 1(2)(a)(iii) of Schedule 10.3 From: 10-Oct-22 To: 14-Oct-22	ISO 9001 report not provided with Class B ATH application. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as moderate because all of the other information was provided. There is a potential minor impact because there may be overall company-wide issues in the report which have an impact on the ATH.	
Actions taken to resolve the issue	Completion date	Remedial action status
Being a company wide certification copies of the full report are never available. If you are one of the targeted areas it has previously been possible to get a summary of your area. As for company wide issues Ventia has a whole team of quality people which attend to these things. Which flow through the whole company. The controls I believe should be strong as Ventia has a very strong compliance drive.	Complete	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
The Test House was not one of the selected areas for this audit.	Complete	

Measuring Transformers used in a Certified Metering Installation		
Non-compliance	Description	
Audit Ref: 5.37 With: Clause 28(4) Of Schedule 10.7 From: 13-Apr-22 To: 11-Oct-22	Burden measurements not conducted for four metering installations. Potential impact: Low Actual impact: Unknown Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because Ventia has sound practices for measuring burden, but in these cases, measurements could not safely be conducted. It is unlikely that the burden has changed at these installations, and they were previously appropriate.	
Actions taken to resolve the issue	Completion date	Remedial action status
Burdens are measured at inspections when this can be safely done. For these installations the burden was measured during component calibration and found to be suitable, these installations are in controlled environments, therefore the previous burden measurements are still valid for these installations.	Complete	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Continue to always measure burdens where this can be safely done.	Complete	

Burden & Compensation			
Non-compliance	Description		
Audit Ref: 5.40 With: Clause 30 Of Schedule 10.7 From: 13-Apr-22 To: 11-Oct-22	Burden measurements not conducted for four metering installations. Potential impact: Low Actual impact: Unknown Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as strong because Ventia has sound practices for measuring burden, but in these cases, measurements could not safely be conducted. It is unlikely that the burden has changed at these installations, and they were previously appropriate.		
Actions taken to resolve the issue		Completion date	Remedial action status
Burdens are measured at inspections when this can be safely done. For these installations the burden was measured during component calibration and found to be suitable, these installations are in controlled environments, therefore the previous burden measurements are still valid for these installations.		Complete	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Continue to always measure burdens where this can be safely done.		Complete	

All Functions and Activities Must Be Completed			
Non-compliance	Description		
Audit Ref: 5.76 With: Clause 10.42(2) From: 13-Apr-22 To: 11-Oct-22	Burden measurements not conducted for four metering installations. Potential impact: Low Actual impact: Unknown Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as strong because Ventia has sound practices for measuring burden, but in these cases, measurements could not safely be conducted. It is unlikely that the burden has changed at these installations, and they were previously appropriate.		
Actions taken to resolve the issue		Completion date	Remedial action status
Burdens are measured at inspections when this can be safely done. For these installations the burden was measured during component calibration and found to be suitable, these installations are in controlled environments, therefore the previous burden measurements are still valid for these installations.		Complete	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Continue to always measure burdens where this can be safely done.		Complete	