

Compliance plan for Eastland MEP 2022

Changes to registry records		
Non-compliance	Description	
Audit Ref: 4.10 With: Clause 3 of Schedule 11.4 From: 04-Apr-21 To: 14-May-21	Recertification of ICP 0000089005EN86 updated on the registry later than 10 business days. Potential impact: Low Actual impact: Low Audit history: Three times previously Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	I have recorded the controls as strong. Eastland make every effort to get information from their service providers. The impact is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Current processes, entry upon receipt of new certification detail.	26/08/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
N/A	26/08/2022	

Correction of Errors in Registry		
Non-compliance	Description	
Audit Ref: 6.3 With: Clause 6 of Schedule 11.4 From: 01-Aug-20 To: 31-Jul-22	Monthly validation not carried out in all instances. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	I have recorded the controls as moderate as checks are carried out as resource allows. The impact is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Monthly review of lists and data file to be completed. Reports scheduled for weekly generation from Registry.	26/08/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Review of lists and date to be carried out monthly.	26/08/2022	

Certification and Maintenance		
Non-compliance	Description	
Audit Ref: 7.1 With: Clause 10.38(a) From: 06-Jun-22 To: 20-Jun-22	ICP 0000089000WW196 was uncertified from 6 June 2022 to 20 June 2022. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	I have recorded the controls as strong as Eastland makes every effort to get meters recertified prior to the current certification expiring. The impact on participants is minor, therefore the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Scheduling program checked to ensure certification management is prioritised.	26/08/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
As above	26/08/2022	