

## Compliance plan for Avondale Business Association Mercury DUML 2023

All load recorded in the database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 31-Mar-21 To: 27-Feb-23	Five additional lights found in the field. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Avondale Business Association have confirmed that the 5 additional lights should have been included in the database, we have now updated the database to include them.	March 2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We will continue to liaise with Avondale Business Association to ensure database accuracy.	Ongoing	