

# Compliance Plan Gore DC DUML 2023

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 04-May-22</p> <p>To: 11-Jan-23</p>	<p>In absolute terms, total annual consumption is estimated to be 40,100 kWh higher than the DUML database indicates.</p> <p>177 lamps not recorded correctly in the database, resulting in approximately 39,498 kWh of under submission.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p>	
Audit risk rating	Rationale for audit risk rating	
<b>High</b>	<p>The controls are rated as weak because historic errors have not been corrected.</p> <p>The impact is assessed to be high, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	09/02/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Gore DC to have the inaccuracies corrected.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.</p>	<p>08/09/2023</p> <p>Ongoing</p>	

Description and capacity of load		
Non-compliance	Description	
Audit Ref: 2.4 With: Clause 11(2)(c) &(d) of Schedule 15.3 From: 04-May-22 To: 11-Jan-23	49 items of load with no lamp model description details populated. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are rated as moderate because they ensure most information is accurate. The impact is assessed to be low as overall volume of load is small.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	09/02/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Gore DC to have the inaccuracies corrected.	08/09/2023	

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 04-May-22 To: 11-Jan-23	One additional item of load found in the field of 213 items of load sampled. Potential impact: Low Actual impact: Low Audit history: Once Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact is assessed to be low due to one additional light found in the field in relation to the overall count of the items of load.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	09/02/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Gore DC to have the inaccuracies corrected.	08/09/2023	

Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b)  From: 04-May-22 To: 11-Jan-23	In absolute terms, total annual consumption is estimated to be 40,100 kWh higher than the DUML database indicates.  177 lamps not recorded correctly in the database, resulting in approximately 39,498 kWh of under submission.  Potential impact: High Actual impact: High Audit history: Multiple times previously Controls: Weak Breach risk rating: 9	
Audit risk rating	Rationale for audit risk rating	
High	The controls are rated as weak because historic errors have not been corrected. The impact is assessed to be high, based on the kWh differences described above.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	09/02/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Gore DC to have the inaccuracies corrected.	08/09/2023	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 04-May-22</p> <p>To: 11-Jan-23</p>	<p>In absolute terms, total annual consumption is estimated to be 40,100 kWh higher than the DUMML database indicates.</p> <p>177 lamps not recorded correctly in the database, resulting in approximately 39,498 kWh of under submission.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p>	
Audit risk rating	Rationale for audit risk rating	
<b>High</b>	<p>The controls are rated as weak because historic errors have not been corrected.</p> <p>The impact is assessed to be high, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	09/02/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Gore DC to have the inaccuracies corrected.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.</p>	<p>08/09/2023</p> <p>Ongoing</p>	

