

## Compliance plan for Accucal ATH – 2023

Advise MEP of Records, Certificates or Reports for a Metering Installation		
Non-compliance	Description	
Audit Ref: 3.9 With: Clause 14 Of Schedule 10.4 From: 01-Jan-23 To: 31-Jan-23	60 of 83 records sent late to an MEP in January 2023. Potential impact: Medium Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as moderate because Accucal has plans to address their resourcing level to ensure records are provided on time. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
AccuCal is working towards increasing resources to allow processing reports within the prescribed timeframes but also comment that some of the 'late' records have been due to incorrect entry by the MEP of livening/certification dates on installations on dead boards when there was a delay before livening and subsequent certification reporting.	By end of 2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Additional staff to be employed and trained as KTP's	By end of 2023	

Measuring Transformer Certification		
Non-compliance	Description	
Audit Ref: 5.67 With: Clause 3 of Schedule 10.8 From: 01-Feb-21 To: 26-Feb-23	Burden range not included in measuring transformer certification records. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as moderate because there is only one item of information not included in certification records. The potential impact is that incorrect burden is applied, however Accucal has strong controls to ensure burden is correct, therefore the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
We have added burden range fields to our transformer certification records as of 28 <sup>th</sup> Feb 2023. These are in both our Component Calibration/Certification Reports and in Installation Certification Reports where these are transformer certification reports for transformers calibrated by others.		28 <sup>th</sup> Feb 2023
Preventative actions taken to ensure no further issues will occur		Completion date
The main reason we hadn't done this prior to now was the uncertainty around how to apply this to HV installations. This is clear for LV CT installations but very unclear for fully calibrated installations, particularly HV. We will be raising this with the EA Compliance team via the ATH Forum.		To be worked on in 2023 ATH Forum submissions
Cleared		

<b>Measuring Transformers in service burden range</b>		
<b>Non-compliance</b>	<b>Description</b>	
<p>Audit Ref: 5.68</p> <p>With: Clause 2(1)(E) Of Schedule 10.8</p> <p>From: 01-Feb-21</p> <p>To: 26-Feb-23</p>	<p>Burden range not included in measuring transformer certification records.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Low</b>	<p>The controls are recorded as moderate because there is only one item of information not included in certification records.</p> <p>The potential impact is that incorrect burden is applied, however Accucal has strong controls to ensure burden is correct, therefore the audit risk rating is low.</p>	
<b>Actions taken to resolve the issue</b>		<b>Completion date</b>
<p>We have added burden range fields to our transformer certification records as of 28<sup>th</sup> Feb 2023. These are in both our Component Calibration/Certification Reports and in Installation Certification Reports where these are transformer certification reports for transformers calibrated by others.</p>		28 <sup>th</sup> Feb 2023
<b>Preventative actions taken to ensure no further issues will occur</b>		<b>Completion date</b>
<p>The main reason we hadn't done this prior to now was the uncertainty around how to apply this to HV installations. This is clear for LV CT installations but very unclear for fully calibrated installations, particularly HV. We will be raising this with the EA Compliance team via the ATH Forum.</p>		<p>To be worked on in 2023</p> <p>ATH Forum submissions</p>
Cleared		