

Compliance plan for Ruapehu DC Meridian DUML 2023

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Dec-21 To: 30-Nov-22</p>	<p>Two lights with the incorrect wattage recorded resulting in an estimated under submission of 1,034 kWh per annum.</p> <p>158 lights with the incorrect ballast wattage recorded resulting in an estimated under submission of 1,145.27 kWh per annum.</p> <p>A small number of decorative lights are not recorded in the RAMM database resulting in a small amount of under submission.</p> <p>Up to 24 Waka Kotahi items of load being reconciled in both the Waka Kotahi database and RDC resulting in a potential over submission of up to 12,484kWh per annum.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>The livening date of new streetlights is not captured in the database.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls over the database are rated as moderate. Processes in place to manage changes are generally robust but there is room for improvement in relation to the recording of new streetlights.</p> <p>The audit risk rating is low based on the volume differences identified.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised RDC of the inaccuracies identified. There had been staffing issues at the council that have impacted the progress on remedial work to the RAMM database.	01/09/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to work with RDC and GHD on resolving the inaccuracies and ongoing issues that have been identified.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission</p>	<p>01/09/2023</p> <p>Ongoing</p>	

All load recorded in the database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 01-Dec-21 To: 30-Nov-22	One additional items of load identified in the field from a sample of 131 lights examined. Potential impact: Low Actual impact: Low Audit history: Once previously Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong the change process is managed well and the database accuracy was confirmed to be within the +/-5% threshold. The audit risk rating is low as the overall database accuracy is confirmed to be compliant.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised RDC of the inaccuracies identified. There had been staffing issues at the council that have impacted the progress on remedial work to the RAMM database.	01/09/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to work with RDC and GHD on resolving the inaccuracies and ongoing issues that have been identified.	01/09/2023	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Dec-21 To: 30-Nov-22</p>	<p>Two lights with the incorrect wattage recorded resulting in an estimated under submission of 1,034 kWh per annum.</p> <p>158 lights with the incorrect ballast wattage recorded resulting in an estimated under submission of 1,145.27 kWh per annum.</p> <p>Up to 24 Waka Kotahi items of load being reconciled in both the Waka Kotahi database and RDC resulting in a potential over submission of up to 12,484kWh per annum.</p> <p>A small number of decorative lights are not recorded in the RAMM database resulting in a small amount of under submission.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls over the database are rated as moderate. There is room for improvement in the new connection and decorative light management processes.</p> <p>The audit risk rating is low based on the volume differences identified.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised RDC of the inaccuracies identified. There had been staffing issues at the council that have impacted the progress on remedial work to the RAMM database.	01/09/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to work with RDC and GHD on resolving the inaccuracies and ongoing issues that have been identified.	01/09/2023	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Dec-21</p> <p>To: 30-Nov-22</p>	<p>Two lights with the incorrect wattage recorded resulting in an estimated under submission of 1,034 kWh per annum.</p> <p>158 lights with the incorrect ballast wattage recorded resulting in an estimated under submission of 1,145.27 kWh per annum.</p> <p>A small number of decorative lights are not recorded in the RAMM database resulting in a small amount of under submission.</p> <p>Up to 24 Waka Kotahi items of load being reconciled in both the Waka Kotahi database and RDC resulting in a potential over submission of up to 12,484kWh per annum.</p> <p>The livening date of new streetlights is not captured in the database.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls over the database are rated as moderate. Processes in place to manage changes are generally robust but there is room for improvement in relation to the recording of new streetlights.</p> <p>The audit risk rating is low based on the volume differences identified.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised RDC of the inaccuracies identified. There had been staffing issues at the council that have impacted the progress on remedial work to the RAMM database.	01/09/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to work with RDC and GHD on resolving the inaccuracies and ongoing issues that have been identified.	01/09/2023	