

## Compliance Plan for Mercury NZ MEP – April 2023

Certification and Maintenance			
Non-compliance	Description		
<p>Audit Ref: 7.1</p> <p>With: Clause 10.38 (a), clause 1 and clause 15 of Schedule 10.7</p> <p>From: 21-Feb-23</p> <p>To: 13-Apr-23</p>	<p>Certification cancelled for one metering installation.</p> <p>Potential impact: None</p> <p>Actual impact: None</p> <p>Audit history: Three times</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>I have recorded the controls as strong because inspections are normally conducted as required.</p> <p>There is no impact on settlement or other participants, therefore the audit risk rating is low.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Vector began the work of changing over on 28<sup>th</sup> April 2023. The 11 kV supply from the network supply point which the applicable revenue meter is monitoring is scheduled to be decommissioned by the 9<sup>th</sup> May 2023. We will ensure the revenue meter is decommissioned by 26<sup>th</sup> May 2023.</p>		26 <sup>th</sup> May 2023	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>This is a very rare “perfect storm” of the following extremely unusual events coinciding:</p> <ul style="list-style-type: none"> <li>• A power station was decommissioned, but local service was still required – meaning the revenue meter could not be decommissioned</li> <li>• The local service was planned to be changed over to a new supplier before the revenue meter inspection due date</li> <li>• Due to an unpredictable cyclone, the workforce booked in for making the changeover was reallocated elsewhere</li> </ul> <p>We do not foresee these same events coinciding in future. Even with no preventative actions this issue is extremely unlikely to reoccur, hence we have not taken any. We did, however, report the issue (refer breach 2303MERC2).</p>		N/A	

Category 2 to 5 Inspections			
Non-compliance	Description		
Audit Ref: 8.2 With: Clause 46(1) of Schedule 10.7 From: 21-Feb-23 To: 29-Nov-19	One metering installation not inspected. Potential impact: None Actual impact: None Audit history: Twice Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	I have recorded the controls as strong because inspections are normally conducted as required. There is no impact on settlement or other participants, therefore the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Vector began the work of changing over on 28 <sup>th</sup> April 2023. The 11 kV supply from the network supply point which the applicable revenue meter is monitoring is scheduled to be decommissioned by the 9 <sup>th</sup> May 2023. We will ensure the revenue meter is decommissioned by 26 <sup>th</sup> May 2023.		26 <sup>th</sup> May 2023	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
This is a very rare “perfect storm” of the following extremely unusual events coinciding: <ul style="list-style-type: none"> <li>• A power station was decommissioned, but local service was still required – meaning the revenue meter could not be decommissioned</li> <li>• The local service was planned to be changed over to a new supplier before the revenue meter inspection due date</li> <li>• Due to an unpredictable cyclone, the workforce booked in for making the changeover was reallocated elsewhere</li> </ul> We do not foresee these same events coinciding in future. Even with no preventative actions this issue is extremely unlikely to reoccur, hence we have not taken any. We did, however, report the issue (refer breach 2303MERC2).		N/A	