

Compliance Plan NZTA Electronet DUML 2023

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 18-Nov-20 To: 16-Aug-21	Two additional lights found in the field of the sample of 108 items of load checked. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement The impact is assessed to be low due to the small number of additional lights found.	
Actions taken to resolve the issue	Completion date	Remedial action status
Manawa energy to work with database manager, ElectroNet, to confirm the presence of the additional lamps and update the database as appropriate.	01/09/2023	Identified
Preventative actions taken to ensure no further issue will occur	Completion date	
Manawa to communicate with ElectroNet the importance of updating DUML database with changes. Manawa to Establish a business process whereby Administrators at Manawa Energy, responsible for processing DUML load changes each month, will conduct reasonableness checks on the light changes being notified.	01/09/2023	