

# Compliance Plan Waimate DC DUML 2023

Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 04-Mar-22 To: 26-Jan-23	The field audit identified 34 lamps which had incorrect lamp wattages and lamp descriptions recorded. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement. The impact is assessed to be low based on the wattage difference of 155.8 W or 665 kWh p.a.	
Actions taken to resolve the issue	Completion date	Remedial action status
Genesis has reviewed the auditors finding and have advised WDC of the discrepancy with the intent that WDC makes every effort to ensure the exceptions are rectified.	01/08/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Genesis relies on WDC to accurately maintain its database.	01/08/2023	

