

Compliance plan for Ocean Shores Village Meridian DUML 2023

Deriving submission information			
Non-compliance	Description		
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 13-May-21</p> <p>To: 04-May-23</p>	<p>Four additional items of load found in the field resulting in the database being just outside of the allowable +/-5% threshold resulting in a very minor estimated under submission of 222 kWh per annum.</p> <p>Changes not tracked at a daily level.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as moderate as they will mitigate risk most of the time.</p> <p>The impact is assessed to be very low as the volume of estimated under submission is very minor.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Regarding the four additional lights found in the field, Meridian has since corrected the database.		23/5/2023	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.		Ongoing	

All load recorded in the database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 13-May-21 To: 04-May-23	Four additional items of load found in the field resulting in the database being just outside of the allowable +/-5% threshold resulting in a very minor estimated under submission of 222 kWh per annum. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate as they will mitigate risk most of the time. The impact is assessed to be very low as the volume of estimated under submission is very minor.	
Actions taken to resolve the issue	Completion date	Remedial action status
Regarding the four additional lights found in the field, Meridian has since corrected the database.	23/5/2023	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1</p> <p>With: Clauses 15.2 and 15.37B(b)</p> <p>From: 13-May-21</p> <p>To: 04-May-23</p>	<p>Four additional items of load found in the field resulting in the database being just outside of the allowable +/-5% threshold resulting in a very minor estimated under submission of 222 kWh per annum.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as moderate as they will mitigate risk most of the time.</p> <p>The impact is assessed to be very low as the volume of estimated under submission is very minor.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Regarding the four additional lights found in the field, Meridian has since corrected the database.		23/5/2023	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.		Ongoing	

Volume information accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 13-May-21</p> <p>To: 04-May-23</p>	<p>Four additional items of load found in the field resulting in the database being just outside of the allowable +/-5% threshold resulting in a very minor estimated under submission of 222 kWh per annum.</p> <p>Changes not tracked at a daily level.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as moderate as they will mitigate risk most of the time.</p> <p>The impact is assessed to be very low as the volume of estimated under submission is very minor.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Regarding the four additional lights found in the field, Meridian has since corrected the database.		23/5/2023	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	