

Compliance plan for Wellington City Council Unmetered Traffic Lights – 2023

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 01-Jun-22 To: 30-Apr-23	50 items of load incorrectly assigned to NSP TKR0331. Under submission of approx. 4,380 kWh due to not using the current database capacities. Potential impact: Low Actual impact: Low Audit history: none Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as moderate, as the process to revise Meridian's database capacity is not robust resulting in out-of-date information being used. The volume impact is small therefore the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian will work with WCC and Wellington Electricity to have additional ICPs created for each NSP the load is connected to. Meridian will request for the current database with the correct capacities to use moving forward and will revise any backdated submissions where possible.	30/8/2023 30/8/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

ICP identifier and items of load		
Non-compliance	Description	
Audit Ref: 2.2 With: Clause 11(2)(a) and (aa) of Schedule 15.3 From: unknown To: 24-May-21	All load is reconciled to one ICP, but the load is connected to multiple NSPs which requires an ICP per NSP. Potential impact: Low Actual impact: None Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact is assessed to be low as there is no direct impact on submission.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian will work with WCC and Wellington Electricity to have additional ICPs created for each NSP the load is connected to.	30/8/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Jun-22</p> <p>To: 30-Apr-23</p>	<p>50 items of load incorrectly assigned to NSP TKR0331.</p> <p>Under submission of approx. 4,380 kWh due to not using the current database capacities.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as moderate, as the process to revise Meridian's database capacity is not robust resulting in out-of-date information being used.</p> <p>The volume impact is small therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Meridian will work with WCC and Wellington Electricity to have additional ICPs created for each NSP the load is connected to.</p> <p>Meridian will request for the current database with the correct capacities to use moving forward and will revise any backdated submissions where possible.</p>	<p>30/8/2023</p> <p>30/8/2023</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Jun-22</p> <p>To: 30-Apr-23</p>	<p>50 items of load incorrectly assigned to NSP TKR0331.</p> <p>Under submission of approx. 4,380 kWh due to not using the current database capacities.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as moderate, as the process to revise Meridian's database capacity is not robust resulting in out-of-date information being used.</p> <p>The volume impact is small therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Meridian will work with WCC and Wellington Electricity to have additional ICPs created for each NSP the load is connected to.</p> <p>Meridian will request for the current database with the correct capacities to use moving forward and will revise any backdated submissions where possible.</p>	<p>30/8/2023</p> <p>30/8/2023</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	