

Compliance plan for Kapiti Coast DC DUML –2023

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-May-21 To: 28-Feb-23</p>	<p>Database is not confirmed as accurate with a 95% level of confidence resulting in an estimated under submission of 1,000 kWh p.a.</p> <p>The capacity used to calculate submission information does not match the database value for February 2023.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates are not recorded for new connections; and change dates may not reflect the date of the change if they are not processed in RAMM at the time that the change occurs.</p> <p>Potential impact: Low Actual impact: Low Audit history: Twice Controls: Moderate Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as moderate because they mitigate risk to an acceptable level but there is still room for improvement.</p> <p>The audit risk rating is low based on kWh variances.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised KCDC of the inaccuracies and their responses are recorded in the following non compliances.	25/5/2023	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to work with KCDC in amending the inaccuracies in the database.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.</p>	<p>24/12/2023</p> <p>Ongoing</p>	

2.5. All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 01-May-21 To: 28-Feb-23	Five additional lamps in the field were not recorded in the database from a sample of 248 items of load (2% error rate). Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as moderate because they mitigate risk to an acceptable level but there is still room for improvement. The audit risk rating is low based on the small volume of lights affected in relation to the sample examined.	
Actions taken to resolve the issue		Completion date
Meridian advised KCDC of the inaccuracies and they have advised below: Dale Road x2 lights - These are old Airport Navigation lights these lights do not work. Knights Grove and W - Marine Gardens #2 – database now updated		25/5/2023
Preventative actions taken to ensure no further issues will occur		Completion date
KCDC has advised an Asset Audit is taking place internally on all Cycle Paths and paths between Road to Road and plans to be completed by end of 2024.		31/12/2024
Remedial action status		
Identified		

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-May-21</p> <p>To: 28-Feb-23</p>	<p>Database is not confirmed as accurate with a 95% level of confidence resulting in an estimated under submission of 1,000 kWh p.a.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates are not recorded for new connections; and change dates may not reflect the date of the change if they are not processed in RAMM at the time that the change occurs.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>The controls are recorded as moderate because they mitigate risk to an acceptable level but there is still room for improvement. The differences resulting from using a monthly snapshot instead of daily data are not expected to be significant based on the volume of changes and new connections occurring.</p> <p>The audit risk rating is low based on kWh variances</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>KCDC advises that new streetlights are picked up monthly from the time the subdivider enters the lights into RAMM. These are going against KCDC ICP at this stage, not when road is officially vested over to them.</p>		25/5/2023	Identified
<p>KCDC advises that in October 2023, RAMM is being upgraded to AMDS. This will resolve most database inaccuracies.</p>		31/10/2023	
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Meridian will continue to work with KCDC in amending the inaccuracies in the database.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.</p>		24/12/2023	
		Ongoing	

Volume information accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-May-21</p> <p>To: 28-Feb-23</p>	<p>Database is not confirmed as accurate with a 95% level of confidence resulting in an estimated under submission of 1,000 kWh p.a.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Livening dates are not recorded for new connections; and change dates may not reflect the date of the change if they are not processed in RAMM at the time that the change occurs.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>The controls are recorded as moderate because they mitigate risk to an acceptable level but there is still room for improvement.</p> <p>The audit risk rating is low based on kWh variances.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>KCDC advises that new streetlights are picked up monthly from the time the subdivider enters the lights into RAMM. These are going against KCDC ICP at this stage, not when road is officially vested over to them.</p>		25/5/2023	Identified
<p>KCDC advises that in October 2023, RAMM is being upgraded to AMDS. This will resolve most database inaccuracies.</p>		31/10/2023	
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Meridian will continue to work with KCDC in amending the inaccuracies in the database.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.</p>		24/12/2023	Ongoing