

## Compliance plan for Whangarei DC DUML 2023

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3  From: 01-Oct-22 To: 27-Jul-23	Database is not confirmed as accurate with a 95% level of confidence as recorded in <b>section 3.1</b> .  Potential impact: Medium Actual impact: Medium Audit history: Multiple times Controls: Moderate Breach risk rating: 4	
Audit risk rating	Rationale for audit risk rating	
<b>Medium</b>	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time, but there is room for improvement.  The impact is assessed to be medium due to the estimated kWh volume variances.	
Actions taken to resolve the issue		Completion date
The database discrepancies identified during this audit have been provided to Whangarei DC to investigate. They have advised that they are currently having field visits performed to confirm the discrepancies and amend the database where required.		30/10/2023
Preventative actions taken to ensure no further issues will occur		Completion date
Meridan will continue to follow up with Whangarei District Council to ensure the inaccuracies are corrected.		30/10/2023
		Identified

Description and capacity of load			
Non-compliance	Description		
<p>Audit Ref: 2.5</p> <p>With: Clause 11(2A) and (d) of Schedule 15.3</p> <p>From: unknown</p> <p>To: 27-Jul-23</p>	<p>28 additional items of load found in the field sample.</p> <p>Potential impact: High</p> <p>Actual impact: Low</p> <p>Audit history: Three times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>The controls are rated as moderate due to the volume of additional lights found in the field.</p> <p>The impact is assessed to be low as there were 28 additional lamps found in the sample checked, leading to potential under submission of 720 W or 3,716 kWh per annum.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
The database discrepancies identified during this audit have been provided to Whangarei DC to investigate. They have advised that they are currently having field visits performed to confirm the discrepancies and amend the database where required.		30/10/2023	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Meridan will continue to follow up with Whangarei District Council to ensure the inaccuracies are corrected.		30/10/2023	

<b>Database accuracy</b>		
<b>Non-compliance</b>	<b>Description</b>	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 01-Oct-22 To: 27-Jul-23	Database is not confirmed as accurate with a 95% level of confidence. Potential impact: Medium Actual impact: Medium Audit history: Multiple times Controls: Moderate Breach risk rating: 4	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Medium</b>	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact is assessed to be medium due to the estimated kWh volume variances.	
<b>Actions taken to resolve the issue</b>		<b>Completion date</b>
The database discrepancies identified during this audit have been provided to Whangarei DC to investigate. They have advised that they are currently having field visits performed to confirm the discrepancies and amend the database where required.		30/10/2023
<b>Preventative actions taken to ensure no further issues will occur</b>		<b>Completion date</b>
Meridan will continue to follow up with Whangarei District Council to ensure the inaccuracies are corrected.		30/10/2023
		Identified

<b>Volume information accuracy</b>		
<b>Non-compliance</b>	<b>Description</b>	
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c)  From: 01-Oct-22 To: 27-Jul-23	Database is not confirmed as accurate with a 95% level of confidence as recorded in section 3.1.  Potential impact: Medium Actual impact: Medium Audit history: Multiple times Controls: Moderate Breach risk rating: 4	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Medium</b>	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement.  The impact is assessed to be medium due to the estimated kWh volume variances.	
<b>Actions taken to resolve the issue</b>	<b>Completion date</b>	<b>Remedial action status</b>
The database discrepancies identified during this audit have been provided to Whangarei DC to investigate. They have advised that they are currently having field visits performed to confirm the discrepancies and amend the database where required.	30/10/2023	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
Meridan will continue to follow up with Whangarei District Council to ensure the inaccuracies are corrected.	30/10/2023	