

## WEL Networks Compliance Plan – ATH Audit April 2022

3.9 - Advise MEP of Records, Certificates or Reports for a Metering Installation (Clause 14 Of Schedule 10.4)		
Non-compliance	Description	
Audit Ref: 3.9 With: Clause 14 Of Schedule 10.4  From: 01-May-21 To: 05-May-22	Three of 11 MEP updates made late. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as strong because they mitigate risk to an acceptable level.  The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Data was provided to the MEP as soon as was available.	n/a	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Continued management of the daily report will ensure updates to MEPs are made as soon as data is available from the field.	Ongoing	

5.12 - Certification Tests (Clause 9(1) of Schedule 10.7)		
Non-compliance	Description	
Audit Ref: 5.12 With: Clause 9(1)(ii)(B) of Schedule 10.7  From: 01-May-21 To: 05-May-22	Register advance check not conducted because decimal places are not available. Prevailing load test not conducted for three installations recertified. Potential impact: Low Actual impact: None Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement.  The impact is very low because all other tests are conducted.	
Actions taken to resolve the issue	Completion date	Remedial action status
Register advance checks: These are being done in accordance to the EA memo of 29 Oct 21 and results are now being displayed in metering certificates.	11/05/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Prevailing Load: We strongly support the Auditors comments that the Code requires adaption in relation to Cat1 re-certifications,	Ongoing	