

Compliance plan for Mercury GCMDS Material Change October 2023

Correction of HHR metering information		
Non-compliance	Description	
<p>Audit Ref: 8.2 With: Clause 19(2) Schedule 15.2</p> <p>From: 28-Oct-23 To: 28-Oct-23</p>	<p>Removed meter data not reconciled for the day of the meter change for HHR to HHR AMI meter changes.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as moderate because there is room for improvement for the HHM profiled ICPs.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>In general we don't change AMI meters unless the meter is already faulty and we are not receiving data and it will be rare for us to recover data from the removed/faulty meter, however we will submit consumption based on the estimation logic. We believe this will have little material impact. We will review the process to improve if possible.</p>	October 2023	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
As above.	N/A	

Calculation of ICP days		
Non-compliance	Description	
Audit Ref: 11.2 With: Clause 15.6 From: 28-Oct-23 To: 28-Oct-23	ICP day not calculated for the day of disconnection where consumption is present. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because only one non-compliant scenario was identified. The impact on settlement and participants is minor; therefore the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
For this scenario the consumption will be reported accurately and we believe there will be little or no market impact in that area. It will be one day short in the ICP file and we will investigate to improve this process.	October 2023	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
As above	N/A	