

Compliance plan for Manawa Energy WBOP DC, DUML audit 2023

Deriving submission information			
Non-compliance	Description		
<p>Audit Ref: 2.1 With: 11(1) of Schedule 15.3</p> <p>From: 01-Nov-20 To: 31-Aug-23</p>	<p>Database is not within the allowable +/-5% accuracy threshold resulting in an estimated over submission of 17,200 kWh per annum.</p> <p>New streetlights not recorded in the database from the date of electrical connection.</p> <p>Potential impact: Medium</p> <p>Actual impact: Medium</p> <p>Audit history: None</p> <p>Controls: Moderate</p> <p>Breach risk rating: 4</p>		
Audit risk rating	Rationale for audit risk rating		
Medium	<p>The controls are rated as moderate as there is room for improvement when new load is added to the database and with the quality of information being provided by the field contractor.</p> <p>The audit risk rating is assessed to be medium based on the estimated the kWh impact detailed above.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Manawa to work with WBOP DC to identify and update the inaccuracies in the database. Any retrospective (14 month) adjustments will be conducted in December.		15/12/2023	Investigating
Preventative actions taken to ensure no further issues will occur		Completion date	
Manawa to work with WBOP DC to improve database management processes including working with them to update the process for contractors providing new and updated information.		01/04/2024	

Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: 15.2 and 15.37B(b) From: 01-Nov-20 To: 31-Aug-23	Database is not within the allowable +/-5% accuracy threshold resulting in an estimated over submission of 17,200 kWh per annum. New streetlights not recorded in the database from the date of electrical connection. Potential impact: Medium Actual impact: Medium Audit history: None Controls: Moderate Breach risk rating: 4	
Audit risk rating	Rationale for audit risk rating	
Medium	The controls are rated as moderate as there is room for improvement when new load is added to the database and with the quality of information being provided by the field contractor. The audit risk rating is assessed to be medium based on the estimated the kWh impact detailed above.	
Actions taken to resolve the issue	Completion date	Remedial action status
Manawa to work with WBOP DC to identify and update the inaccuracies in the database. Any retrospective (14 month) adjustments will be conducted in December.	15/12/2023	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Manawa to work with WBOP DC to improve database management processes including working with them to update the process for contractors providing new and updated information.	01/04/2024	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2 With: 15.2 and 15.37B(c) From: 01-Nov-20 To: 31-Aug-23</p>	<p>Database is not within the allowable +/-5% accuracy threshold resulting in an estimated over submission of 17,200 kWh per annum.</p> <p>New streetlights not recorded in the database from the date of electrical connection.</p> <p>Potential impact: Medium Actual impact: Medium Audit history: None Controls: Moderate Breach risk rating: 4</p>	
Audit risk rating	Rationale for audit risk rating	
Medium	<p>The controls are rated as moderate as there is room for improvement when new load is added to the database and with the quality of information being provided by the field contractor.</p> <p>The audit risk rating is assessed to be medium based on the estimated the kWh impact detailed above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Manawa to work with WBOP DC to identify and update the inaccuracies in the database. Any retrospective (14 month) adjustments will be conducted in December.	15/12/2023	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Manawa to work with WBOP DC to improve database management processes including working with them to update the process for contractors providing new and updated information.	01/04/2024	