

Compliance plan for Ons Dorp Dutch Retirement Village DUML audit for Meridian 2024

Distributed unmetered load audits		
Non-compliance	Description	
Audit Ref: 1.10 With: Clause 17.295F From: 01-Jun-18 To: 01-Dec-23	Audit of the village lights overdue. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as moderate overall as Meridian has robust processes in place to manage DUML audits. The delay in getting this audit undertaken has had a minor impact on settlement as the over submission has been occurring for five years and would have been identified earlier if the audit had been undertaken earlier.	
Actions taken to resolve the issue	Completion date	Remedial action status
		Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
A database has been created for future audits.	Completed	

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Dec-18</p> <p>To: 01-Dec-23</p>	<p>Over submission of an estimated 19,541 kWh due to the lights being changed to LED approximately five years ago and the incorrect inclusion of lights that are connected to metered supplies.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: None</p> <p>Breach risk rating: 5</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as none as there is no process in place to manage change.</p> <p>The impact on settlement and participants is assessed to be minor when spread over five years, therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>A correct database has since been created for future audits.</p> <p>Meridian has revised the submission, and it will be amended in Februarys R14 reconciliation.</p>	<p>Completed</p> <p>1/3/2024</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will maintain the database and will update accordingly when notified by the Village of any changes.</p>	Ongoing	

Location of each item of load			
Non-compliance	Description		
Audit Ref: 2.3 With: Clause 11(2)(b) of Schedule 15.3 From: 01-Dec-17 To: 01-Dec-23	No location details recorded. Potential impact: Low Actual impact: Low Audit history: None Controls: None Breach risk rating: 5		
Audit risk rating	Rationale for audit risk rating		
Low	These details were missing from the spreadsheet provided and there is no process in place to capture this, hence controls are recorded as none. The audit risk rating is assessed to be none as these have now been captured using GPS co-ordinates. This is recorded as low as none is not an option.		
Actions taken to resolve the issue		Completion date	Remedial action status
A correct database has since been created for future audits.		Completed	
Preventative actions taken to ensure no further issues will occur		Completion date	
Meridian will maintain the database and will update accordingly when notified by the Village of any changes.		Ongoing	

Audit trails		
Non-compliance	Description	
Audit Ref: 2.7 With: Clause 11(4) of Schedule 15.3 From: 01-Jun-17 To: 01-Dec-23	Changes to the spreadsheet do not indicate who has made the changes. Potential impact: Low Actual impact: Low Audit history: None Controls: None Breach risk rating: 5	
Audit risk rating	Rationale for audit risk rating	
Low	There is no audit trail in the spreadsheet to indicate who has made changes so the controls are rated as none. The audit risk rating is assessed to be low as few changes are expected for this lighting load.	
Actions taken to resolve the issue	Completion date	Remedial action status
A correct database has since been created for future audits.	Completed	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will maintain the database and will update accordingly when notified by the Village of any changes.	Ongoing	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Jun-17</p> <p>To: 01-Dec-23</p>	<p>Over submission of an estimated 19,541 kWh due to the lights being changed to LED five years ago and the incorrect inclusion of lights that are connected to metered supplies.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: None</p> <p>Breach risk rating: 5</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as none as there is no process in maintain the accuracy of the database.</p> <p>The impact on settlement and participants is assessed to be minor when spread over five years, therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>A correct database has since been created for future audits.</p> <p>Meridian has revised the submission, and it will be amended in Februarys R14 reconciliation.</p>	<p>Completed</p> <p>1/3/2024</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will maintain the database and will update accordingly when notified by the Village of any changes.</p>	<p>Ongoing</p>	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Jun-17</p> <p>To: 01-Dec-23</p>	<p>Over submission of an estimated 19,541 kWh due to the lights being changed to LED approximately five years ago and the incorrect inclusion of lights that are connected to metered supplies.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: None</p> <p>Breach risk rating: 5</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as none as there is no process in place to manage change.</p> <p>The impact on settlement and participants is assessed to be minor when spread over five years, therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>A correct database has since been created for future audits.</p> <p>Meridian has revised the submission, and it will be amended in Februarys R14 reconciliation.</p>	<p>Completed</p> <p>1/3/2024</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will maintain the database and will update accordingly when notified by the Village of any changes.</p>	<p>Ongoing</p>	