

Compliance plan for Centralines, Distributor 2024

Timeliness of initial electrical connection date			
Non-compliance	Description		
<p>Audit Ref: 3.5</p> <p>With: Clause 7(2A) of schedule 11.1</p> <p>From: 01-Sep-22</p> <p>To: 19-Dec-23</p>	<p>Ten initial electrical connection dates not updated within ten business days.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once previously</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement. An additional control could be implemented, which is to monitor the audit compliance report on a fortnightly or monthly basis to identify missing initial electrical connection dates.</p> <p>The risk rating is low because this has no direct impact on reconciliation.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
The RSPAlert file is now monitored on a daily basis.		Already being done.	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
We also have another report that we run that will identify anything that is connected that does not have an IED. We will ensure this is also run on a regular basis.		Already being done.	

Timeliness of registry updates			
Non-compliance	Description		
<p>Audit Ref: 4.1</p> <p>With: Clause 8 Schedule 11.1</p> <p>From: 01-Sep-22</p> <p>To: 19-Dec-23</p>	<p>26 late decommission status updates.</p> <p>One late network update.</p> <p>Three late distributed generation updates.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as strong as there are robust checks in place to ensure that the registry is updated as soon as possible where possible.</p> <p>The risk rating is low, because most of the delayed updates were processed within 30 days. Based on the sample checked, the later updates appear to be mostly data corrections.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>In almost every case, we receive the paperwork from Centralines for decommissions before the status has been changed so running the report is our way of monitoring these. There will always be changes to distributed generation and in some cases the generation data is populated but then the job doesn't go ahead. Monitoring of queue items on a more regular basis as per comments above.</p>		<p>Already being done.</p>	<p>Identified</p>
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>We are already running a report on a regular basis to pick up on any ICP's that have been set to the "DX" status.</p>		<p>Already being done.</p>	