

Compliance plan for Embedded Network Services Ltd (ENSL) – 2023

Changes to registry information		
Non-compliance	Description	
Audit Ref: 4.1 With: 8 Schedule 11.1 From: 02-Apr-22 To: 11-Jan-23	Registry not updated within three business days of the event for 13 pricing events. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as strong, as the TENCO processes have been improved to prevent late updates. The audit risk rating is low due to the low number of late updates during the audit period.	
Actions taken to resolve the issue		Completion date
Participant Comments Historically there was no defined procedure in place outlining the required timeframes for price code updates.		During the audit period
Preventative actions taken to ensure no further issues will occur		Completion date
Participant Comments Tenco currently has a robust procedure recorded in the Confluence App, which outlines the three-business day requirement for price code updates.		Ongoing
Remedial action status		
Identified		