

# Compliance plan for Genesis Energy Southland DC DUMML Audit 2024

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3  From: 17-Nov-21 To: 09-Nov-23	In absolute terms, total annual consumption is estimated to be 22,900 kWh lower than the DUMML database indicates.  Potential impact: Medium Actual impact: Medium  Audit history: None Controls: Moderate Breach risk rating: 4	
Audit risk rating	Rationale for audit risk rating	
<b>Medium</b>	The controls are rated as moderate, as there is room for improvement for the adding of new streetlights.  The impact is assessed to be medium due to the potential over submission indicated from the field audit findings.	
Actions taken to resolve the issue	Completion date	Remedial action status
Genesis will continue to work with SDC regarding their database accuracy which was brought to their attention	Continuous improvement	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Genesis will continue to work with SDC regarding their database accuracy which was brought to their attention	Continuous improvement	

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 17-Nov-21</p> <p>To: 09-Nov-23</p>	<p>In absolute terms, total annual consumption is estimated to be 22,900 kWh lower than the DUML database indicates.</p> <p>19 items of load recorded with insufficient detail to confirm the correct wattage has been applied.</p> <p>Potential impact: Medium</p> <p>Actual impact: Medium</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 4</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Medium</b>	<p>The controls are rated as moderate, as there is room for improvement for the adding of new streetlights.</p> <p>The impacy is assessed to be medium due to the potential over submission indicated from the field audit findings.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Genesis will continue to work with SDC regarding their database accuracy which was brought to their attention		Continuous improvement	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Genesis will continue to work with SDC regarding their database accuracy which was brought to their attention		Continuous improvement	

<b>Volume information accuracy</b>		
<b>Non-compliance</b>	<b>Description</b>	
Audit Ref: 3.2 With: 15.2 & 15.37B(c)  From: 17-Nov-21 To: 09-Nov-23	In absolute terms, total annual consumption is estimated to be 22,900 kWh lower than the DUML database indicates.  Potential impact: Medium  Actual impact: Medium  Audit history: None  Controls: Moderate  Breach risk rating: 4	
<b>Audit risk rating</b>	<b>Rationale for audit risk rating</b>	
<b>Medium</b>	The controls are rated as moderate, as there is room for improvement for the adding of new streetlights.  The impact is assessed to be medium due to the potential over submission indicated from the field audit findings.	
<b>Actions taken to resolve the issue</b>	<b>Completion date</b>	<b>Remedial action status</b>
Genesis will continue to work with SDC regarding their database accuracy which was brought to their attention	Continuous improvement	Identified
<b>Preventative actions taken to ensure no further issues will occur</b>	<b>Completion date</b>	
Genesis will continue to work with SDC regarding their database accuracy which was brought to their attention	Continuous improvement	