

# Compliance plan for Meridian Energy, Gore DC DUML March 2024

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 23-May-23</p> <p>To: 16-Jan-24</p>	<p>In absolute terms, total annual consumption is estimated to be 122,600 kWh higher than the DUML database indicates.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p>	
Audit risk rating	Rationale for audit risk rating	
<b>High</b>	<p>The controls are rated as weak as the processes in place do not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be high based on the kWh impact on reconciliation.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	01/02/2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.</p>	<p>08/09/2024</p> <p>Ongoing</p>	

Location of each item of load		
Non-compliance	Description	
Audit Ref: 2.3 With: Clause 11(2)(b) of Schedule 15.3  From: 03-Mar-23 To: 16-Jan-24	Nine items of load with insufficient details to locate.  Potential impact: Low Actual impact: Low Audit history: None Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are rated as weak as the processes in place do not mitigate risk to an acceptable level.  The audit risk rating is assessed to be low as this affected only nine items of load.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	01/02/2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.	08/09/2024	

Description and capacity of load		
Non-compliance	Description	
<p>Audit Ref: 2.4</p> <p>With: Clause 11(2)(c) &amp;(d) of Schedule 15.3</p> <p>From: 11-Jan-23</p> <p>To: 16-Jan-24</p>	<p>49 items of load with no lamp wattages and/or lamp description that would result in an estimated under submission of 14,684 kWh per annum if used to derive the wattage.</p> <p>Potential impact: Medium</p> <p>Actual impact: None</p> <p>Audit history: Twice previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are rated as weak as the kW value process will not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low as these values are not used to calculate submission.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	01/02/2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.	08/09/2024	

All load recorded in database		
Non-compliance	Description	
<p>Audit Ref: 2.5</p> <p>With: Clause 11(2A) of Schedule 15.3</p> <p>From: 23-May-23</p> <p>To: 16-Jan-24</p>	<p>Four additional items of load found in the field of 184 items of load sampled.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Three times previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are rated as weak as the processes in place do not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low due to four additional lights found in the field in relation to the overall count of the items of load.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	01/02/2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.	08/09/2024	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 12-Jan-23</p> <p>To: 22-May-23</p>	<p>In absolute terms, total annual consumption is estimated to be 122,600 kWh higher than the DUMML database indicates.</p> <p>49 items of load with lamp wattages and/or lamp description resulting in an estimated under submission of 14,684 kWh per annum.</p> <p>146 fluorescent items of load with the incorrect gear wattage applied resulting in an estimated annual under submission of 5,609 kWh if used to derive the wattage.</p> <p>Changes made in the field not being updated in the RAMM database.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as weak as the kW value process will not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be high based on the estimated under submission.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	01/02/2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.	08/09/2024	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 23-May-23</p> <p>To: 16-Jan-24</p>	<p>In absolute terms, total annual consumption is estimated to be 122,600 kWh higher than the DUMML database indicates.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as weak as the processes in place do not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be high, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.	01/02/2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.</p>	<p>08/09/2024</p> <p>Ongoing</p>	