

# Compliance plan for Greater Wellington Regional Council 2024

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 29-Nov-22</p> <p>To: 31-Jan-24</p>	<p>Submission was based on an incorrect average daily kWh in the registry for ICP 0000161690CK4EE resulting in potential under submission of 2,269 kWh p.a. Meridian corrected the values during the audit, and revised submission data will be washed up.</p> <p>The wattage found in the field was 91.62% of the wattage recorded in the database which could lead to under submission of 896 W or 3,859.07 kWh per annum.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are assessed to be moderate. The inaccuracies relate to historic information and there is a new process to ensure that the database is updated from the field. Controls are expected to improve to strong once the MMS database validation is complete.</p> <p>The audit risk rating is low based on the kWh differences described above.</p> <ul style="list-style-type: none"> <li>The registry unmetered load value for ICP 0000161690CK4EE has been corrected and revised submission data will be washed up.</li> <li>MMS are validating the database as part of a condition check and once updated revised submission data will be washed up.</li> </ul>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Great Wellington Regional Council of the inaccuracies identified and has requested for corrections to be made.	21/02/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Greater Wellington Regional Council regularly to have the inaccuracies corrected.	09/09/2024	

Location of each item of load		
Non-compliance	Description	
Audit Ref: 2.3 With: Clause 11(2)(b) of Schedule 15.3  From: 31-Jan-24 To: 31-Jan-24	Location information is not sufficient to locate each item of load.  Potential impact: Low  Actual impact: Low  Audit history: Three times  Controls: Weak  Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are weak because the database contains a field for location, which is populated, but the recorded locations are not unique or detailed enough to allow the lamps to be readily located.  There is no direct impact on submission, but it does make it more difficult to assess database accuracy and identify discrepancies between the database and lights present in the carparks.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Great Wellington Regional Council of the inaccuracies identified and has requested for corrections to be made.	21/02/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Greater Wellington Regional Council regularly to have the inaccuracies corrected.	09/09/2024	

All load recorded in database		
Non-compliance	Description	
<p>Audit Ref: 2.5</p> <p>With: Clause 11(2A) of Schedule 15.3</p> <p>From: 31-Jan-24</p> <p>To: 31-Jan-24</p>	<p>One extra 102 W LED at Porirua Station was not recorded in the database.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are rated as strong because only one additional lamp was found in the field.</p> <p>The audit risk rating is low based on the small volume of lights and light differences across the database population. MMS are validating the database as part of a condition check and once updated revised submission data will be washed up.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised Great Wellington Regional Council of the inaccuracies identified and has requested for corrections to be made.	21/02/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Greater Wellington Regional Council regularly to have the inaccuracies corrected.	09/09/2024	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Jan-24</p> <p>To: 31-Jan-24</p>	<p>The wattage found in the field was 91.62% of the wattage recorded in the database which could lead to under submission of 896 W or 3,859.07 kWh per annum.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are assessed to be moderate. The inaccuracies relate to historic information and there is a new process to ensure that the database is updated from the field. Controls are expected to improve to strong once the MMS database validation is complete.</p> <p>The audit risk rating is low based on the small volume of lights and light differences across the database population. MMS are validating the database as part of a condition check and once updated revised submission data will be washed up.</p>	
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Meridian will continue to follow up with Greater Wellington Regional Council regularly to have the inaccuracies corrected.	09/09/2024	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 29-Nov-22</p> <p>To: 31-Jan-24</p>	<p>Submission was based on an incorrect average daily kWh in the registry for ICP 0000161690CK4EE resulting in potential under submission of 2,269 kWh p.a. Meridian corrected the values during the audit, and revised submission data will be washed up.</p> <p>The wattage found in the field was 91.62% of the wattage recorded in the database which could lead to under submission of 896 W or 3,859.07 kWh per annum.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are assessed to be moderate. The inaccuracies relate to historic information and there is a new process to ensure that the database is updated from the field. Controls are expected to improve to strong once the MMS database validation is complete.</p> <p>The audit risk rating is low based on the kWh differences described above.</p> <ul style="list-style-type: none"> <li>The registry unmetered load value for ICP 0000161690CK4EE has been corrected and revised submission data will be washed up.</li> </ul> <p>MMS are validating the database as part of a condition check and once updated revised submission data will be washed up.</p>	
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