

Compliance Plan for Mercury NZ MEP – May 2021

Certification and Maintenance		
Non-compliance	Description	
Audit Ref: 7.1 With: Clause 10.38 (a), clause 1 and clause 15 of Schedule 10.7 From: 23-Sep-19 To: 17-Feb-21	Certification cancelled for one metering installation. Potential impact: None Actual impact: None Audit history: Twice Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	I have recorded the controls as strong because inspections are conducted at least once and often twice during each 3-year certification period. There is no impact on settlement or other participants, therefore the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
The identified meter installation has since been re-calibrated and certified for its installation.		17 Feb 2021
Preventative actions taken to ensure no further issues will occur		Completion date
Proactively organising ATH to perform routine inspection 6-month in-advance of the expiry date.		3 May 2021
		Cleared

Category 2 to 5 Inspections			
Non-compliance	Description		
Audit Ref: 8.2 With: Clause 46(1) of Schedule 10.7 From: 23-Sep-19 To: 29-Nov-19	One metering installation not inspected within the required window. Potential impact: None Actual impact: None Audit history: Once Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	I have recorded the controls as strong because inspections are conducted at least once and often twice during each 3-year certification period. There is no impact on settlement or other participants, therefore the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
The identified meter installation has since been re-calibrated and certified for its installation.		17 Feb 2021	
Preventative actions taken to ensure no further issues will occur		Completion date	
Proactively organising ATH to perform routine inspection 6-month in-advance of the expiry date.		3 May 2021	